



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

Meeting Agenda
Thursday, July 11, 2024
9:30 AM
Joseph P. Cresse Hearing Room 148
Betty Easley Conference Center
4075 Esplanade Way
Tallahassee, Florida 32399-0850

1. Discussion of renewal applications for slot machine license
 - 1.1. 2024-029142 – Gretna Racing, LLC
2. Discussion of application for non-wagering pari-mutuel license
 - 2.1. 2024-020893 – Florida Standardbred Breeders' and Owners' Association, Inc.
3. Discussion of amended application for pari-mutuel operating licenses
 - 3.1. 2024-034591 – Gulfstream Park Racing Association, Inc.
4. Discussion of amended applications for cardroom licenses
 - 4.1. 2024-031317 – Dania Entertainment Center, LLC
 - 4.2. 2024-034688 – Dania Entertainment Center, LLC
5. Discussion of default final order
 - 5.1. 2023-044862 – Segarra, Sara Milagro
6. Discussion of consent orders
 - 6.1. 2024-002207 – Sarasota Kennel Club, Inc.
 - 6.2. 2024-021051 – Sarasota Kennel Club, Inc.
7. Discussion of license denials
 - 7.1. 2024-018224 – Cotrone, Robert A.
 - 7.2. 2024-021088 – Holmes, Treasa Rosezette
8. Discussion of final order pursuant to request from respondent
 - 8.1. 2023-039465 – Durand, Kendra
9. Discussion of policies and procedures
 - 9.1. 02.26.01 – Transporting Detainees
 - 9.2. 03.06.01 – Dual and Secondary Employment
 - 9.3. 03.22.01 – Anti-Retaliation

10. Executive Director update
11. Public comment

PERSONS WISHING TO ADDRESS
THE COMMISSION ON ANY OF THE AGENDA ITEMS
SHOULD EMAIL DIXIE.PARKER@FLGAMING.GOV
NO LESS THAN 24 HOURS BEFORE THE
START OF THE MEETING



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
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9:30 AM

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1. Discussion of renewal applications for slot machine license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Gretna Racing, LLC d/b/a Magic City Casino (SM155)
2024-029142
Date: May 26, 2024

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. ¹ One such facility is Gretna Racing, LLC d/b/a Magic City Casino (“Magic City”). Magic City is located in Miami-Dade County and possesses a valid greyhound permit. Magic City was issued a pari-mutuel operating license for the 2023-2024 and 2024-2025 fiscal years.

Commission staff received Magic City’s application to renew its slot machine license on May 24, 2024. The application was deemed complete on May 30, 2024.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. ² Magic City has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should approve Gretna Racing, LLC d/b/a Magic City Casino’s application for renewal of its slot machine license effective August 7, 2024 through August 6, 2025.

See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

² *See, e.g.*, §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); *see also* Fla. Admin. Code R. 75-14 (“Pari-Mutuel Wagering Facility Slot Machine Operations”).

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

| APPLICATION INFORMATION | | | | |
|--|--|--|-----------------------------|--------------------------|
| Permitholder Name: Gretna Racing, LLC d/b/a Magic City Casino (GHND155) | | Date Received: Case#: 2024-029142 | | |
| Reviewer's Name: La'Keshia Jelks | | | | |
| SLOT MACHINE LICENSE REQUIREMENTS | | | | |
| Requirement | Requirement Description | Requirement Met? Y/N | Date Deficiency Letter Sent | Date Deficiency Resolved |
| 1 | Original application form DBPR PMW 3405. *** 551.114(4) Verified gaming location is at the address specified in the 2020-2021 application. *** | Y | | |
| 2 | Original Authorization for Release of Information form DBPR PMW 3460 3195 | Y | | |
| 3 | List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC, Form DBPR 0040. | Y | 5/24/24 | 5/28/24 |
| 4 | A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only). | N/A | | |
| 5 | Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS. | Y 8.1.2025 | | |
| 6 | Payment of the non-refundable \$2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT. | Y | 5/24/24 | 5/30/24 |
| 7 | A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business. | Y | | |
| 8 | Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code. | Y | | |

Application approved by:

Samie Pouncey
Permitholder Administrator

Wendy Swan
Revenue/Auditing Department

Ally
OGC

6/11/2024
Date

6/3/2024
Date

6/4/24
Date



FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS Gretna Racing, LLC, d/b/a Magic City Casino, is the holder of a valid, active greyhound pari-mutuel permit at its facility located in Miami-Dade County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS Gretna Racing, LLC is authorized to conduct pari-mutuel wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Miami-Dade County, Florida, authorized slot machines at pari-mutuel wagering facilities within Miami-Dade County in a county-wide referendum conducted on January 28, 2008.

WHEREAS Gretna Racing, LLC has made application for licensure to conduct Slot Machine Gaming from August 7, 2024, through August 6, 2025, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that Gretna Racing, LLC has fulfilled all the statutorily required conditions for licensure and is therefore qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS Gretna Racing, LLC has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that Gretna Racing, LLC is granted a non-transferable license, number **SM155**, under which Gretna Racing, LLC, exclusively at the slot machine facility located at, Gretna Racing, LLC, 450 NW 37th Avenue, Miami, Florida, 33125, may receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE Gretna Racing, LLC agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of July 2024, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA:

Louis Trombetta, Executive Director
Florida Gaming Control Commission

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Friday, May 24, 2024 3:42 PM
To: 'Yadelin Crespo Alvarez'
Subject: RE: Magic City Casino License Renewal (SM155) 2024-2025

Categories: Slots

Greetings,

The Slots Renewal Application submitted for Gretna Racing, LLC (SM155) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS (fees will be submitted next week)
- Provide the owner(s) of the permit

The items listed requires submission and/or correction to complete the renewal application. Also, the original documents are not required, the emailed copies will suffice.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: Yadelin Crespo Alvarez <ycespo@windcreek.com>
Sent: Friday, May 24, 2024 1:42 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Venus M. Prince <vprince@WindCreek.com>; Michael Magazzu <michael.magazzu@windcreek.com>; John Enriquez <jenriquez@WindCreek.com>
Subject: Magic City Casino License Renewal (SM155) 2024-2025

Good Afternoon La'Kesha,

Attached are the documents for Magic City Casino's annual license renewal for SM155. Are these copies sufficient or did you want me to mail you the originals?

La'Kesha Jelks

From: Yadelin Crespo Alvarez <ycrespo@windcreek.com>
Sent: Friday, May 24, 2024 1:42 PM
To: La'Kesha Jelks
Cc: Venus M. Prince; Michael Magazzu; John Enriquez
Subject: Magic City Casino License Renewal (SM155) 2024-2025
Attachments: 2024-2025 Magic City Casino - FGCC PMW-3195 SIGNED.pdf; 2024-2025 Magic City Casino - FGCC PMW-3405 SIGNED.pdf; 2024-2025 Magic City Casino - FGCC PMW-3190 SIGNED.pdf; Bond.pdf; MCC Vendor List 05-2024.pdf

Good Afternoon La'Kesha,

Attached are the documents for Magic City Casino's annual license renewal for SM155. Are these copies sufficient or did you want me to mail you the originals?

- Form 3195, 3405 and 3190 signed and notarized where necessary
- Current Bond
- Current Slot Vendor List
- The fees will be paid next week

Please let me know if any questions.

Thank you and have a great weekend!

Yadelin Crespo

Magic City Casino

o: (305) 631-4536 | c: (954) 504-7130

ycrespo@windcreek.com



FGCC PMW-3405 – Permitholder Renewal Application for Annual Slot Machine License



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**
www.fgcc.fl.gov

| INSTRUCTIONS | | | |
|---|--|---|------------------------------|
| This form is to be submitted in conjunction with Form FGCC PMW-3460, Authorization for Release of Information, and Form FGCC PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license. | | | |
| ORGANIZATION INFORMATION | | | |
| Federal Employer ID Number: [REDACTED] | | | |
| Permitholder's Legal Name: | | Gretna Racing, LLC | |
| Doing Business As (D/B/A) Name: | | Magic City Casino | |
| MAILING ADDRESS | | | |
| Street Address or P.O. Box: 401 NW 38th Court | | | |
| City: Miami | | State: FL | Zip Code: 33126 |
| County: Miami-Dade | | Country: USA | |
| CONTACT INFORMATION | | | |
| Contact Name: John Enriquez | | Title: General Manager | |
| Primary Phone Number: (305)649-3000 | | Primary E-Mail Address: jenriquez@windcreek.com | |
| PHYSICAL ADDRESS | | | |
| Street Address: 450 NW 37th Avenue ✓ | | | |
| City: Miami | | State: FL | Zip Code (+4 optional) 33125 |
| County: Miami Dade | | | |
| ADDITIONAL CONTACT INFORMATION | | | |
| Alternate Phone Number (305)631-4536 | | Fax Number (305)631-4525 | |
| Alternate E-Mail Address y crespo@windcreek.com | | | |
| SLOT MACHINE AREA INFORMATION | | | |
| Short Description of Physical Location of Slot Machine Area(s): | | | |
| Approximately 44,500 sq ft of gaming area | | | |
| What is the number of slot machines you intend to operate during the license period? 950 | | | |
| Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Robert Heck - Game Operations Director - 13907615 | | | |
| Name and address of the Custodian of Records in Florida: Yadelin Crespo - Compliance Officer - 401 NW 38th Court - Miami, FL 33126 | | | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**

Expires On **06/30/2026**

Name **HECK, ROBERT J**

Extended To

Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**

Renewed On

Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|----------------------------|----------------|-----------------|
| C | TMGT - Track Mgmt | 02/21/2024 | |
| I | MULT - Multiple Industries | 02/21/2024 | |
| L | 283 - Magic City Jai Alai | 02/21/2024 | |


| | |
|---|---|
| List the dates and hours of slot machine operations: Sunday - Thursday: 10am - 3am; Friday & Saturday: 10am - 5am | |
| Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: <u>Gretna Racing, LLC (permit 542) and Pensacola Greyhound Park (permit 150)</u> | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory: _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor: _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken: _____ _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

Additional requirements to be submitted to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, Office of Operations, 2601 Blair Stone Road, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 75-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form FGCC PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 75-14.050, F.A.C.

| ATTEST STATEMENT | |
|--|---------------------------------|
| Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law. | |
| I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes. | |
|  _____ Signature of Applicant or Applicant's Representative | <u>5/24/24</u> _____ Date |



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**
www.flgaming.gov

| PERSONAL INFORMATION | | | | |
|--|----------|--------|-------|--------|
| Social Security Number/Federal Employer ID Number [REDACTED] | | | | |
| IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | |
| Last Name | First | Middle | Title | Suffix |
| Birth Date (MM/DD/YYYY) | | | | |
| IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | |
| Representative's Name Last | First | Middle | Title | Suffix |
| | Enriquez | John | | |
| Permitholder Name Gretna Racing, LLC dba Magic City Casino | | | | |
| Official Capacity General Manager | | | | |
| ATTEST STATEMENT | | | | |
| <p>I, <u>John Enriquez</u>, do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Florida Gaming Control Commission.</p> <p>I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.</p> <p>(if individual applicant - legal name and any nickname or alias in parentheses)</p> <p>Applicant/Representative Signature: <u>[Signature]</u> Date: <u>5/24/24</u></p> | | | | |
| NOTARIZATION | | | | |
| <p>The foregoing application was sworn to and subscribed before me this <u>24</u> Day of <u>MAY</u>, 20<u>24</u> by <u>John Enriquez</u> Type or print name of applicant Signature of applicant <u>[Signature]</u></p> <p>who is personally known to me or who has produced the following as identification:</p> <p><u>[Signature]</u> Type of identification</p> | | | | |
| <p><u>[Signature]</u> Signature of person taking acknowledgement Notary Seal (Rubber Stamp and Expiration)</p> | | | | |



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov**

Please provide information on the partners, managers, officers, or directors for your business entity below.

| ORGANIZATION NAME | |
|--|---------------------|
| Name of Organization Gretna Racing, LLC | Permit # 155 |
| D/B/A or Trade Name Magic City Casino | |

| LIMITED LIABILITY CORPORATION QUESTIONS | |
|--|---|
| If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. | |
| Member Managed <input type="checkbox"/> | Manager Managed <input checked="" type="checkbox"/> |

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

| MANAGEMENT INFORMATION | | | | |
|---|-----------------------------|---------------------------------------|-----------------|--|
| Last Name Manning | First Timothy | Middle Allen | Title | Suffix |
| Office Held Chairman | License # 9909776 | Percentage of Ownership N/A | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 8620 Hwy 97A | | | | |
| City Walnut Hill | | | State FL | Zip Code (+4 optional) 32568 |
| County (if Florida address) Escambia | | Country USA | | |

| MANAGEMENT INFORMATION | | | | |
|--|-----------------------------|---------------------------------------|-----------------|--|
| Last Name Dorris | First James | Middle Franklin | Title | Suffix |
| Office Held President and CEO | License # 8592737 | Percentage of Ownership N/A | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 32173 Cinn teal Place | | | | |
| City Spanish Fort | | | State AL | Zip Code (+4 optional) 36527 |
| County (if Florida address) | | Country USA | | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2027**
 Name **MANNING, TIMOTHY ALLEN** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/16/2024**
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|----------------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 11/18/2022 | |
| I | MULT - Multiple Industries | 04/16/2024 | |
| L | 910 - TLH Licensing | 11/18/2022 | |
| Y | 3YR - 3 Year License | 11/18/2022 | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
 Name **DORRIS, JAMES FRANKLIN JR** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|-----------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 11/16/2022 | |
| L | 910 - TLH Licensing | 11/16/2022 | |
| Y | 3YR - 3 Year License | 11/16/2022 | |

| MANAGEMENT INFORMATION | | | | |
|-----------------------------|-------|-----------|--------------|---------------------------------|
| Last Name | Quinn | First | Joseph | Middle Thomas Title Suffix |
| Office Held | CFO | License # | 8592752 | Percentage of Ownership |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box | | | | |
| 8750 Pine Run | | | | |
| City | | | Spanish Fort | State AL Zip Code (+4 optional) |
| County (if Florida address) | | | Country USA | |

| MANAGEMENT INFORMATION | | | | |
|-----------------------------|----------|-----------|--------------|---------------------------------|
| Last Name | Pinkston | First | Brent | Middle Title Suffix |
| Office Held | COO | License # | 8592716 | Percentage of Ownership |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box | | | | |
| 49 Speckle Trout Route | | | | |
| City | | | Spanish Fort | State AL Zip Code (+4 optional) |
| County (if Florida address) | | | Country USA | |

| MANAGEMENT INFORMATION | | | | |
|-----------------------------|--------------|-----------|-------------|---------------------------------|
| Last Name | Woodruff | First | Westly | Middle Lamar Title Suffix |
| Office Held | Board Member | License # | 12665968 | Percentage of Ownership |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box | | | | |
| 804 West Canal Drive | | | | |
| City | | | Gulf Shores | State AL Zip Code (+4 optional) |
| County (if Florida address) | | | Country USA | |

| OATH | | | |
|--|----------------------|-----------|------|
| I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses. | | | |
| SEE NEXT PAGE | SEE NEXT PAGE | | |
| Name (Please Print) | Title (Please Print) | Signature | Date |
| State of Florida, County of _____ | | | |
| Sworn to (or affirmed) and subscribed before me this _____ day of _____, 20____ | | | |
| _____, who is personally known to me or produced the following as identification: | | | |
| _____ | | | |
| Notary Public My Commission Expires: _____ | | | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
 Name **QUINN, JOSEPH THOMAS** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/04/2023**
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|-----------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 11/16/2022 | |
| L | 910 - TLH Licensing | 11/16/2022 | |
| Y | 3YR - 3 Year License | 11/16/2022 | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
 Name **PINKSTON, BRENT** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/04/2023**
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|----------------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 11/15/2022 | |
| I | MULT - Multiple Industries | 05/04/2023 | |
| L | 910 - TLH Licensing | 11/15/2022 | |
| Y | 3YR - 3 Year License | 11/15/2022 | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
 Name **WOODRUFF, WESTLY LAMAR** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/04/2023**
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|-----------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 05/04/2023 | |
| C | TMGT - Track Mgmt | 01/30/2023 | |
| I | TBRD - Thoroughbred | 01/30/2023 | |
| L | 910 - TLH Licensing | 01/30/2023 | |
| Y | 3YR - 3 Year License | 01/30/2023 | |

| MANAGEMENT INFORMATION | | | | | | | | | |
|-----------------------------|--------------|-----------|----------|-------------------------|--------|-------|--|--------|--|
| Last Name | Poust | First | Teresa | Middle | Elaine | Title | | Suffix | |
| Office Held | Board Member | License # | 12666043 | Percentage of Ownership | N/A | | | | |
| RESIDENCE ADDRESS | | | | | | | | | |
| Street Address or P.O. Box | | | | | | | | | |
| 2650 Alturas Road | | | | | | | | | |
| | | | | | | | | | |
| City | Atascadero | State | CA | Zip Code (+4 optional) | 93422 | | | | |
| County (if Florida address) | Country | | | | | | | | |
| USA | | | | | | | | | |

| MANAGEMENT INFORMATION | | | | | | | | | |
|-----------------------------|--------------|-----------|----------|-------------------------|-------|-------|--|--------|--|
| Last Name | Tullis | First | Eddie | Middle | Leon | Title | | Suffix | |
| Office Held | Board Member | License # | 12665996 | Percentage of Ownership | N/A | | | | |
| RESIDENCE ADDRESS | | | | | | | | | |
| Street Address or P.O. Box | | | | | | | | | |
| 188 Lynn McGhee Drive | | | | | | | | | |
| | | | | | | | | | |
| City | Atmore | State | AL | Zip Code (+4 optional) | 36502 | | | | |
| County (if Florida address) | Country | | | | | | | | |
| USA | | | | | | | | | |

| MANAGEMENT INFORMATION | | | | | | | | | |
|-----------------------------|---------|-----------|--|-------------------------|--|-------|--|--------|--|
| Last Name | | First | | Middle | | Title | | Suffix | |
| Office Held | | License # | | Percentage of Ownership | | | | | |
| RESIDENCE ADDRESS | | | | | | | | | |
| Street Address or P.O. Box | | | | | | | | | |
| | | | | | | | | | |
| City | | State | | Zip Code (+4 optional) | | | | | |
| County (if Florida address) | Country | | | | | | | | |
| | | | | | | | | | |

| OATH | | | |
|--|----------------------|-----------------------|----------|
| I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses. | | | |
| Brent Pinkston | COO | <i>Brent Pinkston</i> | 05/23/24 |
| Name (Please Print) | Title (Please Print) | Signature | Date |
| State of <u>Alabama</u> | | | |
| County of <u>Escambia</u> | | | |
| Sworn to (or affirmed) and subscribed before me this <u>23rd</u> day of <u>May</u> , 20 <u>24</u> . | | | |
| <u>Brent Pinkston</u> , who is personally known to me or produced the following as identification: | | | |
| | <i>Lynn Woodcock</i> | | |
| Notary Public | Signature | | |
| My Commission Expires: | | | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
 Name **POUST, TERESA ELAINE** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/04/2023**
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|-----------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 05/04/2023 | |
| C | TMGT - Track Mgmt | 02/06/2023 | |
| I | TBRD - Thoroughbred | 02/06/2023 | |
| L | 910 - TLH Licensing | 02/06/2023 | |
| Y | 3YR - 3 Year License | 02/06/2023 | |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
 Name **TULLIS, EDDIE** Extended To
 Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/04/2023**
 Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|----------------------------|----------------|-----------------|
| C | ODS - Officer/Directo | 05/04/2023 | |
| C | TMGT - Track Mgmt | 02/06/2023 | |
| I | MULT - Multiple Industries | 05/04/2023 | |
| I | TBRD - Thoroughbred | 02/06/2023 | |
| L | 910 - TLH Licensing | 02/06/2023 | |
| Y | 3YR - 3 Year License | 02/06/2023 | |

La'Kesha Jelks

From: Yadelin Crespo Alvarez <ycrespo@windcreek.com>
Sent: Tuesday, May 28, 2024 11:13 AM
To: La'Kesha Jelks
Subject: RE: Magic City Casino License Renewal (SM155) 2024-2025
Attachments: Ownership Chart - NEW.pdf

Categories: Slots

Good Morning La'Kesha,

Attached is the Ownership Chart and below are the payments I just put through.

Please let me know if we're all good or if you need anything else from me.

Thank you,

GRETNA RACING LLC
Access ID: 580000000155
450 NW 37TH AVE
Business Partner #: 0003639338
Miami, FL 33125-4041

Confirmation Number: 241490977505

Remittance Period End Date Payment Type Tax Type:
8/6/2024 Fees DBPR - Pari-Mutuel - Slots
Confirmation Date and Time 05/28/2024 11:03:57 AM ET
Debit Date: 5/29/2024
Enter Amount for Check: \$2,000,000.00
Bank Routing Number: [REDACTED]
Bank Account Number: [REDACTED]
Bank Account Type: Checking
Corporate/Personal: Corporate
Name on Bank Acct: GRETNA RACING LLC

GRETNA RACING LLC
Access ID: 580000000155
450 NW 37TH AVE
Business Partner #: 0003639338
Miami, FL 33125-4041

Confirmation Number: 241490977508

Remittance Period End Date Payment Type Tax Type:
8/6/2024 Fees DBPR - Pari-Mutuel - Slots
Confirmation Date and Time 05/28/2024 11:07:19 AM ET
Debit Date: 5/29/2024
Enter Amount for Check: \$250,000.00
Bank Routing Number: [REDACTED]
Bank Account Number: [REDACTED]
Bank Account Type: Checking
Corporate/Personal: Corporate
Name on Bank Acct: GRETNA RACING LLC

Yadelin Crespo
Magic City Casino
o: (305) 631-4536 | c: (954) 504-7130
ycrespo@windcreek.com



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: Yadelin Crespo Alvarez <ycrespo@windcreek.com>
Sent: Friday, May 24, 2024 1:42 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Venus M. Prince <vprince@WindCreek.com>; Michael Magazzu <michael.magazzu@windcreek.com>; John Enriquez <jenriquez@WindCreek.com>
Subject: Magic City Casino License Renewal (SM155) 2024-2025

Good Afternoon La'Kesha,

Attached are the documents for Magic City Casino's annual license renewal for SM155. Are these copies sufficient or did you want me to mail you the originals?

- Form 3195, 3405 and 3190 signed and notarized where necessary
- Current Bond
- Current Slot Vendor List
- The fees will be paid next week

Please let me know if any questions.

Thank you and have a great weekend!

Yadelin Crespo
Magic City Casino
o: (305) 631-4536 | c: (954) 504-7130
ycrespo@windcreek.com



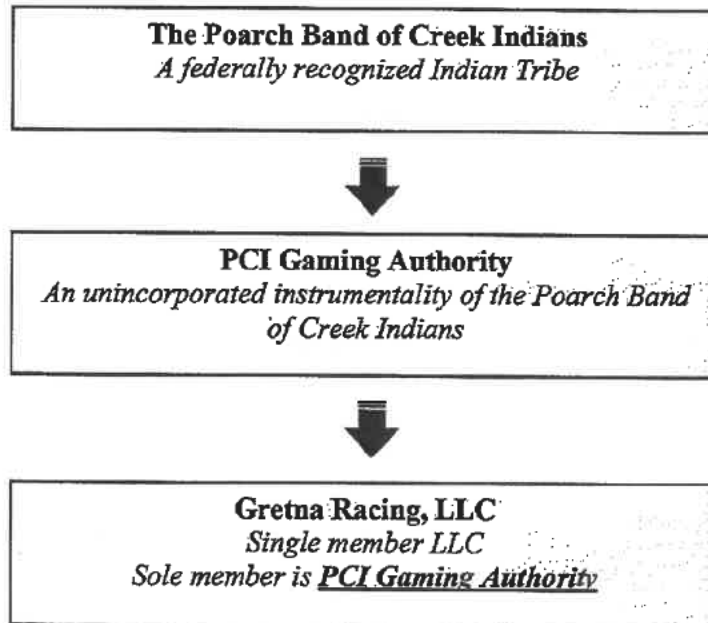
Exhibit 1 – Names and Addresses of Owner and Principals

Ownership Structure

Gretna Racing, LLC (“Gretna”) is a Florida limited liability company.

PCI Gaming Authority, an unincorporated instrumentality of the Poarch Band of Creek Indians (“PBCI”), a federally recognized Indian Tribe, is the managing member of Gretna and owns 100% of the membership interests in Gretna.

PBCI, through PCI Gaming Authority, owns 100% of the membership interests in Gretna. Under the U.S. Constitution and federal law, PBCI is considered to be a sovereign nation. The gaming assets of PBCI are held by PCI Gaming Authority and the individual Tribal members have no ownership of any of the assets of the Tribe, just as the citizens of the state of Florida do not have ownership of the State’s assets. PBCI has adopted its own constitution and legal code. Members of the Tribe elect Tribal Council members and the Tribal Council is the Tribe’s legislative body that enacts laws applicable to the Tribe and the property that is held in trust by the U.S. government. None of the employees, officers, directors, or Tribal members of PBCI or PCI Gaming Authority have any ownership in the Tribal assets.





Travelers Casualty and Surety Company of America
Hartford, CT 06183

**CONTINUATION CERTIFICATE
FIDELITY OR SURETY BONDS/POLICIES**

License No. _____

In consideration of \$28,822.00 dollars renewal premium, the term of Bond/Policy No. in the amount of \$2,000,000.00, issued on behalf of Gretna Racing, LLC, whose address is 501 Racetrack Road Gretna FL 32332, in favor of The Governor of the State of Florida and her or his successor in office, whose address is 400 South Monroe Street Tallahassee FL 32399, in connection with Florida Slot Machine License is hereby extended to August 1, 2025, subject to all covenants and conditions of said bond/policy.

This certificate is designed to extend only the term of the bond/policy. It does not increase the amount which may be payable thereunder. The aggregate liability of the Company under the said bond/policy together with this certificate shall be exactly the same as, and no greater than it would have been, if the said bond/policy had originally been written to expire on the date to which it is now being extended.

Signed, sealed and dated January 5, 2024

Gretna Racing, LLC

By: _____

Travelers Casualty and Surety Company of America

By: _____

Lawrence F. McMahon Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF CALIFORNIA

County of San Diego

On 1/5/2024 before me, Sarah Myers, Notary Public,
Date Insert Name of Notary exactly as it appears on the official seal

personally appeared Lawrence F. McMahon
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(##) whose name(##) is/## subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(##), and that by his/her/their signature(##) on the instrument the person(##), or the entity upon behalf of which the person(##) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Signature [Signature]
Signature of Notary Public



Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing:
Surety Company

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing:



**Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company**

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **LAWRENCE F MCMAHON** of **SAN DIEGO**, California, their true and lawful Attorney(s)-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this 21st day of April, 2021.



State of Connecticut

City of Hartford ss.

By: 
Robert L. Raney, Senior Vice President

On this the 21st day of April, 2021, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2026




Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this 5th day of January, 2024.




Kevin E. Hughes, Assistant Secretary

**To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney(s)-in-Fact and the details of the bond to which this Power of Attorney is attached.**

La'Kesha Jelks

From: Karen Stelter
Sent: Thursday, May 30, 2024 8:26 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Tracy Swain
Subject: Gretna Slot
Attachments: TAXPAYMENTB-20240529.log

Good morning,

Per the attached Gretna Racing, LLC has paid the Slot License and CAGP fees. Please let me know if you need any additional information to process their payment.

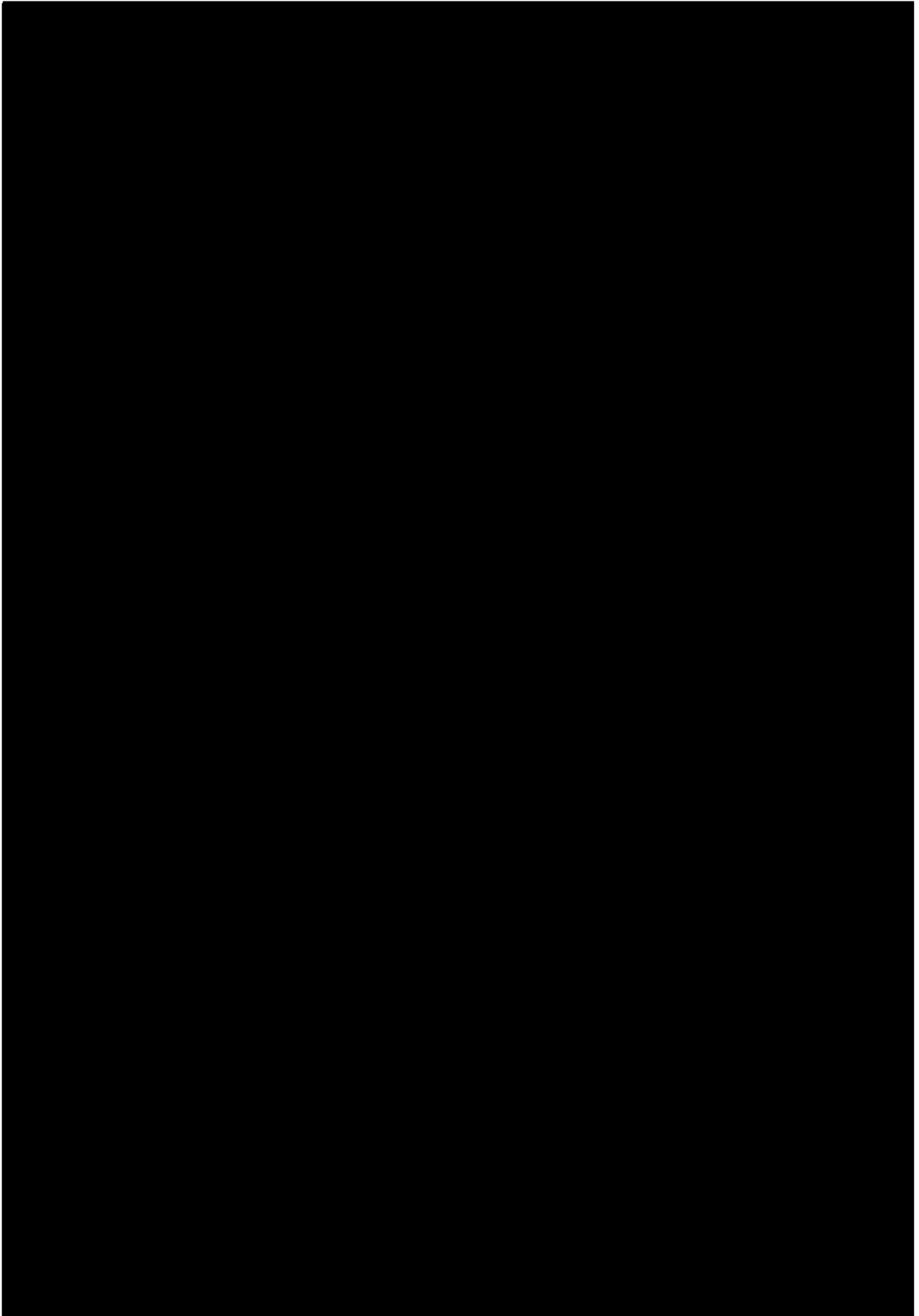


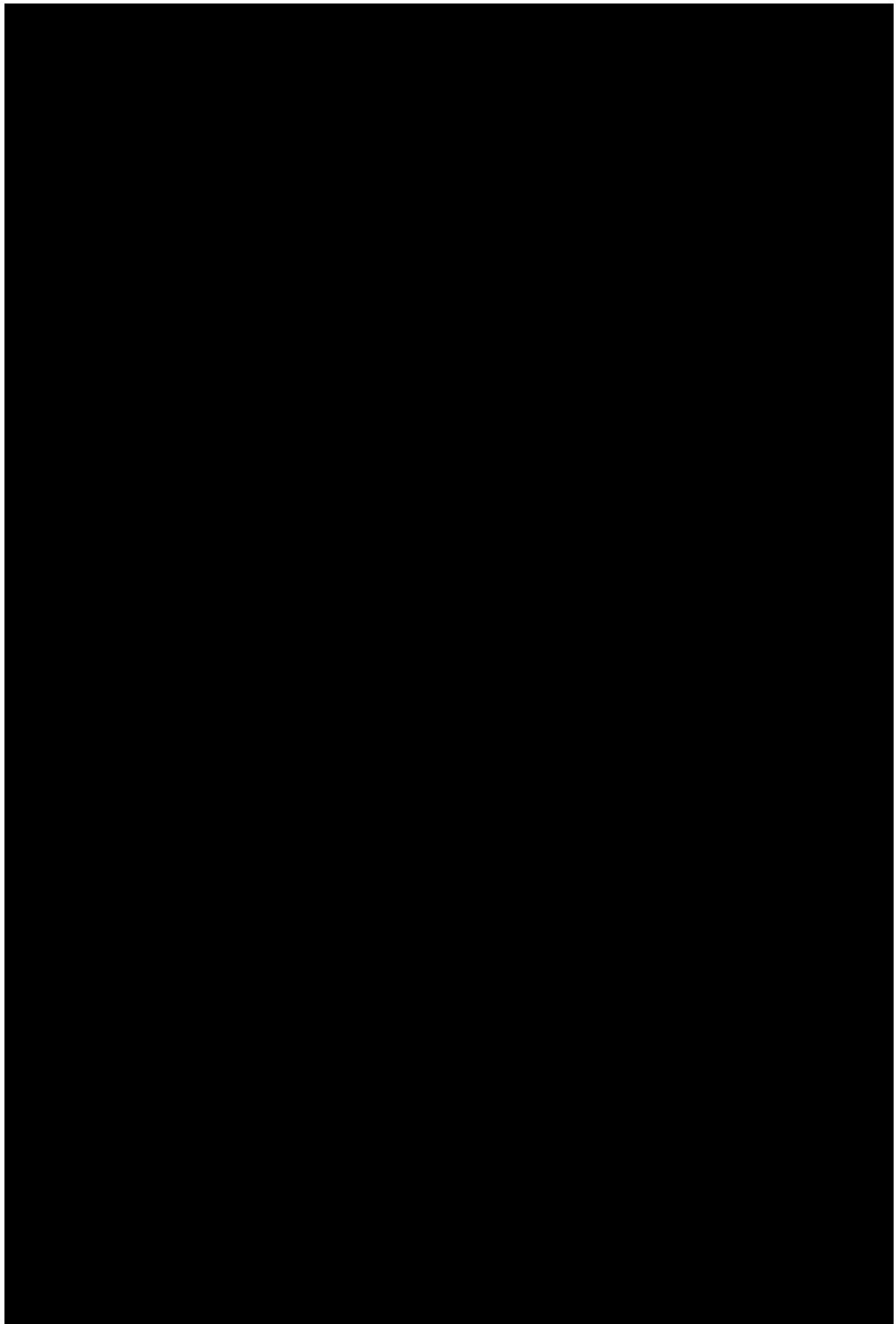
Best Regards,

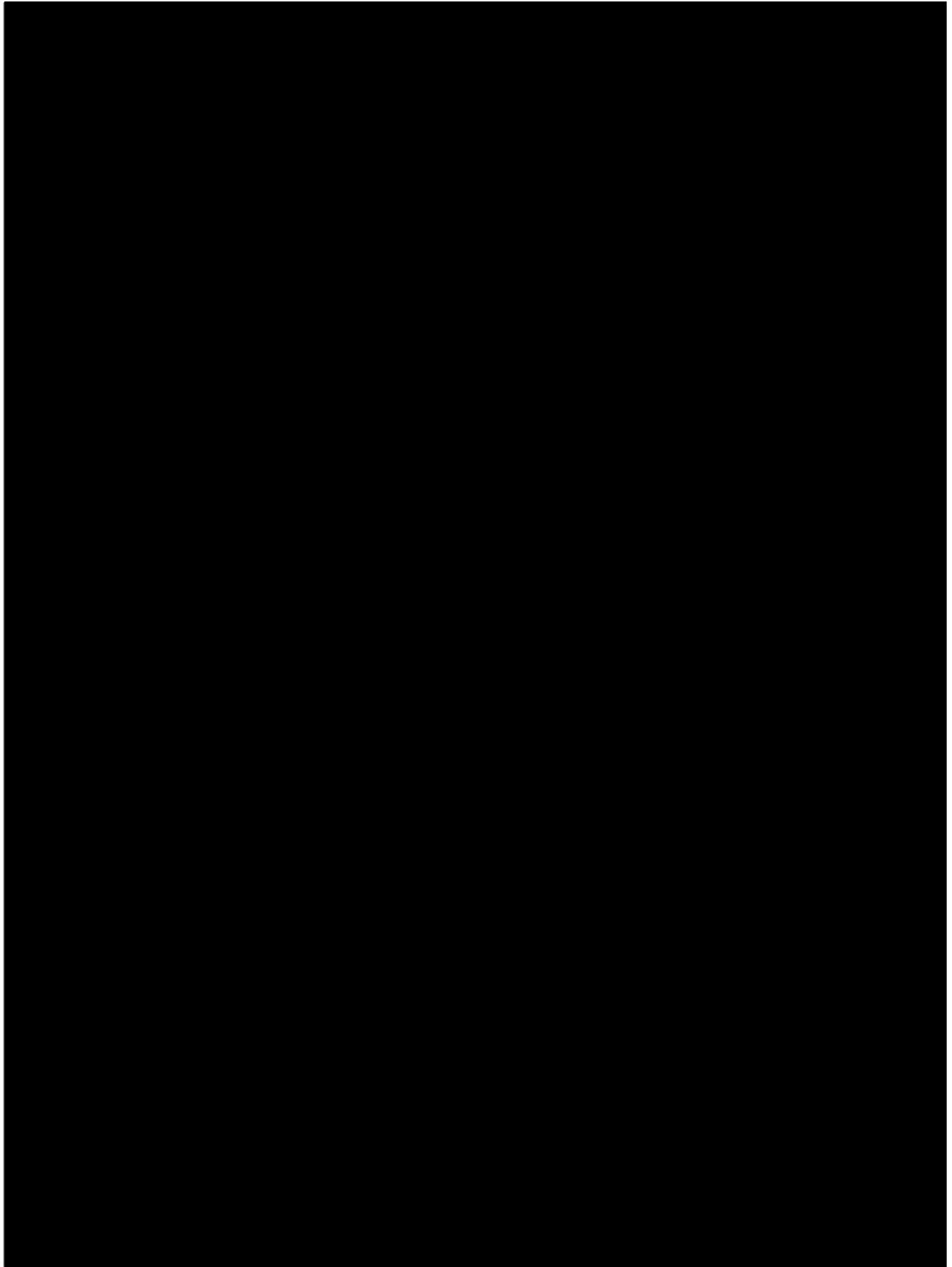
Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

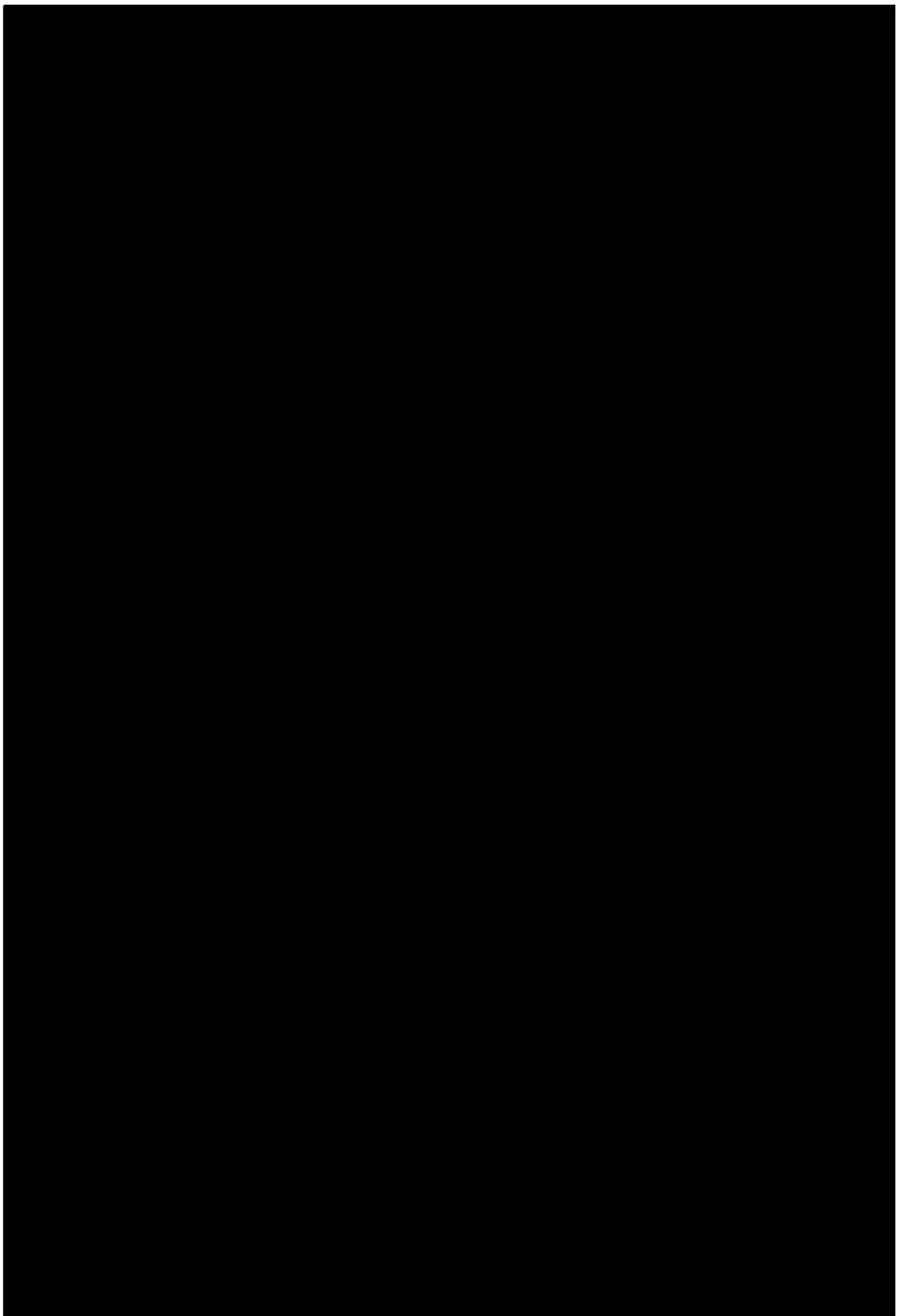
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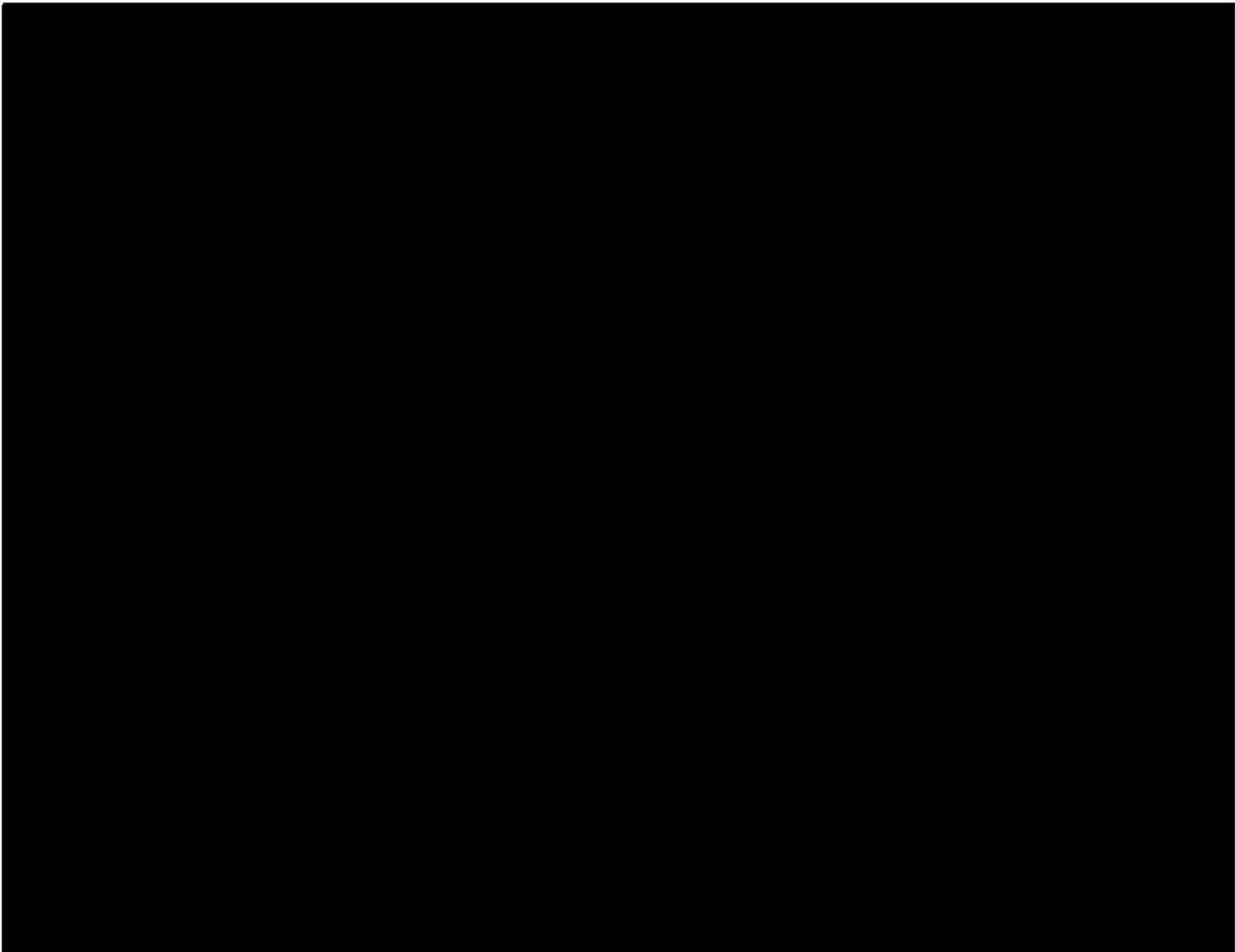
The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. **LARGER VIEW**











VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: tjelks

VR Home > Application Search > Transaction Check List > Transaction Header

| | | | |
|----------------------|---|--|--------------------------------|
| Fed Tax # [REDACTED] | Name GRETNA RACING, LLC | Lic Type 1003 - Permitholder App for Annual Slot Licens | Trans Class 1 - Initial |
| File # 7 | Rank Permit holder Appl Annual Slot Mach Lic | App # 140 | Sec Class S - Standard |
| License # 155 | Lic Status Current | Status Open | |
| Entity # 155 | Expires On 08/08/2024 | Trans Code 1020 - Slot Machine License | |

App Date

mm/dd/yyyy

Normal Expiration Date **05/21/2025**

Override Expiration Date

mm/dd/yyyy

Application Disposition

1st Reminder

2nd Reminder

| | |
|--------------|---------------------|
| Total Fee \$ | 2,250,000.00 |
| Paid \$ | 2,250,000.00 |
| Released \$ | 2,250,000.00 |
| Due \$ | 0.00 |

Notes

Set License Expiry

Application For

Rank **Permit holder Appl Annual Slot Mach Lic**
Lic Status **Current**

Modifiers

Type Code Additional Info

| Type | Modifier | Additional Info | Actions |
|-----------------|----------|-----------------|---------|
| No items found. | | | |

-
-
-
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Get Adobe Reader.



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation

Origin: EFT

Fiscal Year: 2023

Batch #: 23024054

Total \$ Entered: \$ 2,250,000.00

Deposit #: AD0228

Deposit Date: 2024-06-04

Receipt: 2

Receipts Entered: 2

Total: \$ 2,250,000.00

Status: Deposited

| Receipt # | DLN | Received | Disp | Pmt | Note | Unassigned | Prof | Remitted By / Beneficiary | File # | License # | Assigned |
|---------------|-----|-----------------|-----------------|-----|------|-----------------|------|---------------------------|--------|-----------|----------|
| 230164688 | | \$ 250,000.00 | DEP | EFT | | \$ 250,000.00 | | | | | |
| | | | | | | | 1003 | GRETNA RACING, LLC | 7 | 155 | \$ 0.00 |
| 230164689 | | \$ 2,000,000.00 | DEP | EFT | | \$ 2,000,000.00 | | | | | |
| | | | | | | | 1003 | GRETNA RACING, LLC | 7 | 155 | \$ 0.00 |
| Total: | | | \$ 2,250,000.00 | | | \$ 2,250,000.00 | | | | | \$ 0.00 |



VENDOR LIST

| COMPANY NAME | ADDRESS | NAME TYPE | LICENSE # | LICENSE EXPIRES | LICENSE TYPE | STATUS |
|---|---|-----------|------------|-----------------|---------------|-----------|
| ACRES MANUFACTURING COMPANY ✓ | 6415 SOUTH TENAYA WAY, SUITE 110 LAS VEGAS, NV 89113 | Primary | 13540017 | 6/30/2025 | Slot Business | Current ✓ |
| AGS, LLC ✓ | 6775 SOUTH EDMOND ST, SUITE 300 LAS VEGAS, NV 89118 | Primary | 8281949 | 6/30/2026 | Slot Business | Current ✓ |
| AINSWORTH GAME TECHNOLOGY LTD ✓ | 10 HOLKER STREET NSW, 99 2127 | Primary | 7929049 ✓ | 6/30/2024 | Slot Business | Current |
| ARISTOCRAT TECHNOLOGIES, INC ✓ | 10220 ARISTOCRAT WAY LAS VEGAS, NV 89135 | Primary | 7830421 ✓ | 6/30/2024 | Slot Business | Current |
| BLUBERI GAMING USA INC ✓ | 1770 DEAN MARTIN DRIVE SUITE 407 LAS VEGAS, NV 89139 | Primary | 11105033 ✓ | 6/30/2025 | Slot Business | Current |
| CUMMINS-ALLISON CORP ✓ | 852 FEEHANVILLE DR MT. PROSPECT, IL 60056 | Primary | 7934408 ✓ | 6/30/2025 | Slot Business | Current |
| CURRENCY COUNTING LLC ✓ | 2201 MOUNT ROAD ASTON, PA 19014 | Primary | 10809371 ✓ | 6/30/2024 | Slot Business | Current |
| EVERI GAMES, INC. ✓ | 7250 S TENAYA WAY, SUITE 100 LAS VEGAS, NV 89113 | Primary | 9943621 ✓ | 6/30/2027 | Slot Business | Current |
| FIRST AMERICAN BANKCARD, INC. ✓ | 1250 RUDOLPH LAKE DRIVE, SUITE 114 METAIRIE, LA 70002 | Primary | 8714420 ✓ | 6/30/2024 | Slot Business | Current |
| IGT INC ✓ | 6355 S. BUFFALO DRIVE LAS VEGAS, NV 89113-2153 | Primary | 7800936 ✓ | 6/30/2024 | Slot Business | Current |
| INTERBLOCK USA, LC ✓ | 1106 PALMS AIRPORT DRIVE LAS VEGAS, NV 89119-3730 | Primary | 8759471 ✓ | 6/30/2025 | Slot Business | Current |
| KONAMI GAMING, INC ✓ | 585 KONAMI CIRCLE LAS VEGAS, NV 89119 | Primary | 7883140 ✓ | 6/30/2024 | Slot Business | Current |
| LANDRY HOLDINGS, LLC DBA CASINOTECH ✓ | PO BOX 2107 CYPRUSS, TX 77410 | Primary | 9917921 ✓ | 6/30/2024 | Slot Business | Current |
| LIGHTNING SLOT MACHINES, LLC ✓ | 17 CREEK CIRCLE, SUITE 400 BOOTHWYN, PA 19061 | Primary | 9823078 ✓ | 6/30/2024 | Slot Business | Current |
| LNW GAMING INC (d/b/a SG GAMING INC) ✓ | 1041 SOUTH BERMUDA ROAD LAS VEGAS, NV 89119-3605 | Primary | 7805891 ✓ | 6/30/2024 | Slot Business | Current |
| MEDIA DELIVERY SYSTEMS ✓ | 6171 NW 32ND AVE MIAMI, FL 33166 | Primary | 12160513 ✓ | 6/30/2025 | Slot Business | Current |
| NAO VENTURES INC (DBA MAVERICK INTERNATIONAL) ✓ | 9155 NW 72 AVE MIAMI, FL 33166 | Primary | 11938935 ✓ | 6/30/2024 | Slot Business | Current |
| NRT TECHNOLOGY CORPORATION ✓ | 10 COMPASS COURT TORONTO, ON M1S 5K3 | Primary | 7860084 ✓ | 6/30/2024 | Slot Business | Current |
| PATRIOT GAMING & ELECTRONICS, INC ✓ | 117 N. LINDBERG GRIFFITHIN 46319 | Primary | 8605145 ✓ | 6/30/2025 | Slot Business | Current |
| REEL GAMES, INC ✓ | 1501 NE 13TH AVENUE FORT LAUDERDALE, FL 33304 | Primary | 7830710 ✓ | 6/30/2024 | Slot Business | Current |
| SECG, LLC ✓ | 8162 PINES BOULEVARD, SUITE 330 PEMBROKE PINES, FL 33024 | Primary | 11387717 ✓ | 6/30/2026 | Slot Business | Current |
| SEMOICE TECHNOLOGY, INC ✓ | 50 WEST SUNSET DRIVE ATMORE, AL 36502 | Primary | 11737255 ✓ | 6/30/2026 | Slot Business | Current |
| SERVICE CENTRAL INC ✓ | 3321 LOUIS SHERMAN DRIVE STIGLER, IL 60475 | Primary | 8566232 ✓ | 6/30/2024 | Slot Business | Current |
| SUZOHAPP GAMING SOLUTIONS, LLC ✓ | 1385 PAMA LANE, UNIT 100 LAS VEGAS, NV 89119 | Primary | 8032829 ✓ | 6/30/2025 | Slot Business | Current |
| TOTAL KEY CONTROL, INC ✓ | 1777 NORTH WICKHAM ROAD MELBOURNE, FL 32940 | Primary | 10764411 ✓ | 6/30/2025 | Slot Business | Current |
| VSR INDUSTRIES INC ✓ | 6190 MT VISTA ST HENDERSON, NV 89014 | Primary | 1499535 ✓ | 6/30/2024 | Slot Business | Current |
| ZITRO USA INC ✓ | 6650 VIA AUSTI PARKWAY, SUITE 170 LAS VEGAS, NV 89119 | Primary | 10019307 ✓ | 6/30/2024 | Slot Business | Current |
| ZUUM USA, LLC ✓ | 10161 PARK RUN DRIVE, SUITE 150 LAS VEGAS, NV 89145 | Primary | 10535996 ✓ | 6/30/2026 | Slot Business | Current |

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **ACRES MANUFACTURING COMPANY** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **AGS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **AINSWORTH GAME TECHNOLOGY LTD** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/22/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ARISTOCRAT TECHNOLOGIES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/07/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **BLUBERI GAMING USA INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2022**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **CUMMINS-ALLISON CORP** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/22/2022**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **CURRENCY COUNTING LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/16/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2027**
Name **EVERI GAMES, INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **04/19/2024**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **FIRST AMERICAN BANKCARD INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/23/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **IGT INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/02/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **INTERBLOCK USA L. C.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/29/2022**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **KONAMI GAMING INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/30/2018**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **LANDRY HOLDINGS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **04/28/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **LIGHTNING SLOT MACHINES LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/24/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **LNW GAMING INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/22/2012**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **MEDIA DELIVERY SYSTEMS** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/19/2022**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **NAO VENTURES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/18/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **NRT TECHNOLOGY CORP** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **PATRIOT GAMING & ELECTRONICS, INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/18/2022**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **REEL GAMES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **SECG, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **SEMOICE TECHNOLOGY INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **SERVICE CENTRAL INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/30/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **SUZHAPP GAMING SOLUTIONS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **TOTAL KEY CONTROL, INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **09/01/2022**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **VSR INDUSTRIES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ZITRO USA INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/15/2021**
Lic Status **Current**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **ZUUM USA LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2020**
Lic Status **Current**



MEMORANDUM

TO: Jamie Pouncey, Program Administrator
FROM: Bill Crafts, Chief of Slot Operations
SUBJECT: Gretna Racing, LLC., d/b/a Magic City Casino – Annual Internal Controls Review
DATE: May 20, 2024

The office of Slot Operations has completed our annual review of the Internal Controls of Gretna Racing, LLC., d/b/a Magic City Casino. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. Code R. 75-14. We strive to continuously review all our licensed facilities systems of control and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-2025-155 being issued on May 20, 2024

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

Bill Crafts
Chief of Slot Operations

La'Kesha Jelks

From: Yadelin Crespo Alvarez <y Crespo@windcreek.com>
Sent: Monday, May 6, 2024 2:41 PM
To: La'Kesha Jelks
Subject: RE: Bond

Gotcha. Thank you!

Yadelin Crespo

Magic City Casino
o: (305) 631-4536 | c: (954) 504-7130
y Crespo@magiccitycasino.com



From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Monday, May 06, 2024 2:24 PM
To: Yadelin Crespo Alvarez <y Crespo@windcreek.com>
Subject: Re: Bond

Greetings,

The attached is sufficient. Thanks.

Sent from my Verizon, Samsung Galaxy smartphone
Get [Outlook for Android](#)

From: Yadelin Crespo Alvarez <y Crespo@windcreek.com>
Sent: Monday, May 6, 2024 1:48:16 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: Bond

Good Afternoon La'Kesha and Happy Monday!

I wanted to check in on our Bond.

Is form 3470 necessary? Or is the attached sufficient for proof of bond?

Thank you,

Yadelin Crespo

Magic City Casino
o: (305) 631-4536 | c: (954) 504-7130
y Crespo@magiccitycasino.com





Travelers Casualty and Surety Company of America
Hartford, CT 06183

**CONTINUATION CERTIFICATE
FIDELITY OR SURETY BONDS/POLICIES**

License No. _____

In consideration of \$28,822.00 dollars renewal premium, the term of Bond/Policy No. in the amount of \$2,000,000.00, issued on behalf of Gretna Racing, LLC, whose address is 501 Racetrack Road Gretna FL 32332, in favor of The Governor of the State of Florida and her or his successor in office, whose address is 400 South Monroe Street Tallahassee FL 32399, in connection with Florida Slot Machine License is hereby extended to August 1, 2025, subject to all covenants and conditions of said bond/policy.

This certificate is designed to extend only the term of the bond/policy. It does not increase the amount which may be payable thereunder. The aggregate liability of the Company under the said bond/policy together with this certificate shall be exactly the same as, and no greater than it would have been, if the said bond/policy had originally been written to expire on the date to which it is now being extended.

Signed, sealed and dated January 5, 2024

Gretna Racing, LLC

By: _____

Travelers Casualty and Surety Company of America

By: _____

Lawrence F. McMahon Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF CALIFORNIA

County of San Diego

On 1/5/2024 before me, Sarah Myers, Notary Public,
Date Insert Name of Notary exactly as it appears on the official seal

personally appeared Lawrence F. McMahon
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(##) whose name(##) is/## subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(##), and that by his/her/their signature(##) on the instrument the person(##), or the entity upon behalf of which the person(##) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Signature [Signature]
Signature of Notary Public



Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: _____

Surety Company

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: _____



Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **LAWRENCE F MCMAHON** of **SAN DIEGO**, California, their true and lawful Attorney(s)-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this **21st** day of **April**, 2021.



State of Connecticut

City of Hartford ss.

By:
 Robert L. Raney, Senior Vice President

On this the **21st** day of **April**, 2021, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

My Commission expires the **30th** day of **June**, 2026



Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

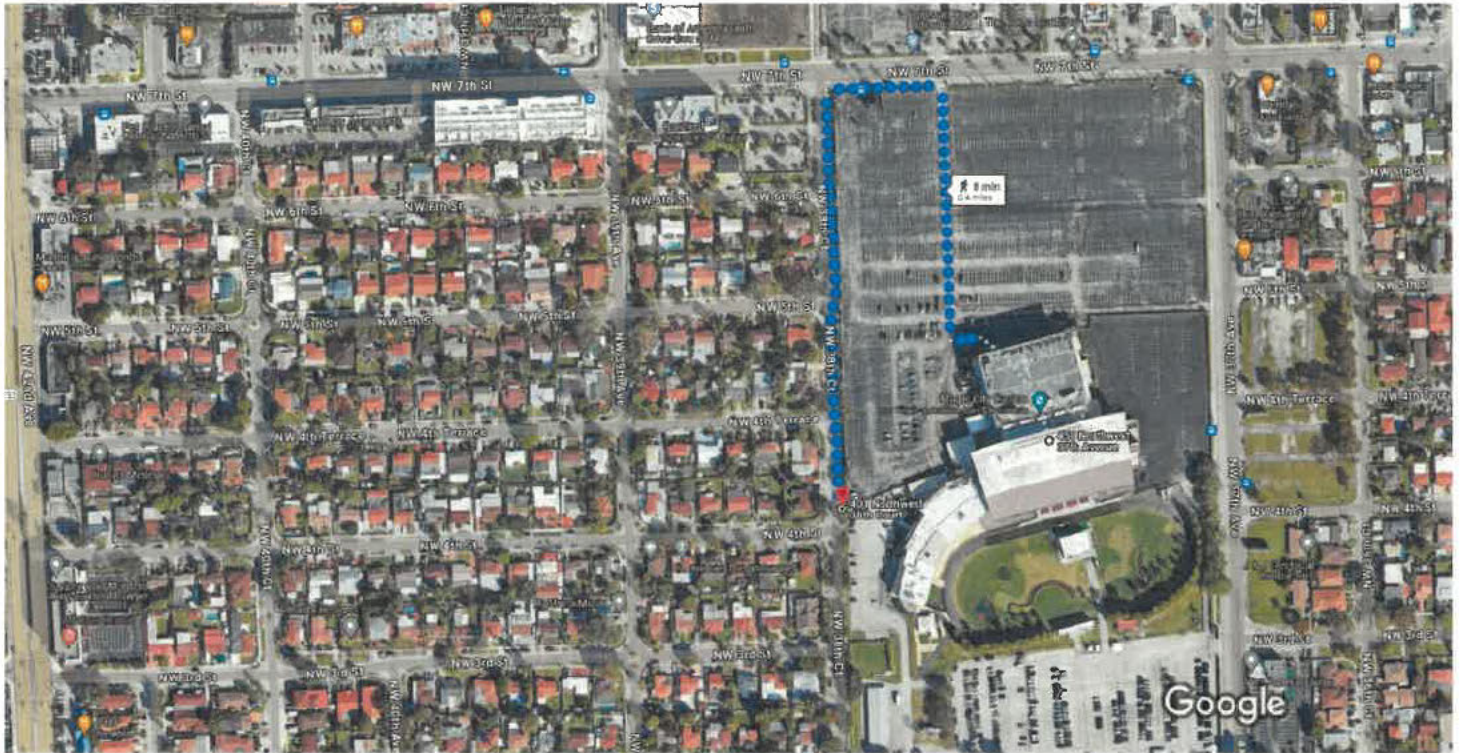
I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this 5th day of January, 2024.



Kevin E. Hughes, Assistant Secretary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney(s)-in-Fact and the details of the bond to which this Power of Attorney is attached.



Imagery ©2024 Airbus, Maxar Technologies, Map data ©2024 200 ft



via NW 38th Ct

8 min

0.4 mile

Mostly flat





FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS West Flagler Associates, Ltd., d/b/a Flagler Dog Track and Magic City Casino, is the holder of a valid, active pari-mutuel permit to conduct greyhound racing at its facility located in Miami-Dade County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS Flagler Dog Track and Magic City Casino is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Miami-Dade County, Florida, authorized slot machines at pari-mutuel wagering facilities within Miami-Dade County in a county-wide referendum conducted on January 28, 2008.

WHEREAS Flagler Dog Track and Magic City Casino has made application for licensure to conduct Slot Machine Gaming from August 7, 2020, through August 6, 2021, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that Flagler Dog Track and Magic City Casino has fulfilled all the statutorily required conditions for licensure and is therefore qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS Flagler Dog Track and Magic City Casino has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that Flagler Dog Track and Magic City Casino is granted a non-transferable license, number **SM155**, under which Flagler Dog Track and Magic City Casino, exclusively at the slot machine facility located at Flagler Dog Track and Magic City Casino, at 401 N.W. 38th Court, Miami, Florida, 33126, may receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE Flagler Dog Track and Magic City Casino agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this 6 of August 2020, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA:

A handwritten signature in blue ink, appearing to read "Louis Trombetta", written over a horizontal line.

Louis Trombetta
Director, Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024029142 **Incident date:** 05/06/2024 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: GRETNA RACING, LLC
 401 NORTHWEST 38TH COURT, MIAMI, FL 33126

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION
 Permitholder Name: Gretna Racing, LLC d/b/a Magic City Casino (GHND155)
 Date Received:
 Reviewer's Name: La'Kesha Jelks

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 05/20/2024 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 05/20/2024 | S | 1003 | 10 | Initial Review | ljelks | |

2. Discussion of application for non-wagering pari- mutuel license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Florida Standardbred Breeders & Owners Association, Inc. (HRNS601)
Case#: 2024-020893
Date: June 4, 2024

Executive Summary

A permitholder submitted an application to extend its annual non-wagering horse racing license for the 2024-2025 Fiscal Year¹. The Commission should approve this request.

Background

Florida Standardbred Breeders & Owners Association, Inc. ("FSBOA") possess a valid non-wagering permit. FSBOA was issued a non-wagering license for the 2024 Calendar Year. FSBOA's current license includes seven non-wagering performances for the 2024 Calendar Year².

Analysis

The Commission shall have the authority to extend a non-wagering license for the 2024 Calendar Year through the 2024-2025 Fiscal Year upon application by the non-wagering permit holder³. The Commission must confirm that: each permitholder has submitted proof with their annual application for a license; the permitholder continues to possess the qualifications prescribed by Chapter 550, Florida Statutes⁴. FSBOA has satisfied all the requirements.

Recommendation The Florida Gaming Control Commission should approve Florida Standardbred Breeders & Owners Association, Inc.'s request to extend its non-wagering horse racing license for the 2024-2025 fiscal year.

¹ § 550.505(3), Fla. Stat.

² "Performance" means "a series of events, races, or games performed consecutively under a single admission charge." § 550.002(25), Fla. Stat.

³ § 550.505(3)(c), Fla. Stat.

⁴ § 16.712(7) Fla. Stat.

STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

NON-WAGERING APPLICATION

| APPLICATION INFORMATION | | | |
|---|--|-----------------------------|--------------------------|
| Applicant Name/License #: | Florida Standardbred Breeders' Owners' Association 601 | | |
| Non-Wagering permit issued on January 3, 1985 | | | |
| Date Received : | 04/09/2024 | Case#: | 2024-020893 |
| Reviewer Name: La'Kesha Jelks | | | |
| PARI-MUTUEL WAGERING LICENSE REQUIREMENTS | | | |
| Requirement Description Pursuant to Florida Statute Section 550.505(3)(a) | Requirement Met? Y/N | Date Deficiency Letter Sent | Date Deficiency Resolved |
| Submission of complete original application form DBPR PMW 3060. | Y | | |
| DBPR PMW 3190, All officers, directors, and shareholders holding 10% or more ownership interest in the business entity. | Y | | |
| Submission of complete original form DBPR PMW 3080, Permitholder Calendar. | Y | | |

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

| | | | | |
|--|---|---|---|-------------------------|
| OFFICE OF REVENUE LICENSING ADMIN OGC | REVIEWED REVIEWED REVIEWED | INITIALS: <u>ds</u> INITIALS: <u>gp</u> INITIALS: <u>sdj</u> | DATE: <u>6/10/2024</u> DATE: <u>6/18/24</u> DATE: <u>6/17/24</u> | <i>-see post-it. SD</i> |
|--|---|---|---|-------------------------|

**DISTRIBUTION OF PMW AND CARDROOM (If applicable) LICENSE(S):
 (TO BE COMPLETED BY THE OFFICE OF OPERATIONS)**

PARI-MUTUEL LICENSE
 General Manager (Original)
 Office of Investigations
 Office of Auditing
 Office of Revenue & Financial Analysis
 Licensing Administrator (with all paperwork)
 Regional Manager
 Chief Inspector
 Director's MRS
 U of FL Laboratory
 Florida Greyhound Association (Greyhound Tracks Only)

CARDROOM LICENSE
 General Manager (Original)
 Office of Investigations
 Office of Auditing
 Office of Revenue & Financial Analysis
 Licensing Administrator (with all paperwork)
 Regional Manager
 Chief Inspector
 Director's MRS

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 601
Permit Type: HRNS
Permit County: Palm Beach
Amendment: A

LICENSE TO CONDUCT NON-WAGERING HORSE RACING

For:

Florida Standardbred Breeders & Owners Association, Inc.

Racing at:

Sunshine Meadows Equestrian Village
16668 Winners Circle
Delray Beach, FL 33446
Palm Beach County

Valid From: January 1, 2024

Expires On: June 30, 2025

Operating Dates: November 2, 2024; November 9, 2024; November 16, 2024; November 23, 2024;
November 30, 2024; December 7, 2024; December 14, 2024.

Issued and dated, this _____ day of July, 2024

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission



This license is issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location cited above and is subject to any and all laws of the State of Florida.

Florida Standardbred Breeders & Owners Association, Inc. 2025 Calendar

NON-WAGERING

(Per License #604A) Page 2 of 2

| JANUARY 2024 | | | | | | |
|--------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| FEBRUARY 2024 | | | | | | |
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| MARCH 2024 | | | | | | |
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| APRIL 2024 | | | | | | |
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| MAY 2024 | | | | | | |
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| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | 31 | |

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| JUNE 2024 | | | | | | |
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| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
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| JULY 2024 | | | | | | |
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| AUGUST 2024 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| SEPTEMBER 2024 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| OCTOBER 2024 | | | | | | |
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| NOVEMBER 2024 | | | | | | |
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| DECEMBER 2024 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| JANUARY 2025 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| FEBRUARY 2025 | | | | | | |
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| MARCH 2025 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| APRIL 2025 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| MAY 2025 | | | | | | |
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| JUNE 2025 | | | | | | |
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| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
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| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | | | | | |

0
NW

Initial Date

Totals 7 NW

La'Kesha Jelks

From: Bradford Beilly <brad@beillylaw.com>
Sent: Monday, June 24, 2024 9:20 AM
To: La'Kesha Jelks; Jamie Pouncey
Cc: rosie@floridaharnessracing.com; DEIN SPRIGGS
Subject: Re: Florida Standardbred Breeders & Owners Association, Inc. (HRNS601) Non-Wagering Application

Ms. Jelks,

FSBOA hereby confirms the following:

- 1) FSBOA wishes to use the information submitted in the 2025 application to extend the 2024 calendar license through the 2024-2025 state fiscal year: and
- 2) FSBOA does not have any scheduled performances from January 1, 2025, to June 30, 2025, such that the extension of the 2024 calendar license will not result in any additional performances.

Thank You,

Bradford Beilly, Counsel for FSBOA

Bradford J. Beilly, Esq.
BEILLY & STROHSAHL, P.A.
1144 S.E. 3rd Avenue
Fort Lauderdale, FL 33316
Phone (954) 763-7000
brad@beillylaw.com

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Date: Monday, June 24, 2024 at 8:15 AM
To: "rosie@floridaharnessracing.com" <rosie@floridaharnessracing.com>, Bradford Beilly <brad@beillylaw.com>
Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Florida Standardbred Breeders & Owners Association, Inc. (HRNS601) Non-Wagering Application

Greetings,

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Monday, June 24, 2024 8:15 AM
To: rosie@floridaharnessracing.com; Bradford Beilly
Cc: Jamie Pouncey
Subject: Florida Standardbred Breeders & Owners Association, Inc. (HRNS601) Non-Wagering Application
Attachments: New Laws.pdf

Greetings,

Senate Bill CS/SB 804, titled "Gaming Licenses and Permits," was passed by the Florida Senate and the House of Representatives during the 2024 Legislative Session. On April 26, 2024, Governor DeSantis signed the bill into law. The bill's effective date is July 1, 2024.

One of the provisions of CS/SB 804 amends the application and license issuance for non-wagering permits to establish consistency with the periods for other permits issued on the state fiscal year. Additionally, to sync the new licensing period, the amended law allows the Commission to extend a nonwagering license for the 2024 calendar year through the 2024-2025 state fiscal year upon application for such extension by the nonwagering permitholder.

On April 9, 2024, the Commission received FSBOA's nonwagering application for the 2025 calendar year. Pursuant to the law change to section 550.505, Florida Statutes, this email requests confirmation that FSBOA wishes to use the information submitted in the 2025 application to extend the 2024 calendar license through the 2024-2025 state fiscal year. Also, please confirm that FSBOA does not have any scheduled performances from January 1, 2025, to June 30, 2025, such that the extension will not result in any additional performances.

Future applications for licensure may be submitted between January 15th and February 4th of each year for a nonwagering license for the next state fiscal year, as described in the attached copy of the Laws of Florida Ch. 2024-115, specifically section 11.

If you have any questions, please contact me or Jamie Pouncey at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 601
Permit Type: HRNS
Permit County: Palm Beach

LICENSE TO CONDUCT NON-WAGERING HORSE RACING

For:

Florida Standardbred Breeders & Owners Association, Inc.

Racing at:

Sunshine Meadows Equestrian Village
16668 Winners Circle #9
Delray Beach, FL 33446
Palm Beach County

Valid For 2024 Calendar Year

Operating Dates: November 2, 2024; November 9, 2024; November 16, 2024; November 23, 2024; November 30, 2024;
December 7, 2024; December 14, 2024.

Issued and dated, this 17 day of July, 2023

By



Louis Trombetta, Executive Director
Florida Gaming Control Commission



This license is issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location cited above and is subject to any and all laws of the State of Florida.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 11/30/2023
File Number: 2023-00187
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

IN RE: FLORIDA STANDARD BRED
BREEDERS & OWNERS ASSOCIATION, INC.
APPLICATION FOR NON-WAGERING
HORSE RACING LICENSE RENEWAL

FGCC Case No.: 2023-014442

**FINAL ORDER APPROVING NON-WAGERING HORSE RACING LICENSE
APPLICATION**

Pursuant to the provisions of chapter 550 Florida Statutes, and the rules promulgated thereunder, the Florida Gaming Control Commission ("Commission"), is responsible for the investigation and approval of application of non-wagering horse racing license; and

Florida Standardbred Breeders & Owners Association, Inc. ("FSBOA") is a non-wagering permit holder who has applied for a non-wagering horse racing license for the 2024 Calendar Year. FSBOA has a non-wagering permit. FSBOA submitted a complete renewal application for their non-wagering horse racing license. For the 2024 Calendar Year, FSBOA has requested to operate seven non-wagering performances.

The Commission has received and reviewed the pertinent records and supporting documentation concerning the non-wagering horse racing license renewal application of this non-wagering permit holder.

NOW, THEREFORE, be it known that:

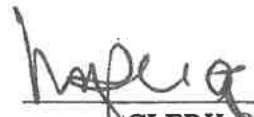
Pursuant to the requirements of chapter 550, Florida Statutes, and the rules promulgated thereunder, the Commission hereby approves Florida Standardbred Breeders & Owners Association, Inc.'s non-wagering horse racing license application for the 2024 Calendar Year.

This final order is issued *nunc pro tunc* to July 11, 2023.

It is so ordered.

UPTON, Chair, BROWN, Vice-Chair, and DRAGO, REPP, and D'AQUILA, Commissioners, concur.

I HEREBY CERTIFY that this document was filed, and a copy served on each party on November 30, 2023.



CLERK OF THE COMMISSION
Florida Gaming Control Commission

NOTICE OF RIGHT TO JUDICIAL REVIEW

A PARTY WHO IS ADVERSELY AFFECTED BY THIS FINAL ORDER IS ENTITLED TO JUDICIAL REVIEW PURSUANT TO SECTION 120.68, FLORIDA STATUTES. REVIEW PROCEEDINGS ARE GOVERNED BY THE FLORIDA RULES OF APPELLATE PROCEDURE. SUCH PROCEEDINGS ARE COMMENCED BY FILING ONE COPY OF A NOTICE OF APPEAL WITH THE AGENCY CLERK OF THE FLORIDA GAMING CONTROL COMMISSION AND A SECOND COPY, ACCOMPANIED BY FILING FEES PRESCRIBED BY LAW, WITH THE DISTRICT COURT OF APPEAL OF THE FIRST DISTRICT OR IN THE APPELLATE DISTRICT WHERE THE PARTY RESIDES. THE NOTICE OF APPEAL MUST BE FILED WITHIN THIRTY (30) DAYS OF RENDITION OF THE ORDER TO BE REVIEWED.

CERTIFICATE OF SERVICE

I hereby certify this 30th day of NOVEMBER, 2023, that a true copy of the foregoing Final Order Approving Non-Wagering Horse Racing License Application has been served via US MAIL upon:

Rosalia Huff
Florida Standardbred Breeders & Owners Association, Inc.
6750 NW 11th Court
Margate, FL 33063



CLERK OF THE COMMISSION
Florida Gaming Control Commission

Look Up a ZIP Code™ FAQs

Go to

ZIP Code™ by Address

You entered:

16668 WINNERS CIRCLE
DELRAY BEACH FL

If more than one address matches the information provided, try narrowing your search by entering a street address and, if applicable, a unit number. **Edit and search again.** ([zip-code-lookup.htm?byaddress](https://www.usps.com/zip-code-lookup.htm?byaddress))

16668 WINNERS CIR
DELRAY BEACH FL **33446-2607**

| | |
|-------------------------------------|------------|
| CARRIER ROUTE | R024 |
| COUNTY | PALM BEACH |
| DELIVERY POINT CODE | 68 |
| CHECK DIGIT | 1 |
| COMMERCIAL MAIL RECEIVING AGENCY | N |
| LAC™ | - |
| eLOT™ | 0001 |
| eLOT ASCENDING/DESCENDING INDICATOR | A |
| RECORD TYPE CODE | S |
| PMB DESIGNATOR | - |
| PMB NUMBER | - |

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|----------------------------|---|
| DEFAULT FLAG | - |
| EWS FLAG | - |
| DPV CONFIRMATION INDICATOR | Y |

Look Up Another ZIP Code™

Edit and Search Again (</zip-code-lookup.htm?byaddress>)

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Tuesday, April 9, 2024 4:03 PM
To: rosie@floridaharnessracing.com
Subject: RE: FSBOA FGCC forms 3060-3190-3080

Categories: Permit file

Greetings,

Please contact Christine Amante and Richard Dunmire and ask them to apply/renew their PMW Professional Individual Occupational Licenses. The Non- Wagering application will remain incomplete until their licenses are renewed.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: rosie@floridaharnessracing.com <rosie@floridaharnessracing.com>
Sent: Tuesday, April 9, 2024 12:02 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: FSBOA FGCC forms 3060-3190-3080

Hi La Kesha,

Attached is our FGCC forms 3060-3190-3080 for the racing season 2025-2026.

Please let me know if I missed anything.

Thanks,
Rosie Huff
Office Administrator
FSBOA
6750 NW 11th Court
Margate, FL 33063

La'Kesha Jelks

From: rosie@floridaharnessracing.com
Sent: Tuesday, April 9, 2024 12:02 PM
To: La'Kesha Jelks
Subject: FSBOA FGCC forms 3060-3190-3080
Attachments: FSBOA-2025-2026-FGCC PMW forms 3060-3190-3080-signed.pdf

Hi La Kesha,

Attached is our FGCC forms 3060-3190-3080 for the racing season 2025-2026.

Please let me know if I missed anything.

Thanks,
Rosie Huff
Office Administrator
FSBOA
6750 NW 11th Court
Margate, FL 33063



[Department of State](#) / [Division of Corporations](#) / [Search Records](#) / [Search by FEI/EIN Number](#) /

Detail by FEI/EIN Number

Florida Not For Profit Corporation

FLORIDA STANDARD BRED BREEDERS & OWNERS ASSOCIATION, INC.

Filing Information

Document Number 710986

FEI/EIN Number [REDACTED]

Date Filed 06/01/1966

State FL

Status ACTIVE

Principal Address

6750 NW 11TH CT
MARGATE, FL 33063

Changed: 01/07/2023

Mailing Address

6750 NW 11TH CT
MARGATE, FL 33063

Changed: 01/07/2023

Registered Agent Name & Address

HUFF, ROSALIA
6750 NW 11TH CT
MARGATE, FL 33063

Name Changed: 01/04/2022

Address Changed: 01/07/2023

Officer/Director Detail

Name & Address

Title President

Spriggs, Dein
10897 154th Road North
Jupiter, FL 33478

Title 1st VP

GARRITY, CHRISTINE
10635 LA Reina Road
Delray Beach, FL 33446

Title 2nd VP

Dunmire, Richard
506 Santa Barbara Street
North Fort Myers, FL 33903

Title Treasurer

BIRK HOLD, GEORGE
512 N. Orange Avenue
Sarasota, FL 34236

Title Secretary

Oldford, Steve
5343 Washington Street
Lexington, MI 48450

Title Other

Huff, Rosalia
5314 NW 54th Street
Coconut Creek, FL 33073

Annual Reports

| Report Year | Filed Date |
|--------------------|-------------------|
| 2022 | 01/04/2022 |
| 2023 | 01/07/2023 |
| 2024 | 02/09/2024 |

Document Images

| | |
|---|--|
| 02/09/2024 -- ANNUAL REPORT | View image in PDF format |
| 01/07/2023 -- ANNUAL REPORT | View image in PDF format |
| 01/04/2022 -- ANNUAL REPORT | View image in PDF format |
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| 03/29/2016 -- ANNUAL REPORT | View image in PDF format |
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| 04/19/2012 -- ANNUAL REPORT | View image in PDF format |
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| 04/12/2010 -- ANNUAL REPORT | View image in PDF format |
| 04/14/2009 -- ANNUAL REPORT | View image in PDF format |
| 04/21/2008 -- ANNUAL REPORT | View image in PDF format |
| 04/17/2007 -- ANNUAL REPORT | View image in PDF format |
| 04/06/2006 -- ANNUAL REPORT | View image in PDF format |
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| 04/16/2004 -- ANNUAL REPORT | View image in PDF format |
| 04/08/2003 -- ANNUAL REPORT | View image in PDF format |
| 04/24/2002 -- ANNUAL REPORT | View image in PDF format |
| 04/13/2001 -- ANNUAL REPORT | View image in PDF format |
| 04/18/2000 -- ANNUAL REPORT | View image in PDF format |
| 04/16/1999 -- ANNUAL REPORT | View image in PDF format |
| 01/21/1998 -- ANNUAL REPORT | View image in PDF format |
| 02/28/1997 -- ANNUAL REPORT | View image in PDF format |
| 01/25/1996 -- ANNUAL REPORT | View image in PDF format |
| 01/27/1995 -- ANNUAL REPORT | View image in PDF format |

FGCC PMW-3060 – Permitholder Application for License and Operating Dates



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov**

| INSTRUCTIONS |
|---|
| This form is to be submitted in conjunction with Form FGCC PMW-3080 – Permitholder Calendar (If conducting live races/games) and Form FGCC PMW-3190 – Officers and Directors. Check the box that designates the purpose of this form filing: <input checked="" type="checkbox"/> Application for Annual License and Operating Dates <input type="checkbox"/> Application for Amendment to Annual License and Operating Dates |

| PERMITHOLDER INFORMATION | | | |
|--|--|--|------------|
| Permitholder Name Florida Standard Breeders & Owners Association Doing Business As (D/B/A) | Permit # 601 | FEID# or SSN * | [REDACTED] |
| MAILING ADDRESS | | | |
| Street Address or P.O. Box 6750 NW 11th Court | | | |
| City Margate | State FL | Zip Code (+4 optional) 33063 | |
| County (if Florida address) Broward | Country USA | | |
| CONTACT INFORMATION | | | |
| Contact Name Rosalia "Rosie" Huff | Title Office Administrator | | |
| Primary Phone Number 954-972-5400 | Fax Number | | |
| Primary E-Mail Address rosie@floridaharnessracing.com | Cell Phone Number 954-415-7065 | | |
| PHYSICAL LOCATION OF PARI-MUTUEL FACILITY | | | |
| Street Address 16668 Winners Circle | | | |
| City Delray Beach | State FL | Zip Code (+4 optional) 33446 | |
| If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information: (1) The name of the applicant and the lessor; (2) The address of the applicant and the lessor; (3) The type of permit held by both the applicant and the lessor; (4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances; (5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and (6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement. | | | |

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

No change

Is the applicant incorporated? Yes No If yes, under the laws of which state? **Florida**

Please list all officers, directors, and stockholders of record of the applicant using Form FGCC PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form FGCC PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form FGCC PMW-3190 – Officers and Directors.

Have any persons listed on Form FGCC PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes No
If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 25 - 20 26 season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

- Yes No Permitholder intends to accept wagers on intertrack or simulcast events.
 Permitholder will NOT be conducting any live races/games during the above listed season. If zero performances are conducted the following operating information does NOT need to be completed. Form 3080 is also NOT required.

Opening Date(s): **11/1/2025** Closing Date(s): **12/6/2025**

Number of Dark Days: **55** Number of Live Days: **6**

Performances
Number of Evening Performances 0
Number of Matinee Performances 6
Number of Charity/Scholarship Performances 0
Total Number of Performances 6

Number of races/games during evening performances: **0** Number of races/games during matinee performances: **8**

Starting time: _____ Starting time: **11:00 am**

ATTESTATION

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.



Signature of Applicant or Applicant's Representative

04/04/2024

Date (MM/DD/YYYY)

Dein Spriggs

Print Applicant or Applicant's Representative Name

President

Print Title

La'Kesha Jelks

From: rosie@floridaharnessracing.com
Sent: Monday, June 3, 2024 8:46 PM
To: La'Kesha Jelks
Subject: DBPR-PMW 3190 form
Attachments: DBPR-FSBOA3190 form page 1.pdf; DBPR-FSBOA3190 form page 2.pdf

Hi La'Kesha,

Due to health issue Richard Dunmire was replace as the 2nd Vice President for the FSBOA and Joseph Pennacchio is now the new FSBOA's 2nd Vice President. I attached new DBPR-PMW 3190 forms. Christine Amante and Joseph Pennacchio renewed their 2024 FL State License

Please let me know if there's anything else you need.

Thanks,
Rosie Huff
Office Administrator
FSBOA
6750 NW 11th Court
Margate, FL 33063



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**
www.fgcc.fl.gov

Please provide information on the partners, managers, officers, or directors for your business entity below.

| ORGANIZATION NAME | |
|--|-----------------|
| Name of Organization Florida Standardbred Breeders & Owners Association | Permit # 601 |
| D/B/A or Trade Name | |

| LIMITED LIABILITY CORPORATION QUESTIONS |
|---|
| If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/> |

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

| MANAGEMENT INFORMATION | | | | |
|--|---------------------|------------------------------|---------------------------------|--------|
| Last Name Spriggs | First Dein | Middle | Title | Suffix |
| Office Held President | License # 178807 | Percentage of Ownership 0 | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 10897 154th Road North | | | | |
| City Jupiter | | State FL | Zip Code (+4 optional) 33478 | |
| County (if Florida address) Palm Beach | | Country USA | | |

| MANAGEMENT INFORMATION | | | | |
|---|----------------------|------------------------------|---------------------------------|--------|
| Last Name Amante | First Christine | Middle | Title | Suffix |
| Office Held 1st Vice President | License # 1276734 | Percentage of Ownership 0 | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 10635 LA Reina Road | | | | |
| City Delray Beach | | State FL | Zip Code (+4 optional) 33446 | |
| County (if Florida address) Palm Beach | | Country USA | | |

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **06/30/2026**

Name **SPRIGGS, DEIN P**

Extended To

Rank **PIND - Professional Individual Occupational**

Renewed On

Lic Status **Current**

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **08/07/2024**

Name **AMANTE, CHRISTINE M**

Extended To

Rank **PIND - Professional Individual Occupational**



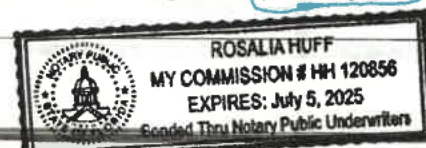
Renewed On

Lic Status **Temp Permit**

| MANAGEMENT INFORMATION | | | | | | |
|-----------------------------|--------------------|-----------|--------------|-------------------------|-------|--------------------------|
| Last Name | Pennacchio | First | Joseph | Middle | Title | Suffix |
| Office Held | 2nd Vice President | License # | 1349828 | Percentage of Ownership | 0 | |
| RESIDENCE ADDRESS | | | | | | |
| Street Address or P.O. Box | | | | | | 112 S Cannery Row Circle |
| City | | | Delray Beach | State | FL | Zip Code (+4 optional) |
| County (if Florida address) | | | Palm Beach | Country | USA | |

| MANAGEMENT INFORMATION | | | | | | |
|-----------------------------|-----------|-----------|--------------|-------------------------|-------|------------------------|
| Last Name | Oldford | First | Steve | Middle | Title | Suffix |
| Office Held | Secretary | License # | 1444135 | Percentage of Ownership | 0 | |
| RESIDENCE ADDRESS | | | | | | |
| Street Address or P.O. Box | | | | | | 4820 Orchard Lane |
| City | | | Delray Beach | State | FL | Zip Code (+4 optional) |
| County (if Florida address) | | | Palm Beach | Country | USA | |

| MANAGEMENT INFORMATION | | | | | | |
|-----------------------------|-----------|-----------|----------|-------------------------|-------|------------------------|
| Last Name | Birkhold | First | George | Middle | Title | Suffix |
| Office Held | Treasurer | License # | 8314960 | Percentage of Ownership | 0 | |
| RESIDENCE ADDRESS | | | | | | |
| Street Address or P.O. Box | | | | | | 512 N Orange Ave. |
| City | | | Sarasota | State | FL | Zip Code (+4 optional) |
| County (if Florida address) | | | Sarasota | Country | USA | |

| OATH | | | |
|--|----------------------|---|-----------|
| I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses. | | | |
| Dein Spriggs | President |  | 5/31/2024 |
| Name (Please Print) | Title (Please Print) | Signature | Date |
| State of Florida, County of <u>Broward</u> | | | |
| Sworn to (or affirmed) and subscribed before me this <u>31</u> day of <u>May</u> , 2024. | | | |
| <u>Dein Spriggs</u> , who is personally known to me or produced the following as identification: | | | |
|  | |  | |
| Notary Public My Commission Expires: 07/05/2025 | | | |

Lic Type **1021 - PMW Professional Individual Occupational** Expires On **08/14/2024**
Name **PENNACCHIO, JOSEPH** Extended To
Rank **PIND - Professional Individual Occupational** Renewed On
Lic Status **Temp Permit**

Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2025**
Name **OLDFORD, STEPHEN EUGENE** Extended To
Rank **PIND - Professional Individual Occupational** Renewed On **05/24/2023**
Lic Status **Current**

Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2024**
Name **BIRK HOLD, GEORGE C** Extended To
Rank **PIND - Professional Individual Occupational** Renewed On
Lic Status **Current**

FGCC PMW-3190 – Officers and Directors



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.fgcc.fl.gov**

Please provide information on the partners, managers, officers, or directors for your business entity below.

| ORGANIZATION NAME | |
|--|-----------------|
| Name of Organization Florida Standardbred Breeders & Owners Association | Permit # 601 |
| D/B/A or Trade Name | |

| LIMITED LIABILITY CORPORATION QUESTIONS |
|---|
| If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/> |

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

| MANAGEMENT INFORMATION | | | | |
|--|---------------------|------------------------------|---------------------------------|--------|
| Last Name Spriggs | First Dein | Middle | Title | Suffix |
| Office Held President | License # 178807 | Percentage of Ownership 0 | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 10897 154th Road North | | | | |
| City Jupiter | | State FL | Zip Code (+4 optional) 33478 | |
| County (if Florida address) Palm Beach | | Country USA | | |

| MANAGEMENT INFORMATION | | | | |
|---|----------------------|------------------------------|---------------------------------|--------|
| Last Name Amante | First Christine | Middle | Title | Suffix |
| Office Held 1st Vice President | License # 1276734 | Percentage of Ownership 0 | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 10635 LA Reina Road | | | | |
| City Delray Beach | | State FL | Zip Code (+4 optional) 33446 | |
| County (if Florida address) Palm Beach | | Country USA | | |

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **06/30/2026**

Name **SPRIGGS, DEIN P**

Extended To

Rank **PIND - Professional Individual Occupational**

Renewed On

Lic Status **Current**

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|-----------------------|----------------|-----------------|
| C | ONTR - Owner/Trainer | 06/30/2023 | |
| I | TBRD - Thoroughbred | 06/30/2023 | |
| L | 321 - Gulfstream Park | 06/30/2023 | |
| Y | 3YR - 3 Year License | 06/28/2002 | |

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **06/30/2023**

Name **AMANTE, CHRISTINE M**

Extended To

Rank **PIND - Professional Individual Occupational**

Renewed On

Lic Status **Lic Expired**



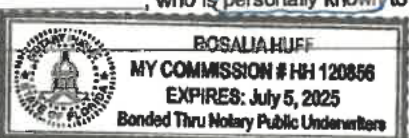
Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|--------------------------------|----------------|-----------------|
| C | IOWN - Individual Animal Owner | | |
| I | TBRD - Thoroughbred | 05/01/2011 | |
| L | 321 - Gulfstream Park | 09/16/2020 | |
| Y | 3YR - 3 Year License | | |

| MANAGEMENT INFORMATION | | | | | | |
|-----------------------------|--------------------|-----------|---------|-------------------------|------------------------|--------|
| Last Name | Dunmire | First | Richard | Middle | Title | Suffix |
| Office Held | 2nd Vice President | License # | 489547 | Percentage of Ownership | 0 | |
| RESIDENCE ADDRESS | | | | | | |
| Street Address or P.O. Box | | | | | | |
| 506 Santa Barbara Street | | | | | | |
| City | | | | State | Zip Code (+4 optional) | |
| N Fort Myers | | | | FL | 33903 | |
| County (if Florida address) | | | Country | | | |
| Lee | | | USA | | | |

| MANAGEMENT INFORMATION | | | | | | |
|-----------------------------|-----------|-----------|---------|-------------------------|------------------------|--------|
| Last Name | Oldford | First | Steve | Middle | Title | Suffix |
| Office Held | Secretary | License # | 1444135 | Percentage of Ownership | 0 | |
| RESIDENCE ADDRESS | | | | | | |
| Street Address or P.O. Box | | | | | | |
| 4820 Orchard Lane | | | | | | |
| City | | | | State | Zip Code (+4 optional) | |
| Delray Beach | | | | FL | 33445 | |
| County (if Florida address) | | | Country | | | |
| Palm Beach | | | USA | | | |

| MANAGEMENT INFORMATION | | | | | | |
|-----------------------------|-----------|-----------|---------|-------------------------|------------------------|--------|
| Last Name | Birkhold | First | George | Middle | Title | Suffix |
| Office Held | Treasurer | License # | 8314980 | Percentage of Ownership | 0 | |
| RESIDENCE ADDRESS | | | | | | |
| Street Address or P.O. Box | | | | | | |
| 512 N Orange Ave. | | | | | | |
| City | | | | State | Zip Code (+4 optional) | |
| Sarasota | | | | FL | 34236 | |
| County (if Florida address) | | | Country | | | |
| Sarasota | | | USA | | | |

| OATH | | | |
|--|--|---|----------|
| I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses. | | | |
| Dein Spriggs | President |  | 4/4/2024 |
| Name (Please Print) | Title (Please Print) | Signature | Date |
| State of Florida, County of <u>Broward</u> | | | |
| Sworn to (or affirmed) and subscribed before me this <u>4</u> day of <u>April</u> , 20 <u>24</u> . | | | |
| <u>Dein Spriggs</u> , who is <u>personally known</u> to me or produced the following as identification: | | | |
|  Notary Public My Commission Expires: 07/05/2025 |  | | |

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **06/30/2023**

Name **DUNMIRE, RICHARD L**

Extended To

Rank **PIND - Professional Individual Occupational**

Renewed On

Lic Status **Lic Expired**

Modifiers



| Type | Modifier | Effective Date | Additional Info |
|------|----------------------|----------------|-----------------|
| C | DRVR - Driver | | |
| I | HRNS - Harness | | |
| L | 910 - TLH Licensing | 11/06/2020 | |
| Y | 3YR - 3 Year License | | |

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **06/30/2025**

Name **OLDFORD, STEPHEN EUGENE**

Extended To

Rank **PIND - Professional Individual Occupational**

Renewed On **05/24/2023**

Lic Status **Current**

Modifiers



| Type | Modifier | Effective Date | Additional Info |
|------|--------------------------------|----------------|-----------------|
| C | IOWN - Individual Animal Owner | 01/03/2019 | |
| C | OTD - Owner/Trainer/Driver | 05/24/2023 | |
| C | TRNR - Trainer | 09/06/2019 | |
| I | HRNS - Harness | | |
| L | 430 - Pompano Park | 03/26/2010 | |
| Y | 3YR - 3 Year License | 11/18/2004 | |

Lic Type **1021 - PMW Professional Individual Occupational**

Expires On **06/30/2024**

Name **BIRKHOLD, GEORGE C**

Extended To

Rank **PIND - Professional Individual Occupational**

Renewed On

Lic Status **Current**

Modifiers



| Type | Modifier | Effective Date | Additional Info |
|------|----------------------|----------------|-----------------|
| C | ONTR - Owner/Trainer | 10/28/2021 | |
| I | HRNS - Harness | 10/28/2021 | |
| L | 430 - Pompano Park | 10/28/2021 | |
| Y | 3YR - 3 Year License | 07/18/2012 | |

FGCC PMW-3080 – Permitholder Calendar



STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING
 www.flgaming.gov

| PERMITHOLDER INFORMATION | |
|--|---------------------|
| Name Florida Standardbred Breeders & Owners Association | Permit # 601 |

| INSTRUCTIONS |
|--|
| Submit this form in conjunction with the form FGCC PMW-3060 – Permitholder Application for License and Operating Dates. |
| Please do not overlook the cardroom section and the required application oath on page 4. |
| Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month. |
| LETTER CODES |
| M = Matinee E = Evening C = Charity S = Scholarship |

Example

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| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | E | M | M | S | C | C |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | M | | | | | |

July Year: 2025

August Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
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Total 0

Total 0

September Year: 2025

October Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
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| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
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M 0 E 0 C/S 0

Total 0

Total 0

November Year: 2025

December Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
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| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
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| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| | | | | | | M |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| | | | | | | M |
| 30 | | | | | | |

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| | | | | | | M |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | 31 | | | |
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M 5 E 0 C/S 0

M 1 E 0 C/S 0

Total 5

Total 1

January

Year: 2026

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Total 0

February

Year: 2026

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M 0 E 0 C/S 0

Total 0

March

Year: 2026

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
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M 0 E 0 C/S 0

Total 0

April

Year: 2026

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Total 0

May Year: 2026

June Year: 2026

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M 0 E 0 C/S 0
 Total 0

M 0 E 0 C/S 0
 Total 0

| CARDROOM OPERATORS ONLY | | | | | | |
|------------------------------|--------|---------|-----------|----------|--------|----------|
| Hours of Cardroom Operations | | | | | | |
| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
| — | — | — | — | — | — | — |
| — | — | — | — | — | — | — |

Year Round? Yes No If No, Dates: _____

OATH


I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Dein Spriggs President [Signature] 4/4/2024
 Name (Please Print) Title (Please Print) Signature Date

State of Florida,
 County of Broward
 Sworn to (or affirmed) and subscribed before me this 4 day of April, 2024.

Dein Spriggs, who is personally known to me or produces the following as identification:

[Signature]
 Notary Public
 My Commission Expires: 07/05/2025



ROSALIA HUFF
 MY COMMISSION # HH 120858
 EXPIRES: July 5, 2025
 Bonded Thru Notary Public Underwriters



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024020893 **Incident date:** 04/09/2024 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: FLORIDA STANDARDBRED BREEDERS' & OWNERS' ASSOCIATION
6750 NORTHWEST 11TH COURT, MARGATE, FL 33063

Respondent: FGCC, PARI-MUTUEL WAGERING
4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: Florida Standardbred Breeder & Owners Association (601)
Non-Wagering Application (permit issued on Jan. 3, 1985)
Date Received: April 9, 2024

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 04/09/2024 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 04/09/2024 | S | 1001 | 10 | Initial Review | ljelks | |

3. Discussion of amended application for pari-mutuel operating licenses

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Gulfstream Park Racing Association, LLC (TBRD321)
2024/2025 Operating License Amendment A
Case: 2024-034591
Date: June 17, 2024

Executive Summary

A permitholder applied to amend its operating license on June 10, 2024, by canceling one thoroughbred performance. The Commission should approve this request with an effective date of July 1, 2024.

Background

Gulfstream Park Racing Association, LLC (“Gulfstream”) possesses a valid thoroughbred permit. Gulfstream was issued a pari-mutuel operating license and cardroom license for the 2024-2025 fiscal year. Gulfstream’s 2024-2025 operating license includes 161 performances (156 matinee and 5 charity).¹ Now, Gulfstream wants to amend its operating license by canceling one thoroughbred performance for a total of 160 performances (155 matinee and 5 charity) for its schedule.

Analysis

The Commission shall have the authority to approve changes in performances after a license has been issued.² Gulfstream has satisfied all requirements and is requesting an amendment from the current year’s initial license.

Recommendation: The Florida Gaming Control Commission should approve Gulfstream Park Racing Association, LLC’s application to amend its 2024-2025 fiscal year operating license schedule.

¹ “Performance” means “a series of events, races, or games performed consecutively under a single admission charge.” § 550.002(25), Fla. Stat.

² § 550.01215(3), Fla. Stat.

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Gulfstream Park Racing Association

FISCAL YEAR: 2024/2025

DATE RECEIVED: June 10, 2024

CHANGE REQUESTED: Delete matinee performance on July 1, 2024.

| Requirement | Met? Y/N | Deficiency Sent | Resolved |
|--|----------|-----------------|----------|
| Original application form DBPR PMW 3060. | Y | | |
| Submission of complete original form DBPR PMW-3080, Permitholder Calendar, pursuant to F.A.C 75-4.004(1) | Y | | |
| 61D-2.027 Each performance shall consist of a minimum of 8 races. | Y | | |
| Matinee start time: (prior to 6pm) | Y | | |
| Evening start time*: (6pm & later) | Y | | |
| <small>*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.</small> | | | |

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

| | | | |
|-----------------|----------|------------------------------|------------------------|
| REVENUE SECTION | REVIEWED | INITIALS: <u>JB</u> | DATE: <u>6/10/2024</u> |
| PERMIT ADMIN | REVIEWED | INITIALS: <u>[Signature]</u> | DATE: <u>6/13/24</u> |
| OGC | REVIEWED | INITIALS: <u>[Signature]</u> | DATE: <u>6/14/24</u> |

DISTRIBUTION OF PMW AND CARDROOM (If applicable) LICENSE(S):

Notes/Comments:

Revenue Section:

Permit Administrator:

OGC:

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 321
Permit Type: TBRD
Permit County: Broward
Amendment: A

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Gulfstream Park Racing Association, Inc.

D/B/A Gulfstream Park Racing and Casino

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

901 South Federal Highway
Hallandale Beach, FL 33009
Broward County



Valid From: July 1, 2024

Expires On: June 30, 2025

Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of July, 2024.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

Gulfstream Park 2024/2025 Calendar

(Per License #321A) Page 2 of 2

| JULY 2024 | | | | | | |
|-------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 | 2 | 3 | 4 | 5 Mat 1 | 6 Mat 1 |
| 7 Mat 1 | 8 | 9 | 10 | 11 | 12 Mat 1 | 13 Mat 1 |
| 14 Mat 1 | 15 | 16 | 17 | 18 | 19 Mat 1 | 20 Mat 1 |
| 21 Mat 1 | 22 | 23 | 24 | 25 | 26 Mat 1 | 27 Mat 1 |
| 28 Mat 1 | 29 | 30 | 31 | | | |

12 0 0
 Matinee Evening C/S Perf.

| AUGUST 2024 | | | | | | |
|-------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 Mat 1 | 3 Mat 1 |
| 4 Mat 1 | 5 | 6 | 7 | 8 | 9 Mat 1 | 10 Mat 1 |
| 11 Mat 1 | 12 | 13 | 14 | 15 | 16 Mat 1 | 17 Mat 1 |
| 18 Mat 1 | 19 | 20 | 21 | 22 | 23 Mat 1 | 24 Mat 1 |
| 25 Mat 1 | 26 | 27 | 28 | 29 | 30 Mat 1 | 31 Mat 1 |

14 0 0
 Matinee Evening C/S Perf.

| SEPTEMBER 2024 | | | | | | |
|----------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 Mat 1 | 2 | 3 | 4 | 5 | 6 Mat 1 | 7 Mat 1 |
| 8 Mat 1 | 9 | 10 | 11 | 12 | 13 Mat 1 | 14 Mat 1 |
| 15 Mat 1 | 16 | 17 | 18 | 19 | 20 Mat 1 | 21 Mat 1 |
| 22 Mat 1 | 23 | 24 | 25 | 26 | 27 Mat 1 | 28 Mat 1 |
| 29 Mat 1 | 30 | | | | | |

13 0 0
 Matinee Evening C/S Perf.

| OCTOBER 2024 | | | | | | |
|--------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 | 4 Mat 1 | 5 Mat 1 |
| 6 Mat 1 | 7 | 8 | 9 | 10 | 11 Mat 1 | 12 Mat 1 |
| 13 Mat 1 | 14 | 15 | 16 | 17 | 18 Mat 1 | 19 Mat 1 |
| 20 Mat 1 | 21 | 22 | 23 | 24 | 25 Mat 1 | 26 Mat 1 |
| 27 Mat 1 | 28 | 29 | 30 | 31 | | |

12 0 0
 Matinee Evening C/S Perf.

| NOVEMBER 2024 | | | | | | |
|---------------|------|-------|------|-------------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 Mat 1 | 29 Mat 1 | 30 Mat 1 |

3 0 0
 Matinee Evening C/S Perf.

| DECEMBER 2024 | | | | | | |
|---------------|------|-------|------|-------------|-------------|---------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 Mat 1 | 2 | 3 | 4 | 5 Mat 1 | 6 Mat 1 | 7 Mat 1 |
| 8 Mat 1 | 9 | 10 | 11 | 12 Mat 1 | 13 Mat 1 | 14 Mat 1 |
| 15 Mat 1 | 16 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 |
| 22 Mat 1 | 23 | 24 | 25 | 26 Mat 1 | 27 Mat 1 | 28 C/Mat 1 |
| 29 Mat 1 | 30 | 31 | | | | |

16 0 1
 Matinee Evening C/S Perf.

| JANUARY 2025 | | | | | | |
|--------------|------|-------|-------------|-------------|-------------|---------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 Mat 1 | 2 Mat 1 | 3 Mat 1 | 4 Mat 1 |
| 5 Mat 1 | 6 | 7 | 8 Mat 1 | 9 Mat 1 | 10 Mat 1 | 11 Mat 1 |
| 12 Mat 1 | 13 | 14 | 15 Mat 1 | 16 Mat 1 | 17 Mat 1 | 18 Mat 1 |
| 19 Mat 1 | 20 | 21 | 22 Mat 1 | 23 Mat 1 | 24 Mat 1 | 25 C/Mat 1 |
| 26 Mat 1 | 27 | 28 | 29 Mat 1 | 30 Mat 1 | 31 Mat 1 | |

22 0 1
 Matinee Evening C/S Perf.

| FEBRUARY 2025 | | | | | | |
|---------------|------|-------|-------------|-------------|-------------|--------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 C/Mat 1 |
| 2 Mat 1 | 3 | 4 | 5 Mat 1 | 6 Mat 1 | 7 Mat 1 | 8 Mat 1 |
| 9 Mat 1 | 10 | 11 | 12 Mat 1 | 13 Mat 1 | 14 Mat 1 | 15 Mat 1 |
| 16 Mat 1 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 | 22 Mat 1 |
| 23 Mat 1 | 24 | 25 | 26 Mat 1 | 27 Mat 1 | 28 Mat 1 | |

19 0 1
 Matinee Evening C/S Perf.

| MARCH 2025 | | | | | | |
|-------------|------|-------|-------------|-------------|-------------|---------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 C/Mat 1 |
| 2 Mat 1 | 3 | 4 | 5 Mat 1 | 6 Mat 1 | 7 Mat 1 | 8 Mat 1 |
| 9 Mat 1 | 10 | 11 | 12 Mat 1 | 13 Mat 1 | 14 Mat 1 | 15 Mat 1 |
| 16 Mat 1 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 | 22 Mat 1 |
| 23 Mat 1 | 24 | 25 | 26 Mat 1 | 27 Mat 1 | 28 Mat 1 | 29 C/Mat 1 |
| 30 Mat 1 | 31 | | | | | |

20 0 2
 Matinee Evening C/S Perf.

| APRIL 2025 | | | | | | |
|-------------|------|-------|------|-------------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 Mat 1 | 4 Mat 1 | 5 Mat 1 |
| 6 Mat 1 | 7 | 8 | 9 | 10 Mat 1 | 11 Mat 1 | 12 Mat 1 |
| 13 Mat 1 | 14 | 15 | 16 | 17 Mat 1 | 18 Mat 1 | 19 Mat 1 |
| 20 Mat 1 | 21 | 22 | 23 | 24 Mat 1 | 25 Mat 1 | 26 Mat 1 |
| 27 Mat 1 | 28 | 29 | 30 | | | |

16 0 0
 Matinee Evening C/S Perf.

| MAY 2025 | | | | | | |
|----------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | 31 |

0 0 0
 Matinee Evening C/S Perf.

| JUNE 2025 | | | | | | |
|-------------|-------------|-------|------|-------------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 |
| 22 Mat 1 | 23 | 24 | 25 | 26 | 27 Mat 1 | 28 Mat 1 |
| 29 Mat 1 | 30 Mat 1 | | | | | |

8 0 0
 Matinee Evening C/S Perf.

Note: Full-card simulcast schedule subject to change.
Calendar considered informational only.

Initial Date

| | | | |
|------------|------------|----------|-----------|
| <u>160</u> | <u>155</u> | <u>0</u> | <u>5</u> |
| Totals | Matinee | Evening | C/S Perf. |

Gulfstream Park 2024/2025 Calendar

(Per License #321) Page 2 of 2

DRAFT A

| JULY 2024 | | | | | | |
|-------------|------------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 Mat 1 | 2 | 3 | 4 | 5 Mat 1 | 6 Mat 1 |
| 7 Mat 1 | 8 | 9 | 10 | 11 | 12 Mat 1 | 13 Mat 1 |
| 14 Mat 1 | 15 | 16 | 17 | 18 | 19 Mat 1 | 20 Mat 1 |
| 21 Mat 1 | 22 | 23 | 24 | 25 | 26 Mat 1 | 27 Mat 1 |
| 28 Mat 1 | 29 | 30 | 31 | | | |

13 Matinee 0 Evening 0 C/S Perf.

| AUGUST 2024 | | | | | | |
|-------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 Mat 1 | 3 Mat 1 |
| 4 Mat 1 | 5 | 6 | 7 | 8 | 9 Mat 1 | 10 Mat 1 |
| 11 Mat 1 | 12 | 13 | 14 | 15 | 16 Mat 1 | 17 Mat 1 |
| 18 Mat 1 | 19 | 20 | 21 | 22 | 23 Mat 1 | 24 Mat 1 |
| 25 Mat 1 | 26 | 27 | 28 | 29 | 30 Mat 1 | 31 Mat 1 |

14 Matinee 0 Evening 0 C/S Perf.

| SEPTEMBER 2024 | | | | | | |
|----------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 Mat 1 | 2 | 3 | 4 | 5 | 6 Mat 1 | 7 Mat 1 |
| 8 Mat 1 | 9 | 10 | 11 | 12 | 13 Mat 1 | 14 Mat 1 |
| 15 Mat 1 | 16 | 17 | 18 | 19 | 20 Mat 1 | 21 Mat 1 |
| 22 Mat 1 | 23 | 24 | 25 | 26 | 27 Mat 1 | 28 Mat 1 |
| 29 Mat 1 | 30 | | | | | |

13 Matinee 0 Evening 0 C/S Perf.

| OCTOBER 2024 | | | | | | |
|--------------|------|-------|------|--------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 | 4 Mat 1 | 5 Mat 1 |
| 6 Mat 1 | 7 | 8 | 9 | 10 | 11 Mat 1 | 12 Mat 1 |
| 13 Mat 1 | 14 | 15 | 16 | 17 | 18 Mat 1 | 19 Mat 1 |
| 20 Mat 1 | 21 | 22 | 23 | 24 | 25 Mat 1 | 26 Mat 1 |
| 27 Mat 1 | 28 | 29 | 30 | 31 | | |

12 Matinee 0 Evening 0 C/S Perf.

| NOVEMBER 2024 | | | | | | |
|---------------|------|-------|------|-------------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 Mat 1 | 29 Mat 1 | 30 Mat 1 |

3 Matinee 0 Evening 0 C/S Perf.

| DECEMBER 2024 | | | | | | |
|---------------|------|-------|------|-------------|-------------|---------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 Mat 1 | 2 | 3 | 4 | 5 Mat 1 | 6 Mat 1 | 7 Mat 1 |
| 8 Mat 1 | 9 | 10 | 11 | 12 Mat 1 | 13 Mat 1 | 14 Mat 1 |
| 15 Mat 1 | 16 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 |
| 22 Mat 1 | 23 | 24 | 25 | 26 Mat 1 | 27 Mat 1 | 28 C/Mat 1 |
| 29 Mat 1 | 30 | 31 | | | | |

16 Matinee 0 Evening 1 C/S Perf.

| JANUARY 2025 | | | | | | |
|--------------|------|-------|-------------|-------------|-------------|---------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 Mat 1 | 2 Mat 1 | 3 Mat 1 | 4 Mat 1 |
| 5 Mat 1 | 6 | 7 | 8 Mat 1 | 9 Mat 1 | 10 Mat 1 | 11 Mat 1 |
| 12 Mat 1 | 13 | 14 | 15 Mat 1 | 16 Mat 1 | 17 Mat 1 | 18 Mat 1 |
| 19 Mat 1 | 20 | 21 | 22 Mat 1 | 23 Mat 1 | 24 Mat 1 | 25 C/Mat 1 |
| 26 Mat 1 | 27 | 28 | 29 Mat 1 | 30 Mat 1 | 31 Mat 1 | |

22 Matinee 0 Evening 1 C/S Perf.

| FEBRUARY 2025 | | | | | | |
|---------------|------|-------|-------------|-------------|-------------|--------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 C/Mat 1 |
| 2 Mat 1 | 3 | 4 | 5 Mat 1 | 6 Mat 1 | 7 Mat 1 | 8 Mat 1 |
| 9 Mat 1 | 10 | 11 | 12 Mat 1 | 13 Mat 1 | 14 Mat 1 | 15 Mat 1 |
| 16 Mat 1 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 | 22 Mat 1 |
| 23 Mat 1 | 24 | 25 | 26 Mat 1 | 27 Mat 1 | 28 Mat 1 | |

19 Matinee 0 Evening 1 C/S Perf.

| MARCH 2025 | | | | | | |
|-------------|------|-------|-------------|-------------|-------------|---------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 C/Mat 1 |
| 2 Mat 1 | 3 | 4 | 5 Mat 1 | 6 Mat 1 | 7 Mat 1 | 8 Mat 1 |
| 9 Mat 1 | 10 | 11 | 12 Mat 1 | 13 Mat 1 | 14 Mat 1 | 15 Mat 1 |
| 16 Mat 1 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 | 22 Mat 1 |
| 23 Mat 1 | 24 | 25 | 26 Mat 1 | 27 Mat 1 | 28 Mat 1 | 29 C/Mat 1 |
| 30 Mat 1 | 31 | | | | | |

20 Matinee 0 Evening 2 C/S Perf.

| APRIL 2025 | | | | | | |
|-------------|------|-------|------|-------------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 Mat 1 | 4 Mat 1 | 5 Mat 1 |
| 6 Mat 1 | 7 | 8 | 9 | 10 Mat 1 | 11 Mat 1 | 12 Mat 1 |
| 13 Mat 1 | 14 | 15 | 16 | 17 Mat 1 | 18 Mat 1 | 19 Mat 1 |
| 20 Mat 1 | 21 | 22 | 23 | 24 Mat 1 | 25 Mat 1 | 26 Mat 1 |
| 27 Mat 1 | 28 | 29 | 30 | | | |

16 Matinee 0 Evening 0 C/S Perf.

| MAY 2025 | | | | | | |
|----------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | 31 |

0 Matinee 0 Evening 0 C/S Perf.

| JUNE 2025 | | | | | | |
|-------------|-------------|-------|------|-------------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 Mat 1 | 20 Mat 1 | 21 Mat 1 |
| 22 Mat 1 | 23 | 24 | 25 | 26 | 27 Mat 1 | 28 Mat 1 |
| 29 Mat 1 | 30 Mat 1 | | | | | |

8 Matinee 0 Evening 0 C/S Perf.

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

Initial _____ Date _____

161 Totals 156 Matinee 0 Evening 5 C/S Perf.

Jamie Pouncey

From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Sent: Monday, June 10, 2024 2:47 PM
To: La'Keshia Jelks; Jamie Pouncey
Cc: Kelly Costello
Subject: Gulfstream Park request to cancel July 1st race day.
Attachments: Request to Executive Director Trombetta 6-7-24.pdf; Letter to Director Dillmore.pdf; FGCC Form 3080 notarized.pdf; FGCCPMW-3060 rev 06-10-2024 signed.pdf

Please see the attached for our request to cancel July 1st.

Any questions, please reach out.

Thanks
Sheri



SHERI HOLMES STIRLING
VICE PRESIDENT ADMINISTRATION

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /1.954.457.6298
C /954.815.0027



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June 7, 2024

Mr. Louis Trombetta
Executive Director
Florida Gaming Control Commission
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Dear Mr. Trombetta,

Re: Gulfstream Park Association Inc. – License #321 – 2024/25 -Request for Date Change

Gulfstream Park respectfully requests the cancellation of our previously approved race day scheduled for July 1, 2024.

Thank you for your time and consideration of our request.
Sincerely,

A handwritten signature in blue ink, reading "Sheri L R Holmes Stirling".

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park



June 7, 2024

Mr. Joe Dillmore
Director
Florida DBPR, Division of Pari-Mutuel Wagering
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Dear Director,

Re: Gulfstream Park Association Inc. – License #321 – 2024/25 -Request for Date Change

Gulfstream Park respectfully requests the cancellation of our previously approved race day scheduled for July 1, 2024.

Thank you for your time and consideration of our request.
Sincerely,

A handwritten signature in blue ink, appearing to read "Sheri L R Holmes Stirling".

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park

FGCC PMW-3060 – Permitholder Application for License and Operating Dates



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**
www.flgaming.gov

INSTRUCTIONS

This form is to be submitted in conjunction with Form FGCC PMW-3080 – Permitholder Calendar (If conducting live races/games) and Form FGCC PMW-3190 – Officers and Directors.

Check the box that designates the purpose of this form filing:

- Application for Annual License and Operating Dates
 Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION

| | | |
|--|-----------------|------------------------------|
| Permitholder Name Gulfstream Park Racing Association Inc | Permit # 321 | FEID# or SSN * [REDACTED] |
| Doing Business As (D/B/A) Gulfstream Park Racing & Casino | | |

MAILING ADDRESS

| | | |
|---|----------------|---------------------------------|
| Street Address or P.O. Box 901 South Federal Highway | | |
| City Hallandale Beach | State FL | Zip Code (+4 optional) 33009 |
| County (if Florida address) Broward | Country USA | |

CONTACT INFORMATION

| | |
|--|--|
| Contact Name Sheri Holmes Stirling | Title V P Administration |
| Primary Phone Number 954-457-6298 | Fax Number 954-457-6510 |
| Primary E-Mail Address sheri.holmes@gulfstreampark.com | Cell Phone Number 954-815-0027 |

PHYSICAL LOCATION OF PARI-MUTUEL FACILITY

| | | |
|--|--------------------|--|
| Street Address 901 South Federal Highway | | |
| City Hallandale Beach | State FL | Zip Code (+4 optional) 33009 |

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:

- (1) The name of the applicant and the lessor;
- (2) The address of the applicant and the lessor;
- (3) The type of permit held by both the applicant and the lessor;
- (4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
- (5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
- (6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

Ownership remains under the same parent company but restructured. Please see attached.

Is the applicant incorporated? Yes No If yes, under the laws of which state? Florida

Please list all officers, directors, and stockholders of record of the applicant using Form FGCC PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form FGCC PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form FGCC PMW-3190 – Officers and Directors.

Have any persons listed on Form FGCC PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes No If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 ²⁴ - 20 ²⁵ season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

Yes No Permitholder intends to accept wagers on intertrack or simulcast events.

Permitholder will NOT be conducting any live races/games during the above listed season. If zero performances are conducted the following operating information does NOT need to be completed. Form 3080 is also NOT required.

Opening Date(s):
July 1, 2024

Closing Date(s):
June 30, 2025

Number of Dark Days:
1

Number of Live Days:
160

Performances

| | |
|--|-------|
| Number of Evening Performances | _____ |
| Number of Matinee Performances | 155 |
| Number of Charity/Scholarship Performances | 5 |
| Total Number of Performances | 160 |

Number of races/games during evening performances: n/a

Number of races/games during matinee performances: 8-15

Starting time:

Starting time: 10:30 am - 1:20 pm

ATTESTATION

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.


Signature of Applicant or Applicant's Representative

06/10/2024
Date (MM/DD/YYYY)

Sheri Holmes Stirling
Print Applicant or Applicant's Representative Name

Vice President Administrator
Print Title

May Year: 2025

June Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | 31 |
| | | | | | | |

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | M | M |
| | M | | | | M | M |
| 29 | 30 | | | | | |
| | M | M | | | | |
| | | | | | | |

M 0 E 0 C/S 0
Total 0

M 8 E 0 C/S 0
Total 8

| CARDROOM OPERATORS ONLY | | | | | | |
|------------------------------|--------|---------|-----------|----------|--------|----------|
| Hours of Cardroom Operations | | | | | | |
| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
| — | — | — | — | — | — | — |
| — | — | — | — | — | — | — |

Year Round? Yes No If No, Dates: Card room is closed at this time

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.


Sheri Holmes Stirling VP Administration *Sheri Holmes Stirling* 06-10-2024
 Name (Please Print) Title (Please Print) Signature Date

State of Florida,
 County of Broward

Sworn to (or affirmed) and subscribed before me this 10th day of June, 2024.

Sheri Holmes Stirling who is personally known to me or produces the following as identification:

[Signature]
 Notary Public
 My Commission Expires: 7/31/2024


RICHARD B. PETERSON, JR.
 Commission # 074772
 Expires July 31, 2024
 Bonded Thru Tracy Fain Insurance #00-365-7019



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov**

| PERMITHOLDER INFORMATION | |
|---|-----------------|
| Name Gulfstream Park Racing Association Inc. | Permit # 321 |

INSTRUCTIONS

Submit this form in conjunction with the form FGCC PMW-3060 – Permitholder Application for License and Operating Dates.

Please do not overlook the cardroom section and the required application oath on page 4.

Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.

LETTER CODES

M = Matinee E = Evening C = Charity S = Scholarship

Example

| | | | | | | |
|---|---|--------|----|--------|--------|--------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | E | M E | M | S M | C E | C E |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | M | | | | | |

July Year: 2024

August Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| | 7 | 8 | 9 | 10 | 11 | 12 |
| | 13 | 14 | 15 | 16 | 17 | 18 |
| | 19 | 20 | 21 | 22 | 23 | 24 |
| | 25 | 26 | 27 | 28 | 29 | 30 |
| | 31 | | | | | |
| | | | | | | |

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | 1 | 2 | 3 |
| | 4 | 5 | 6 | 7 | 8 | 9 |
| | 10 | 11 | 12 | 13 | 14 | 15 |
| | 16 | 17 | 18 | 19 | 20 | 21 |
| | 22 | 23 | 24 | 25 | 26 | 27 |
| | 28 | 29 | 30 | 31 | | |
| | | | | | | |

M 12 E 0 C/S 0

M 14 E 0 C/S 0

Total 12

Total 14

September Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| M | | | | | M | M |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| M | | | | | M | M |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| M | | | | | M | M |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| M | | | | | M | M |
| 29 | 30 | | | | | |
| M | | | | | | |
| | | | | | | |

M 13 E 0 C/S 0

Total 13

October Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | 1 | 2 | 3 | 4 | 5 |
| | | | | | M | M |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| M | | | | | M | M |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| M | | | | | M | M |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| M | | | | | M | M |
| 27 | 28 | 29 | 30 | 31 | | |
| M | | | | | | |
| | | | | | | |

M 12 E 0 C/S 0

Total 12

November Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | 1 | 2 |
| | | | | | | |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | | | | | | |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| | | | | | | |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| | | | | | | |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| | | | | M | M | M |
| | | | | | | |

M 3 E 0 C/S 0

Total 3

December Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| M | | | | M | M | M |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| M | | | | M | M | M |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| M | | | | M | M | M |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| M | | | | M | M | C |
| 29 | 30 | 31 | | | | |
| M | | | | | | |
| | | | | | | |

M 16 E 0 C/S 1

Total 17

January Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | 1 | 2 | 3 | 4 |
| | | | M | M | M | M |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| M | | | M | M | M | M |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| M | | | M | M | M | M |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| M | | | M | M | M | C |
| 26 | 27 | 28 | 29 | 30 | 31 | |
| M | | | M | M | M | |
| | | | | | | |

M 22 E 0 C/S 1

Total 23

February Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | | 1 |
| | | | | | | C |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| M | | | M | M | M | M |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| M | | | M | M | M | M |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| M | | | M | M | M | M |
| 23 | 24 | 25 | 26 | 27 | 28 | |
| M | | | M | M | M | |
| | | | | | | |

M 19 E 0 C/S 1

Total 20

March Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | | 1 |
| | | | | | | C |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| M | | | M | M | M | M |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| M | | | M | M | M | M |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| M | | | M | M | M | M |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| M | | | M | M | M | C |
| 30 | 31 | | | | | |
| M | | | | | | |

M 20 E 0 C/S 2

Total 22

April Year: 2025

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | 1 | 2 | 3 | 4 | 5 |
| | | | | M | M | M |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| M | | | | M | M | M |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| M | | | | M | M | M |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| M | | | | M | M | M |
| 27 | 28 | 29 | 30 | | | |
| M | | | | | | |
| | | | | | | |

M 16 E 0 C/S 0

Total 16



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024034591 **Incident date:** 06/17/2024 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: GULFSTREAM PARK RACING ASSOCIATION, INC.
 901 SOUTH FEDERAL HIGHWAY, HALLANDALE, FL 33009-3099

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER: Gulfstream Park (TBRD321) FISCAL YEAR: 2024/2025
 DATE RECEIVED: June 10, 2024
 CHANGE REQUESTED: Cancel performance on July 1st

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 06/17/2024 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 06/17/2024 | S | 1001 | 10 | Initial Review | ljelks | |

4. Discussion of amended applications for cardroom licenses

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Operator's Request to add Card Tables
Dania Entertainment Center, LLC (JLAI274)
Case#: 2024-031317
Date: June 17, 2024

Executive Summary

A cardroom operator is seeking permission to add additional card tables to its cardroom. The Commission should approve this request.

Background

Dania Entertainment Center, LLC ("Dania") possesses a jai alai permit. Dania was issued two licenses for the 2024-2025 Fiscal Year: 1) a pari-mutuel operating license; and 2) a cardroom license.¹ Dania is currently licensed to operate 25 card tables and is requesting permission to add 5 more to its cardrooms. Dania has paid the \$5,000 license fee associated with the additional tables.²

Analysis

Aside from the \$1,000-per-card-table license fee, there is no other applicable restriction³ to the number of card tables that Dania can add to its cardroom. Since Dania has paid the additional \$5,000 license fee, Dania should be authorized to add additional card tables to its cardroom.

Recommendation: The Florida Gaming Control Commission should approve Dania Entertainment Center, LLC d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach's request to add additional card tables to its cardroom.

¹ See generally § 849.086, Fla. Stat.

² The annual cardroom license fee costs \$1,000 for each table in the cardroom. § 849.086(5)(e), Fla. Stat.

³ There is no indication that TBD is seeking to change the number of card tables for the play of poker games in a designated player method. See §§ 849.086(7)(h)2. & 4., Fla. Stat. (restricting the number of tables for the play of poker games in a designated player manner).

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Dania Entertainment Center, LLC (JLAI274/281)

FISCAL YEAR: 2024/2025

DATE RECEIVED: May 30, 2024

CASE NUMBER: 2024-031317

DATE PROCESSED: _____

APPLICATION NUMBER: 2239

REVIEWER'S NAME: La'Kesha Jelks

CHANGE REQUESTED: Adding five tables for a total of thirty.

| Requirement | Met? Y/N | Deficiency Sent | Resolved |
|--|----------|-------------------------------|----------|
| Original application form FGCC PMW 3160. | Y | | |
| Payment for additional tables | Y | | |
| Floor Plan | Y | <i>Not included in packet</i> | |

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION
PERMIT ADMIN
OGC

REVIEWED
REVIEWED
REVIEWED

INITIALS: _____
INITIALS: _____
INITIALS: _____

DATE: _____
DATE: _____
DATE: _____

ts
td


6/18/2024
6/14/24
6/18/24

DISTRIBUTION OF PMW AND CARDROOM (If applicable) LICENSE(S):

PARI-MUTUEL LICENSE

General Manager (Original)
Office of Auditing
Licensing Administrator
(with all paperwork)
Regional Manager
Director's MRS

Office of Investigations
Office of Revenue &
Financial Analysis
Chief Inspector
U of FL Laboratory
Florida Greyhound
Association (Greyhound
Tracks Only)

CARDROOM LICENSE

General Manager (Original)
Office of Auditing
Licensing Administrator
(with all paperwork)
Chief Inspector

Office of Investigations
Office of Revenue &
Financial Analysis
Regional Manager
Director's MRS

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
PARI-MUTUEL WAGERING

License Number: CR274
Permit Type: JLAI
Permit County: Broward
Amendment: A

LICENSE TO OPERATE A CARDROOM

For:

Dania Entertainment Center, LLC

D/B/A Dania Jai-Alai and/or The Casino @ Dania Beach

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

301 East Dania Beach Boulevard
Dania Beach, FL 33004
Broward County



Valid From: December 1, 2024
Expires On: June 30, 2025
Licensed to Operate: 30 Tables

Issued and dated, this _____ day of July, 2024.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license is issued in accordance with the Florida Pari-Mutuel Wagering Act, Section 849.086, Fla. Stat., and the rules promulgated thereunder. This license is to be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

La'Kesha Jelks

From: Elisa Festa <elisa.festa@daniacasino.com>
Sent: Thursday, May 30, 2024 4:54 PM
To: Jamie Pouncey; La'Kesha Jelks
Subject: Request to amend Cardroom License
Attachments: Letter to FGCC.pdf; Amended License #3160 & 3220.pdf; Dania Poker Room House Rules.doc; 20230601_The Casino @Dania Beach Poker Room.pdf

Greetings FGCC,

Attached are the documents for the amendment of our Cardroom License to add 5 tables.

The floor plan will be send in a separate email and the check for \$5,000.00 will be mailed tomorrow.

We hope to be on the July agenda for consideration of approval.

Please let me know if you have questions.

Thank you.

Elisa Festa Tabasso, Ph.D.
DIRECTOR OF COMPLIANCE



THE CASINO @
DANIA BEACH

Direct | 954-920-1511 Ext.1701
CASINODANIABEACH.COM | 844-7-WIN-BIG
301 E. Dania Beach Blvd. Dania Beach, FL 33004



 THE CASINO @
DANIA BEACH

June 1, 2024

SENT VIA ELECTRONIC MAIL

Florida Gaming Control Commission
Jamie Pouncey
4070 Esplanade Way,
Suite 250
Tallahassee, FL 32399

Re: Amendment to Cardroom License #274 & #281- Adding five tables

Greetings FGCC,

Dania Entertainment Center, LLC requests to amend our current cardroom license to include the addition of five (5) tables. Attached to this email is the required information, and includes:

1. Updated floor plan
2. FGCC PMW form # 3220
3. FGCC PMW form # 3160
4. List of games
5. Internal Controls

The check will be mailed separately with the original forms for # 3220 & # 3160.

Please contact me if you have any questions, or need additional information.

Regards,



Elisa Festa Tabasso
Director of Compliance

EFT/ss

Enclosures

FGCC PMW-3160 – Permitholder Application for Annual License to Operate a Cardroom



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

www.flgaming.gov

INSTRUCTIONS

This form is to be submitted in conjunction with Form FGCC PMW-3220. FGCC PMW-3220 must be submitted with all pertinent cardroom operation information.

ORGANIZATION INFORMATION

Federal Employer ID Number:
[REDACTED]

Permitholder's Legal Name:
DANIA ENTERTAINMENT CENTER, LLC # 274 & #281

Doing Business As (D/B/A) Name:
DANIA JAI ALAI & DANIA SUMMER JAI ALAI

MAILING ADDRESS

Street Address or P.O. Box:

301 EAST DANIA BEACH BLVD

City:
DANIA BEACH

State:
FL

Zip Code (+4 optional):
33004

County (if Florida address):
BROWARD

Country:
USA

CONTACT INFORMATION

Contact Name:
ARNALDO SUAREZ

Primary Phone Number:
954-920-1511

Primary E-Mail Address:
ARNALDO.SUAREZ@DANIACASINO.COM

PHYSICAL ADDRESS

Street Address:

301 EAST DANIA BEACH BLVD

City:
DANIA BEACH

State:
FL

Zip Code (+4 optional):
33004

County:
BROWARD

ADDITIONAL CONTACT INFORMATION (OPTIONAL)

Alternate Phone Number:
954-964-2422

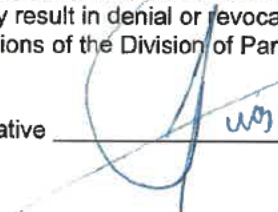
Fax Number:
N/A

Alternate E-Mail Address:
JUANPABLO.VILLAMAYOR@DANIACASINO.COM

| CARDROOM INFORMATION | |
|---|--|
| Physical Location of Cardroom: | |
| DANIA JAI ALAI/DANIA SUMMER JAI ALAI | |
| 1ST FLOOR SLOT FLOOR; 2ND FLOOR SOUTH SIDE | |
| What is the maximum number of card tables you intend to operate during the license period? | <u>30</u> |
| A check or money order made payable to FGCC for the table fees (\$1,000 per table) must be submitted with this application. | |
| Name of cardroom manager or cardroom management company: | DAVID BERMAN |
| Cardroom manager or cardroom management company license number: | 8083414 |
| Type of participation fee charged to players: Rake - Ante | <input type="checkbox"/> Seat Charge <input type="checkbox"/> Both <input checked="" type="checkbox"/> |

Additional documentation requirements:

- 1) Attach a list of card games to be offered to your patrons.
- 2) As specified in s. 849.086(9), Florida Statutes, provide evidence that there is in force a surety bond in the amount of \$50,000, payable to the state, or evidence that the pari-mutuel bond has been expanded to include cardroom operations.
- 3) Attach Form FGCC PMW-3220, List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom, with whom you intend to do business.
- 4) Attach a copy of your internal controls.
- 5) If this is your initial cardroom operator license application, attach proof that the county commission in the county where you desire to conduct cardroom gaming has voted to approve such activity within the county.

| ATTEST STATEMENT | |
|--|--|
| I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. | |
| Signature of Applicant or Applicant's Representative |  |
| Date | <u>5/30/24</u> |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**

Expires On **06/30/2025**

Name **BERMAN, DAVID WILLIAM**

Extended To

Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**

Renewed On **05/02/2022**

Lic Status **Current**

FGCC PMW-3220 – List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov**

| INSTRUCTIONS |
|--|
| This form is to be submitted to the division annually in conjunction with Form FGCC PMW-3160. List all of the Cardroom Vendors with which the Cardroom Operator will be conducting business during the next state fiscal year. |

| CARDROOM OPERATOR |
|--|
| Federal Employer ID Number: [REDACTED] |
| Name: DANIA ENTERTAINMENT CENTER, LLC DBA DANIA JAI ALAI, DANIA SUMMER JAI-ALAI |

| OCCUPATIONAL LICENSEES WHO PROVIDE PRODUCTS AND SERVICES TO CARDROOM | | |
|--|----------------|----------------------------|
| Name | License Number | Type of Product or Service |
| EASTERN POKER, LLC DBA GORILLA GAMING | 12139450 | CARDROOM SUPPLIES |
| GENESIS GAMING SOLUTIONS | 7951003 | CARDROOM SUPPLIES |
| GAME ON CHIP COMPANY | 10176844 | CARDROOM SUPPLIES |
| AVALON GAMING | 11196683 | CARDS |
| OCCUPATIONAL LICENSEES WHO NO LONGER PROVIDE PRODUCTS AND SERVICES TO CARDROOM | | |
| Name | License Number | Type of Product or Service |
| | | |
| | | |
| | | |
| | | |
| | | |

| ATTEST STATEMENT |
|--|
| I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. |
| Signature of Applicant or Applicant's Representative <u> <i>D. Bern</i> </u> |
| Date <u> 5/30/24 </u> |

Lic Type **1010 - Cardroom Business Occupational**
Name **EASTERN POKER, LLC**
Rank **CBUS - Cardroom Business Occupational**
Lic Status **Current**

Expires On **06/30/2025**
Extended To
Renewed On **07/14/2022**

Lic Type **1010 - Cardroom Business Occupational**
Name **GENESIS GAMING SOLUTIONS, INC.**
Rank **CBUS - Cardroom Business Occupational**
Lic Status **Current**

Expires On **06/30/2024**
Extended To
Renewed On **05/11/2021**

Lic Type **1010 - Cardroom Business Occupational**
Name **GAME ON CHIP COMPANY**
Rank **CBUS - Cardroom Business Occupational**
Lic Status **Current**

Expires On **06/30/2026**
Extended To
Renewed On **06/30/2023**

Lic Type **1010 - Cardroom Business Occupational**
Name **AVALON GAMING, INC.**
Rank **CBUS - Cardroom Business Occupational**
Lic Status **Current**

Expires On **06/30/2025**
Extended To
Renewed On **07/19/2022**

FGCC PMW-3220 – List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**
www.flgaming.gov

| INSTRUCTIONS |
|--|
| This form is to be submitted to the division annually in conjunction with Form FGCC PMW-3160. List all of the Cardroom Vendors with which the Cardroom Operator will be conducting business during the next state fiscal year. |

| CARDROOM OPERATOR |
|---|
| Federal Employer ID Number: [REDACTED] |
| Name: DANIA ENTERTAINMENT CENTER, LLC DBA DANI JAI ALAI, DANIA SUMMER JAI ALAI |

| OCCUPATIONAL LICENSEES WHO PROVIDE PRODUCTS AND SERVICES TO CARDROOM | | |
|--|----------------|----------------------------|
| Name | License Number | Type of Product or Service |
| REEL GAMES, INC | 7830710 | SUPPLIES |
| LNW GAMING INC | 7805891 | SUPPLIES |
| | | |
| | | |
| OCCUPATIONAL LICENSEES WHO NO LONGER PROVIDE PRODUCTS AND SERVICES TO CARDROOM | | |
| Name | License Number | Type of Product or Service |
| GALAXY GAMING | 11507733 | CARD GAME |
| 916 POKER DEPOT | 12988018 | SUPPLIES |
| | | |
| | | |

| ATTEST STATEMENT |
|--|
| I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. |
| Signature of Applicant or Applicant's Representative _____ |
| Date <u>5/30/24</u> |

| | | | |
|------------|---|-------------|-------------------|
| Lic Type | 1050 - Slot Machine Business | Expires On | 06/30/2024 |
| Name | REEL GAMES INC | Extended To | |
| Rank | SBUS - Slot Machine Bus Occupational License | Renewed On | 06/15/2021 |
| Lic Status | Current | | |

| | | | |
|------------|---|-------------|-------------------|
| Lic Type | 1050 - Slot Machine Business | Expires On | 06/30/2024 |
| Name | LNW GAMING INC | Extended To | |
| Rank | SBUS - Slot Machine Bus Occupational License | Renewed On | 06/22/2012 |
| Lic Status | Current | | |

5/30/2024

LICENSE
2024 JUN 11 AM 11:06
FLORIDA GAMING
CONTROL COMMISSION

5,000.00

0.00

5,000.00

RECEIVED

Check: 012207

5/30/2024

FLORIDA GAMING CONTROL COMMISSION

5,000.00

Batch # 23025629 Receipt # 230174882

DANIA ENTERTAINMENT CENTER, LLC
301 E. DANIA BEACH BLVD
DANIA, FL 33004

FIRST HORIZON BANK

12207
63-1176/670
9715

DATE

CHECK ARMOR
AMOUNT

*FIVE THOUSAND AND XX / 100

\$

5/30/2024 *****5,000.00*

PAY TO THE ORDER OF: FLORIDA GAMING CONTROL COMMISSION
1940 NORTH MONROE STREET
Tallahassee, FL 32399

0008324

AUTHORIZED SIGNATURE

Photo Safe Deposit
Details on Back.



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 100 - Division of Pari-Mutuel Wagering

Origin: TLH Centra

Fiscal Year: 2023

Batch #: 23025629

Total \$ Entered: \$ 5,000.00

Deposit #: 110736

Deposit Date: 2024-06-12

Receipt: 1

Receipts Entered: 1

Total: \$ 5,000.00

Status: Deposited

| Receipt # | DLN | Received | Disp | Pmt | Note | Unassigned | Prof | Remitted By / Beneficiary | File # | License # | Assigned |
|---------------|-----|-------------|------|-----|------|-------------|---------|---------------------------------|--------|-----------|-------------|
| 230176882 | | \$ 5,000.00 | DEP | CHK | | \$ 0.00 | 1002 | DANIA ENTERTAINMENT CENTER, LLC | 29 | 274 | \$ 5,000.00 |
| Total: | | | | | | \$ 5,000.00 | \$ 0.00 | | | | \$ 5,000.00 |

DEPOSIT TICKET
SUPERIOR PRESS (800) 990-6466

WELLS FARGO
Wells Fargo Bank, N.A.

DATE 6/12/2024 № 736

| CURRENCY | COIN | LIST EACH CHECK | DOLLARS | CENTS |
|--|------|-----------------|-------------|-----------|
| | | 1 <u>120047</u> | <u>5000</u> | <u>00</u> |
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| | | 19 | | |
| TOTAL FROM OTHER SIDE OR ATTACHED LIST | | | | |

110736

TOTAL ITEMS

PLEASE BE SURE ALL ITEMS ARE PROPERLY ENDORSED.

TOTAL DEPOSIT

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL.

STATE OF FLORIDA DEPARTMENT OF
 FINANCIAL SERVICES #4150110
 FGCC MAIN

4554875
 DSP 10-T-BK

\$

5000.00

 THE CASINO @
DANIA BEACH

RECEIVED

2024 MAY 30 PM 12:10

FLORIDA GAMING
CONTROL COMMISSION

Jamie Pouncey
Florida Gaming Control Commission
Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, FL 32399

May 22, 2024

Greetings Ms. Pouncey,

Please see the enclosed bond renewal certificates as required for #274 and #281 Jai-Alai and cardroom.
Thank you.

Sincerely,



Elisa Festa Tabasso
Director of Compliance



RECEIVED

2024 MAY 30 PM 12:10

FLORIDA GAMING CONTROL COMMISSION

BOND RENEWAL NOTICE

DATE: 5/13/2024 BOND NO. [REDACTED]

TO: DANIA ENTERTAINMENT CENTER, LLC

PRINCIPAL: DANIA ENTERTAINMENT CENTER, LLC

TYPE OF BOND: PARI-MUTUEL WAGERING BOND RENEWAL DATE: 6/30/2024

Enclosed please find a renewal invoice for the above captioned bond. CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.

IF BOND IS NO LONGER NEEDED, RETURN ORIGINAL CERTIFICATE TO OUR OFFICE FOR BOND CANCELLATION.

Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

CONTINUATION CERTIFICATE


The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Fifty Thousand Dollars and 00/100 (\$50,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC in favor of GOVERNOR OF THE STATE OF FLORIDA for PARI-MUTUEL WAGERING BOND - WINTER SEASON - DANIA JAI ALAI subject to all the conditions and terms thereof through 29th Day of June, 2025 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 13th Day of May, 2024.

NGM Insurance Company
Surety

BY: _____


John W. Charlton, Attorney-in-Fact



KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them. "

does hereby make, constitute and appoint **D W Matson III, John W Charlton** -----

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

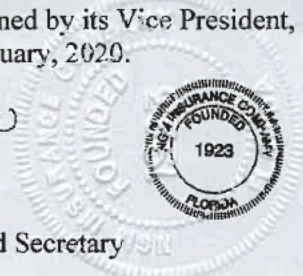
Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 7th day of January, 2020.

NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Loek Pentz



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this

13th day of May, 2024.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.





MATSON-CHARLTON
SURETY GROUP

BOND RENEWAL NOTICE

| | |
|---|-------------------------------------|
| DATE: <u>5/13/2024</u> | BOND NO.: <u>[REDACTED]</u> |
| TO: <u>DANIA ENTERTAINMENT CENTER, LLC</u> | |
| PRINCIPAL: <u>DANIA ENTERTAINMENT CENTER, LLC</u> | |
| TYPE OF BOND: <u>PARI-MUTUEL WAGERING</u> | BOND RENEWAL DATE: <u>6/30/2024</u> |

Enclosed please find a renewal invoice for the above captioned bond. CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.

IF BOND IS NO LONGER NEEDED, RETURN ORIGINAL CERTIFICATE TO OUR OFFICE FOR BOND CANCELLATION. Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

MATSON-CHARLTON SURETY GROUP
700 South Dixie Highway, Suite 100 • Coral Gables, FL 33146
Phone: 305.662.3852 • Fax: 305.661.9948
NASBP Member

CONTINUATION CERTIFICATE

The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Fifty Thousand Dollars and 00/100 (\$50,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC in favor of GOVERNOR OF THE STATE OF FLORIDA for PARI MUTUEL WAGERING BOND - SUMMER SEASON DANIA JAI ALAI subject to all the conditions and terms thereof through 29th Day of June, 2025 at location of risk.

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IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 13th Day of May, 2024.

NGM Insurance Company
Surety

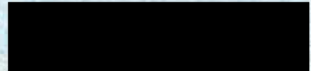
BY: _____


John W. Charlton, Attorney-in-Fact



NGM INSURANCE COMPANY
A member of The Main Street America Group

POWER OF ATTORNEY



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does hereby make, constitute and appoint D W Matson III, John W Charlton

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

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NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Lisa K. Penton



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

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Nancy Giordano-Ramos

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TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.





MATSON-CHARLTON
SURETY GROUP

BOND RENEWAL NOTICE

DATE: 5/13/2024 BOND NO.: [REDACTED]

TO: DANIA ENTERTAINMENT CENTER, LLC

PRINCIPAL: DANIA ENTERTAINMENT CENTER, LLC

TYPE OF BOND: PARI-MUTUEL WAGERING BOND RENEWAL DATE: 6/30/2024

Enclosed please find a renewal invoice for the above captioned bond. CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.

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Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

CONTINUATION CERTIFICATE

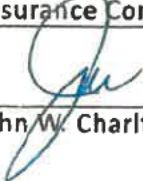
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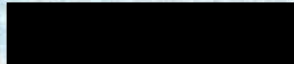


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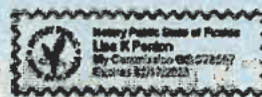


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TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.





State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024031317 **Incident date:** 05/30/2024 **Status:** 10 - Initial Review

Lic Type: 1002 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: DANIA ENTERTAINMENT CENTER, LLC
 301 EAST DANIA BEACH BLVD., DANIA BEACH, FL 33004

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER LICENSE CHANGE REQUEST
 PERMITHOLDER: Dania Entertainment Center, LLC (JLAI274/281) FISCAL YEAR: 2024/2025
 DATE RECEIVED: May 30, 2024
 REVIEWER'S NAME: La'Kesha Jelks
 CHANGE REQUESTED: Adding five tables for a total of thirty.

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|-------------|----------------|------|----------|--------|-----------------|-------------------|------------|
| | 05/30/2024 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 05/30/2024 | S | 1002 | 10 | Initial Review | ljelks | |

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Operator's Request to add Card Tables
Dania Entertainment Center, LLC (JLAI281)
Case#: 2024-034688
Date: June 17, 2024

Executive Summary

A cardroom operator is seeking permission to add additional card tables to its cardroom. The Commission should approve this request.

Background

Dania Entertainment Center, LLC ("Dania Summer") possesses a jai alai permit. Dania Summer was issued two licenses for the 2024-2025 Fiscal Year: 1) a pari-mutuel operating license; and 2) a cardroom license.¹ Dania Summer is currently licensed to operate 25 card tables and is requesting permission to add 5 more to its cardrooms. Dania has paid the \$5,000 license fee associated with the additional tables.²

Analysis

Aside from the \$1,000-per-card-table license fee, there is no other applicable restriction³ to the number of card tables that Dania Summer can add to its cardroom. Since Dania Summer has paid the additional \$5,000 license fee, Dania Summer should be authorized to add additional card tables to its cardroom.

Recommendation: The Florida Gaming Control Commission should approve Dania Entertainment Center, LLC d/b/a Dania Summer Jai-Alai and/or The Casino @ Dania Beach's request to add additional card tables to its cardroom.

¹ See generally § 849.086, Fla. Stat.

² The annual cardroom license fee costs \$1,000 for each table in the cardroom. § 849.086(5)(e), Fla. Stat.

³ There is no indication that TBD is seeking to change the number of card tables for the play of poker games in a designated player method. See §§ 849.086(7)(h)2. & 4., Fla. Stat. (restricting the number of tables for the play of poker games in a designated player manner).

CARDROOM LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Dania Entertainment Cener, LLC

FISCAL YEAR: 2024/2025

DATE RECEIVED: May 30, 2024

CASE NUMBER: 2024-034668

DATE PROCESSED:

APPLICATION NUMBER: 2239

REVIEWER'S NAME: La'Keshia Jelks

CHANGE REQUESTED: Adding five tables for a total of thirty.

| Requirement | Met? Y/N | Deficiency Sent | Resolved |
|--|----------|-----------------|----------|
| Original application form FGCC PMW 3160. | Y | | |
| Payment for additional tables | Y | | |
| Floor Plan | N/A | | |

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION
PERMIT ADMIN
OGC

REVIEWED
REVIEWED
REVIEWED

INITIALS: [Signature]
INITIALS: [Signature]
INITIALS: [Signature]

DATE: 6-18-2024
DATE: 6/18/24
DATE: 6-17-24

DISTRIBUTION OF PMW AND CARDROOM (If applicable) LICENSE(S):

PARI-MUTUEL LICENSE

General Manager (Original)
Office of Auditing
Licensing Administrator
(with all paperwork)
Regional Manager
Director's MRS

Office of Investigations
Office of Revenue &
Financial Analysis
Chief Inspector
U of FL Laboratory
Florida Greyhound
Association (Greyhound
Tracks Only)

CARDROOM LICENSE

General Manager (Original)
Office of Auditing
Licensing Administrator
(with all paperwork)
Chief Inspector

Office of Investigations
Office of Revenue &
Financial Analysis
Regional Manager
Director's MRS

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: CR281
Permit Type: JLAI
Permit County: Broward
Amendment: A

LICENSE TO OPERATE A CARDROOM

For:

Dania Entertainment Center, LLC
D/B/A Dania Summer Jai-Alai and/or The Casino @ Dania Beach

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

301 East Dania Beach Boulevard
Dania Beach, FL 33004
Broward County



Valid From: July 1, 2024
Expires On: November 30, 2024
Licensed to Operate: 30 Tables

Issued and dated, this _____ day of July, 2024.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license is issued in accordance with the Florida Pari-Mutuel Wagering Act, Section 849.086, Fla. Stat., and the rules promulgated thereunder. This license is to be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

La'Kesha Jelks

From: Elisa Festa <elisa.festa@daniacasino.com>
Sent: Thursday, May 30, 2024 4:54 PM
To: Jamie Pouncey; La'Kesha Jelks
Subject: Request to amend Cardroom License
Attachments: Letter to FGCC.pdf; Amended License #3160 & 3220.pdf; Dania Poker Room House Rules.doc; 20230601_The Casino @Dania Beach Poker Room.pdf

Greetings FGCC,

Attached are the documents for the amendment of our Cardroom License to add 5 tables.

The floor plan will be send in a separate email and the check for \$5,000.00 will be mailed tomorrow.

We hope to be on the July agenda for consideration of approval.

Please let me know if you have questions.

Thank you.

Elisa Festa Tabasso, Ph.D.
DIRECTOR OF COMPLIANCE



THE CASINO @
DANIA BEACH

Direct | 954-920-1511 Ext.1701
CASINODANIABEACH.COM | 844-7-WIN-BIG
301 E. Dania Beach Blvd. Dania Beach, FL 33004



 THE CASINO @
DANIA BEACH

June 1, 2024

SENT VIA ELECTRONIC MAIL

Florida Gaming Control Commission
Jamie Pouncey
4070 Esplanade Way,
Suite 250
Tallahassee, FL 32399

Re: Amendment to Cardroom License #274 & #281- Adding five tables

Greetings FGCC,

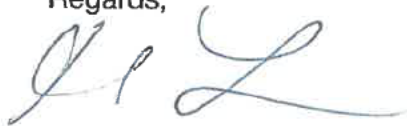
Dania Entertainment Center, LLC requests to amend our current cardroom license to include the addition of five (5) tables. Attached to this email is the required information, and includes:

1. Updated floor plan
2. FGCC PMW form # 3220
3. FGCC PMW form # 3160
4. List of games
5. Internal Controls

The check will be mailed separately with the original forms for # 3220 & # 3160.

Please contact me if you have any questions, or need additional information.

Regards,



Elisa Festa Tabasso
Director of Compliance

EFT/ss

Enclosures

FGCC PMW-3160 – Permitholder Application for Annual License to Operate a Cardroom



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

www.flgaming.gov

| INSTRUCTIONS | |
|---|--|
| This form is to be submitted in conjunction with Form FGCC PMW-3220. FGCC PMW-3220 must be submitted with all pertinent cardroom operation information. | |

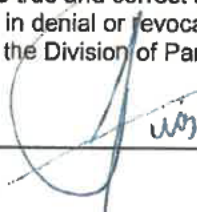
| ORGANIZATION INFORMATION | | | |
|--|---|----------------------------------|--|
| Federal Employer ID Number: [REDACTED] | | | |
| Permitholder's Legal Name: DANIA ENTERTAINMENT CENTER, LLC # 274 & #281 | | | |
| Doing Business As (D/B/A) Name: DANIA JAI ALAI & DANIA SUMMER JAI ALAI | | | |
| MAILING ADDRESS | | | |
| Street Address or P.O. Box: 301 EAST DANIA BEACH BLVD | | | |
| City: DANIA BEACH | State: FL | Zip Code (+4 optional): 33004 | |
| County (if Florida address): BROWARD | Country: USA | | |
| CONTACT INFORMATION | | | |
| Contact Name: ARNALDO SUAREZ | | | |
| Primary Phone Number: 954-920-1511 | Primary E-Mail Address: ARNALDO.SUAREZ@DANIACASINO.COM | | |
| PHYSICAL ADDRESS | | | |
| Street Address: 301 EAST DANIA BEACH BLVD | | | |
| City: DANIA BEACH | State: FL | Zip Code (+4 optional): 33004 | |
| County: BROWARD | | | |

| ADDITIONAL CONTACT INFORMATION (OPTIONAL) | |
|---|--------------------|
| Alternate Phone Number: 954-964-2422 | Fax Number: N/A |
| Alternate E-Mail Address: JUANPABLO.VILLAMAYOR@DANIACASINO.COM | |

| CARDROOM INFORMATION | |
|---|--|
| Physical Location of Cardroom: | |
| DANIA JAI ALAI/DANIA SUMMER JAI ALAI | |
| 1ST FLOOR SLOT FLOOR; 2ND FLOOR SOUTH SIDE | |
| What is the maximum number of card tables you intend to operate during the license period? | 30 |
| A check or money order made payable to FGCC for the table fees (\$1,000 per table) must be submitted with this application. | |
| Name of cardroom manager or cardroom management company: | DAVID BERMAN |
| Cardroom manager or cardroom management company license number: | 8083414 |
| Type of participation fee charged to players: | Rake - Ante <input type="checkbox"/> Seat Charge <input type="checkbox"/> Both <input checked="" type="checkbox"/> |

Additional documentation requirements:

- 1) Attach a list of card games to be offered to your patrons.
- 2) As specified in s. 849.086(9), Florida Statutes, provide evidence that there is in force a surety bond in the amount of \$50,000, payable to the state, or evidence that the pari-mutuel bond has been expanded to include cardroom operations.
- 3) Attach Form FGCC PMW-3220, List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom, with whom you intend to do business.
- 4) Attach a copy of your internal controls.
- 5) If this is your initial cardroom operator license application, attach proof that the county commission in the county where you desire to conduct cardroom gaming has voted to approve such activity within the county.

| ATTEST STATEMENT | |
|--|--|
| I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. | |
| Signature of Applicant or Applicant's Representative |  |
| Date | 5/30/24 |

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**

Expires On **06/30/2025**

Name **BERMAN, DAVID WILLIAM**

Extended To

Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**

Renewed On **05/02/2022**

Lic Status **Current**

FGCC PMW-3220 – List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov**

INSTRUCTIONS

This form is to be submitted to the division annually in conjunction with Form FGCC PMW-3160. List all of the Cardroom Vendors with which the Cardroom Operator will be conducting business during the next state fiscal year.

CARDROOM OPERATOR

Federal Employer ID Number:
XXXXXXXXXX

Name:
 DANIA ENTERTAINMENT CENTER, LLC DBA DANIA JAI ALAI, DANIA SUMMER JAI-ALAI

| OCCUPATIONAL LICENSEES WHO PROVIDE PRODUCTS AND SERVICES TO CARDROOM | | |
|--|----------------|----------------------------|
| Name | License Number | Type of Product or Service |
| EASTERN POKER, LLC DBA GORILLA GAMING | 12139450 | CARDROOM SUPPLIES |
| GENESIS GAMING SOLUTIONS | 7951003 | CARDROOM SUPPLIES |
| GAME ON CHIP COMPANY | 10176844 | CARDROOM SUPPLIES |
| AVALON GAMING | 11196683 | CARDS |
| OCCUPATIONAL LICENSEES WHO NO LONGER PROVIDE PRODUCTS AND SERVICES TO CARDROOM | | |
| Name | License Number | Type of Product or Service |
| | | |
| | | |
| | | |
| | | |
| | | |

ATTEST STATEMENT

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.

Signature of Applicant or Applicant's Representative *D. Bern...*

Date 5/30/24

| | |
|---|------------------------------|
| Lic Type 1010 - Cardroom Business Occupational | Expires On 06/30/2025 |
| Name EASTERN POKER, LLC | Extended To |
| Rank CBUS - Cardroom Business Occupational | Renewed On 07/14/2022 |
| Lic Status Current | |

| | |
|---|------------------------------|
| Lic Type 1010 - Cardroom Business Occupational | Expires On 06/30/2024 |
| Name GENESIS GAMING SOLUTIONS, INC. | Extended To |
| Rank CBUS - Cardroom Business Occupational | Renewed On 05/11/2021 |
| Lic Status Current | |

| | |
|---|------------------------------|
| Lic Type 1010 - Cardroom Business Occupational | Expires On 06/30/2026 |
| Name GAME ON CHIP COMPANY | Extended To |
| Rank CBUS - Cardroom Business Occupational | Renewed On 06/30/2023 |
| Lic Status Current | |

| | |
|---|------------------------------|
| Lic Type 1010 - Cardroom Business Occupational | Expires On 06/30/2025 |
| Name AVALON GAMING, INC. | Extended To |
| Rank CBUS - Cardroom Business Occupational | Renewed On 07/19/2022 |
| Lic Status Current | |

FGCC PMW-3220 – List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov**

INSTRUCTIONS

This form is to be submitted to the division annually in conjunction with Form FGCC PMW-3160. List all of the Cardroom Vendors with which the Cardroom Operator will be conducting business during the next state fiscal year.

CARDROOM OPERATOR

Federal Employer ID Number:

Name:
DANIA ENTERTAINMENT CENTER, LLC DBA DANI JAI ALAI, DANIA SUMMER JAI ALAI

OCCUPATIONAL LICENSEES WHO PROVIDE PRODUCTS AND SERVICES TO CARDROOM

| Name | License Number | Type of Product or Service |
|-----------------|----------------|----------------------------|
| REEL GAMES, INC | 7830710 | SUPPLIES |
| LNW GAMING INC | 7805891 | SUPPLIES |
| | | |

OCCUPATIONAL LICENSEES WHO NO LONGER PROVIDE PRODUCTS AND SERVICES TO CARDROOM

| Name | License Number | Type of Product or Service |
|-----------------|----------------|----------------------------|
| GALAXY GAMING | 11507733 | CARD GAME |
| 916 POKER DEPOT | 12988018 | SUPPLIES |
| | | |

ATTEST STATEMENT

I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.

Signature of Applicant or Applicant's Representative _____

Date 5/30/24

| | |
|--|------------------------------|
| Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| Name REEL GAMES INC | Extended To |
| Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/15/2021 |
| Lic Status Current | |

| | |
|--|------------------------------|
| Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| Name LNW GAMING INC | Extended To |
| Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/22/2012 |
| Lic Status Current | |

 THE CASINO @
DANIA BEACH

RECEIVED

2024 MAY 30 PM 12:10

FLORIDA GAMING
CONTROL COMMISSION

Jamie Pouncey
Florida Gaming Control Commission
Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, FL 32399

May 22, 2024

Greetings Ms. Pouncey,

Please see the enclosed bond renewal certificates as required for #274 and #281 Jai-Alai and cardroom.
Thank you.

Sincerely,



Elisa Festa Tabasso
Director of Compliance



MATSON-CHARLTON
SURETY GROUP

RECEIVED

2024 MAY 30 PM 12:10

FLORIDA GAMING
CONTROL COMMISSION

BOND RENEWAL NOTICE

DATE: 5/13/2024 BOND NO.: [REDACTED]

TO: DANIA ENTERTAINMENT CENTER, LLC

PRINCIPAL: DANIA ENTERTAINMENT CENTER, LLC

TYPE OF BOND: PARI-MUTUEL WAGERING BOND RENEWAL DATE: 6/30/2024

Enclosed please find a renewal invoice for the above captioned bond. CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.

IF BOND IS NO LONGER NEEDED, RETURN ORIGINAL CERTIFICATE TO OUR OFFICE FOR BOND CANCELLATION. Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

MATSON-CHARLTON SURETY GROUP
700 South Dixie Highway, Suite 100 • Coral Gables, FL 33146
Phone: 305.662.3852 • Fax: 305.661.9948
NASBP Member

CONTINUATION CERTIFICATE


The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Fifty Thousand Dollars and 00/100 (\$50,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC in favor of GOVERNOR OF THE STATE OF FLORIDA for PARI-MUTUEL WAGERING BOND - WINTER SEASON - DANIA JAI ALAI subject to all the conditions and terms thereof through 29th Day of June, 2025 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 13th Day of May, 2024.

NGM Insurance Company
Surety

BY: _____


John W. Charlton, Attorney-in-Fact



MATSON-CHARLTON
SURETY GROUP

BOND RENEWAL NOTICE

| | |
|---|-------------------------------------|
| DATE: <u>5/13/2024</u> | BOND NO.: <u>[REDACTED]</u> |
| TO: <u>DANIA ENTERTAINMENT CENTER, LLC</u> | |
| PRINCIPAL: <u>DANIA ENTERTAINMENT CENTER, LLC</u> | |
| TYPE OF BOND: <u>PARI-MUTUEL WAGERING</u> | BOND RENEWAL DATE: <u>6/30/2024</u> |

Enclosed please find a renewal invoice for the above captioned bond. CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.

IF BOND IS NO LONGER NEEDED, RETURN ORIGINAL CERTIFICATE TO OUR OFFICE FOR BOND CANCELLATION.
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- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

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- Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

5/30/2024

LICENSE
2024 JUN 11 AM 11:06
FLORIDA GAMING
CONTROL COMMISSION

5,000.00

0.00

5,000.00

RECEIVED

Check: 012207

5/30/2024

FLORIDA GAMING CONTROL COMMISSION

5,000.00

Batch # 23025629 Receipt # 230174882

DANIA ENTERTAINMENT CENTER, LLC
301 E. DANIA BEACH BLVD
DANIA, FL 33004

FIRST HORIZON BANK

12207
63-1176/670
9715

DATE

CHECK ARMOR
TRADE PROTECTION

AMOUNT

\$

5/30/2024 *****5,000.00*

*FIVE THOUSAND AND XX / 100

PAY TO THE ORDER OF:
FLORIDA GAMING CONTROL COMMISSION
1940 NORTH MONROE STREET
Tallahassee, FL 32399

0008324

AUTHORIZED SIGNATURE

Photo Safe Deposit

Details on Back.



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 100 - Division of Pari-Mutuel Wagering

Origin: TLH Centra

Fiscal Year: 2023

Batch #: 23025629

Total \$ Entered: \$ 5,000.00

Deposit #: 110736

Deposit Date: 2024-06-12

Receipt: 1

Receipts Entered: 1

Total: \$ 5,000.00

Status: Deposited

| Receipt # | DLN | Received | Disp | Pmt | Note | Unassigned | Prof | Remitted By / Beneficiary | File # | License # | Assigned |
|---------------|-----|-------------|------|-----|------|------------|------|---------------------------------|--------|-----------|-------------|
| 230176882 | | \$ 5,000.00 | DEP | CHK | | \$ 0.00 | 1002 | DANIA ENTERTAINMENT CENTER, LLC | 29 | 274 | \$ 5,000.00 |
| Total: | | | | | | \$ 0.00 | | | | | \$ 5,000.00 |



DEPOSIT TICKET

SUPERIOR PRESS (800) 990-6466

Wells Fargo Bank, N.A.



DATE 6/12/2024 № 736

| CURRENCY | DOLLARS | CENTS |
|--|---------|-------|
| COIN | | |
| LIST ELECTRONIC CHECK | 5000 | 00 |
| 1 120247 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |
| 7 | | |
| 8 | | |
| 9 | | |
| 10 | | |
| 11 | | |
| 12 | | |
| 13 | | |
| 14 | | |
| 15 | | |
| 16 | | |
| 17 | | |
| 18 | | |
| 19 | | |
| TOTAL FROM OTHER SIDE OR ATTACHED LIST | | |

110736

TOTAL ITEMS

PLEASE BE SURE ALL ITEMS ARE PROPERLY ENDORSED.

TOTAL DEPOSIT

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL.

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE OR ANY APPLICABLE COLLECTION AGREEMENT.

4554875
DSP 10-T-BK

**STATE OF FLORIDA DEPARTMENT OF
 FINANCIAL SERVICES #4150110
 FGCC MAIN**

\$

5000.00

RP



BOND RENEWAL NOTICE

| | |
|---|-------------------------------------|
| DATE: <u>5/13/2024</u> | BOND NO.: <u>██████████</u> |
| TO: <u>DANIA ENTERTAINMENT CENTER, LLC</u> | |
| PRINCIPAL: <u>DANIA ENTERTAINMENT CENTER, LLC</u> | |
| TYPE OF BOND: <u>PARI-MUTUEL WAGERING</u> | BOND RENEWAL DATE: <u>6/30/2024</u> |

Enclosed please find a renewal invoice for the above captioned bond. **CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.**

IF BOND IS NO LONGER NEEDED, RETURN ORIGINAL CERTIFICATE TO OUR OFFICE FOR BOND CANCELLATION.
Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

CONTINUATION CERTIFICATE


The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Fifty Thousand Dollars and 00/100 (\$50,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC in favor of GOVERNOR OF THE STATE OF FLORIDA for PARI MUTUEL WAGERING BOND - SUMMER SEASON DANIA JAI ALAI subject to all the conditions and terms thereof through 29th Day of June, 2025 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 13th Day of May, 2024.

NGM Insurance Company
Surety

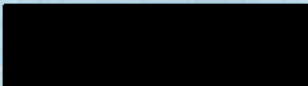
BY: _____


John W. Charlton, Attorney-in-Fact



NGM INSURANCE COMPANY
A member of The Main Street America Group

POWER OF ATTORNEY



KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them."

does hereby make, constitute and appoint **D W Matson III, John W Charlton**

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company, the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 7th day of January, 2020.

NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument; and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Lisa K. Perkin



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this 13th day of May, 2024.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.





MATSON-CHARLTON
SURETY GROUP

BOND RENEWAL NOTICE

DATE: 5/13/2024 BOND NO.: [REDACTED]

TO: DANIA ENTERTAINMENT CENTER, LLC

PRINCIPAL: DANIA ENTERTAINMENT CENTER, LLC

TYPE OF BOND: PARI-MUTUEL WAGERING BOND RENEWAL DATE: 6/30/2024

Enclosed please find a renewal invoice for the above captioned bond. CONTINUATION CERTIFICATE IS ENCLOSED. FORWARD ORIGINAL TO THE OBLIGEE AND KEEP A COPY FOR YOUR RECORDS.

IF BOND IS NO LONGER NEEDED, RETURN ORIGINAL CERTIFICATE TO OUR OFFICE FOR BOND CANCELLATION.
Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
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- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

MATSON-CHARLTON SURETY GROUP

700 South Dixie Highway, Suite 100 • Coral Gables, FL 33146
Phone: 305.662.3852 • Fax: 305.661.9948
NASBP Member

CONTINUATION CERTIFICATE


The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Fifty Thousand Dollars and 00/100 (\$50,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC in favor of GOVERNOR OF THE STATE OF FLORIDA for PARI-MUTUEL WAGERING BOND - WINTER SEASON - DANIA JAI ALAI subject to all the conditions and terms thereof through 29th Day of June, 2025 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 13th Day of May, 2024.

NGM Insurance Company
Surety

BY:

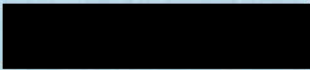


John W. Charlton, Attorney-in-Fact



NGM INSURANCE COMPANY
A member of The Main Street America Group

POWER OF ATTORNEY



KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company therefo, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them. "

does hereby make, constitute and appoint D W Matson III, John W Charlton

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 7th day of January, 2020.

NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Lisa K. Penton



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this 13th day of May, 2024.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.





State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024034688 **Incident date:** 06/17/2024 **Status:** 10 - Initial Review

Lic Type: 1002 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: DANIA JAI-ALAI
301 EAST DANIA BEACH BLVD., DANIA, FL 33004

Respondent: FGCC, PARI-MUTUEL WAGERING
4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: Permitholder: Dania Entertainment Center, LLC (JLAI281)
Date Received: May 30, 2024
Change Requested: Adding five tables for a total of thirty

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 06/17/2024 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 06/17/2024 | S | 1002 | 10 | Initial Review | ljelks | |

5. Discussion of default final order

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: FGCC v. Sara Milagros Segarra
Case Number 2023-044862; Default Final Order
Date: April 23, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (“Division”) seeks the revocation of the Cardroom Employee Occupational License held by the respondent, Sara Milagros Segarra (“Respondent”).

The Division served Respondent with a three-count administrative complaint, alleging that she had (i) been convicted of four felonies, (ii) failed to timely inform the Commission of her convictions, and (iii) failed to provide written notice of her arrests to the Commission within five days. In addition, Respondent failed to respond to the Administrative Complaint served via hand service, thereby waiving her right to request a hearing contesting this matter.

The Division therefore recommends that the Florida Gaming Control Commission enter a final order revoking the Cardroom Employee Occupational License, number 13414145, currently held by Respondent.

Background

On or about January 6, 2023, Respondent was arrested in Volusia County, Florida, and charged with: (i) Principle to Trafficking in Fentanyl, (ii) Principle to Possession of Cocaine with Intent to Sell, (iii) Neglect of a Child and (iv) Neglect of a Child. Respondent failed to provide written notice to the Commission within five days of this arrest.

On June 20, 2023, Respondent entered a plea of nolo contendere and was convicted in the Circuit Court of the Seventh Judicial Circuit in and for Volusia County, Florida, of: (i) Felony Trafficking in Fentanyl, (ii) Felony Principle to Possession of Cocaine with Intent to Sell, (iii) Felony Neglect of a Child, and (iv) Felony Neglect of a Child.¹ Respondent failed to inform the Commission within 48 hours of these felony convictions.

¹ See *State of Florida v. Sara Milagros Segarra*, Case No. 2023-100046-CFDL.

Based on the foregoing, the Division filed an administrative complaint on October 12, 2023, seeking the suspension or revocation of Respondent's Cardroom Employee Occupational License. The election of rights accompanying the administrative complaint notified Respondent that she had 21 days² to file a written response in order to preserve her right to request a hearing on the matter. The Respondent was served on April 24, 2024, which means the Respondent had until May 15, 2024, to respond. She has never responded.

Analysis

Section 550.105(5)(b), Florida Statutes, provides:

The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(10)(d), Florida Statutes, provides that each licensee shall inform the Commission within 48 hours "if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Rule 75-11.011, Florida Administrative Code, states:

Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if

² See Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

not arrested, within five days of the receipt of a charging document.
Licensees are not required to report non-criminal traffic offenses.

Respondent failed to provide written notification to the Commission within five days of her January 6, 2023, arrest. Further, she was convicted of four felony offenses and did not inform the Commission of these convictions within 48 hours. Respondent's license is therefore subject to suspension or revocation.

Respondent failed to file a timely response to Division's Administrative Complaint and has thus waived her right to request a hearing contesting this matter.

Staff Recommendation: The Division recommends that the Florida Gaming Control Commission enter a final order revoking the Cardroom Employee Occupational License number 13414145, currently held by the Respondent, Sara Milagros Segarra.



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

FLORIDA GAMING CONTROL COMMISSION,

Petitioner,

v.

FGCC Case No.: 2023-044862

SARA MILAGRO SEGARRA,

Respondent.

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH

COMES NOW, the Affiant, an employee of the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) April 24, 2024, Affiant made a diligent effort to locate Respondent, to serve: License Denial; Administrative Complaint and related papers; Subpoena(s); Final order; ESO and related papers; (other) .

(PLEASE CHECK APPLICABLE ANSWER)

X Affiant made personal service on Respondent, on (date), April 24, 2024, at (location) 129 CARPENTER AVE, ORANGE CITY, FL 32763.

 Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the F.G.C.C. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others:

C. Derek Washington
Signature of Affiant

STATE OF Florida
COUNTY OF Marion

Before me, appeared C. Derek Washington; who is personally known to me or whose identity I proved on the basis of ; and who acknowledges that his/her signature appears above.

Sworn to or affirmed by Affiant before me this 24th day of April 2024.

Randa Samson
Notary Public

Randa Samson
Type or Print Name



RANDA SAMSON
Commission # HH 319852
Expires November 1, 2026



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-044862

SARA MILAGROS SEGARRA,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Sara Milagros Segarra (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Cardroom Employee Occupational License, number 13414145, issued pursuant to section 849.086(6) Florida Statutes.
3. On or about June 20, 2023, Respondent entered a plea of nolo contendere and was convicted of (1) felony Trafficking in Fentanyl, (2) felony Principle to Possession of Cocaine with Intent to Sell, (3) felony Neglect of a Child, and (4) felony Neglect of a Child, in the Circuit Court of the Seventh Judicial Circuit in and for Volusia County, Florida, in case number 2023-CF-100046.

COUNT I

4. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

5. Pursuant to section 550.105(5)(b), Florida Statutes:

The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

6. Section 849.086(6)(f), Florida Statutes, provides that “[t]he commission shall adopt rules regarding cardroom occupational licenses. The provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

7. Section 550.105(5)(d), Florida Statutes, provides the term “convicted” means “having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.”

8. Based on the foregoing, Respondent violated sections 550.105(5)(b) and 849.086(6)(f), Florida Statutes, by entering a plea of nolo contendere and being convicted of (1) felony Trafficking in Fentanyl, (2) felony Principle to Possession of Cocaine with Intent to Sell, (3) felony Neglect of a Child, and (4) felony Neglect of a Child, in Volusia County, Florida on June 20, 2023 and is therefore subject to suspension or revocation of her occupational license.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

10. Respondent failed to notify Petitioner within 48 hours of her June 20, 2023 conviction for (1) felony Trafficking in Fentanyl, (2) felony Principle to Possession of Cocaine with Intent to Sell, (3) felony Neglect of a Child, and (4) felony Neglect of a Child in Volusia County, Florida.

11. Pursuant to section 550.105(10)(d), Florida Statutes, each licensee shall inform the Commission, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.”

12. Based on the foregoing, Respondent violated section 550.105(10)(d), Florida Statutes, by failing to inform the Division of her June 20, 2023 conviction for a disqualifying offense within 48 hours.

COUNT III

13. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

14. On or about January 6, 2023, Respondent was arrested in Volusia County, Florida, and was charged with (1) Principle to Trafficking in Fentanyl, (2) Principle to Possession of Cocaine with Intent to Sell, (3) Neglect of a Child, and (4) Neglect of a Child.

15. Respondent failed to provide written notification to Petitioner within five days of her January 6, 2023 arrest.

16. Rule 75-11.011, Florida Administrative Code, states:

Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested,

indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

17. Based on the foregoing, Respondent violated rule 75-11.011, Florida Administrative Code, by failing to provide written notification to Petitioner within five days of her January 6, 2023 arrest.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking or suspending Respondent's Occupational License, along with any other remedy provided by sections 550.105(5) and 849.086(6)(f), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-044862 is signed this 11th day of October 2023.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

| | | | | | | | | | |
|---|---|---|----------------------------------|-------------------------|--------------------------------------|----------------------|---------------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | | Mass Activity Update | | Mass Discipline | | |

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

| | | | | | |
|-------------|--|-------------|---------------------------------|--------------|-------------------|
| Lic Type | 1012 - Cardroom Employee Occupational | Status | 90 Closed | Status Date | 09/10/2023 |
| Complaint # | 2023044862 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent SEGARRA, SARA MILAGROS | Responsible | bjones - JONES, BRADFORD | Private Case | |

| | | | |
|---------------------------|----------------------------|-----------------------------|----------------------------|
| Complaint | Respondent | Complainant | Add'l Info |
|---------------------------|----------------------------|-----------------------------|----------------------------|

| | | | | | | | | |
|-----------|---|----------------|--------------------|-------------------------------------|-------------|---|---------------|--|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities | |
| Form | INTR - Internal | Priority | | <input type="checkbox"/> | | | | |
| Class'n | CHIS - Criminal History | Complexity | R - Regular | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline | |
| Security | STND - Standard | Incident | 06/20/2023 | <input type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance | |
| Region | CR - Central Region | Received | 08/09/2023 | <input type="checkbox"/> | | | | |
| Reference | 75-11.011 | | | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition | |
| Entered | 08/09/2023 | Entered By | nmelvai | <input type="checkbox"/> | Inspection | | | |
| Summary | <p>143----DAYTONA BEACH KENNEL CLUB, INC.--- [[75 -11.011 Notification of Criminal Conviction or Charge]]----Licensee Sara Milagros SEGARRA, a cardroom employee (Cashier) at Daytona Beach Kennel Club, and who holds a PMW Cardroom Employee Occupational License, (Lic# 13414145 / 1012), was convicted on June 20, 2023, for Trafficking in Fentanyl 4 grams to <14 grams (1st Degree Felony), Principle to Possession of Cocaine with Intent to Sell (2nd Degree Felony), and 2 counts of Neglect of a Child (3rd Degree Felony). In addition, SEGARRA failed to notify the Division of her arrest and subsequent convictions as required by Florida Statutes and Rule noted above.</p> | | | <input type="checkbox"/> | Costs | <input type="checkbox"/> | Time Tracking | <input type="button" value="Auto Assign"/> |
| | | | | <input type="checkbox"/> | Attachments | <input type="button" value="History"/> | | |
| Updated | 09/11/2023 16:18:22 | By | cstubbs1 | <input type="checkbox"/> | Work Notes | <input type="button" value="Print Report"/> | | |

| | | | | |
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| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
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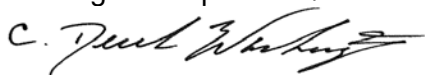

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|---|--------------------------|--|--|
| Office: PMW | Region: CENRAL | Date of Complaint: AUGUST 9, 2023 | Case Number: 2023 04 4862 |
| RESPONDENT: SEGARRA, SARA MILAGROS 2211 KENT ROAD DELTONA, FLORIDA 32725 TEL. # (386) 270-2530 | | COMPLAINANT: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: 13414145 - 1012 | | Profession: CASHIER | Report Date: AUGUST 23, 2023 |
| Period of Investigation: AUGUST 9, 2023 – AUGUST 23, 2023 | | Type of Report: FINAL | |
| <p>Alleged Violation(s): 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. — (5)(b) The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.</p> <p>550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. — (10) (d) Under penalty of perjury, each person who is licensed or who is fingerprinted as required by this section must agree to inform the division within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.</p> <p>75-11.011 Notification of Criminal Conviction or Charge Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.</p> <p>Synopsis: Licensee Sara Milagros SEGARRA, a cardroom employee (Cashier) at the Daytona Beach Kennel Club, and who holds a PMW Cardroom Employee Occupational License, (Lic# 13414145 / 1012), was convicted on June 20, 2023, for Trafficking in Fentanyl 4 grams to <14 grams (1st Degree Felony), Principle to Possession of Cocaine with Intent to Sell (2nd Degree Felony), and 2 counts of Neglect of a Child (3rd Degree Felony). In addition, SEGARRA failed to notify the Division of her arrest and subsequent convictions as required by Florida Statutes and Rule noted above.</p> | | | |
| Related Case: | | | |
| Investigator Supervisor / Date  C. Derek Washington / August 23, 2023 | | Chief of Investigations / Date  Bradford D. Jones / September 10, 2023 | |

CONTINUATION

On January 6, 2023, the Division received an Applicant Hit notification from the Florida Department of Law Enforcement (FDLE). The report indicated that on January 6, 2023, **SEGARRA** was arrested by the Volusia County Sheriff's Office and charged with the following four offenses (**Exhibit #1**):

- **Count I:** Trafficking in Fentanyl 4 grams to <14 grams (Felony 1st Degree)
- **Count II:** Principle to Possession of Cocaine with Intent to Sell (Felony 2nd Degree)
- **Counts III & IV:** Neglect of a Child (Felony 3rd Degree)¹

A check of the VERSA License database shows **SEGARRA** received her PMW Cardroom Employee Occupational license on December 14, 2022, to work as a Cashier at the Daytona Beach Kennel Club (DBKC). She is currently licensed until June 30, 2025. On January 24, 2023, PMW Operations Review Specialist Jonathan Dye placed an Enforcement Alert on **SEGARRA's** PMW license regarding the above offenses (**Exhibit #2**).

Information from the Florida Comprehensive Case Information System (CCIS) for Volusia County, Florida, indicated that on June 20, 2023, **SEGARRA** pled Nolo Contendere to all charges listed above. For Count 1, Trafficking in Fentanyl 4 grams to < 14 grams (Felony 1st Degree), she was Adjudicated Guilty. For Count II - Principle to Possession of Cocaine with Intent to Sell (Felony 2nd Degree), and Counts III & IV - Neglect of a Child (Felony 3rd Degree), **SEGARRA** received an Adjudication Withheld. **SEGARRA** was sentenced to Seven (7) Years of Drug Offender Probation for counts I & II, and five-years' Probation for counts III & IV, to run concurrent with count I. **SEGARRA** was also ordered to pay \$768.00 in Court costs and fines which as of the date of this report, remain unpaid (**Exhibit #3**).

Conclusion: **SEGARRA** is in violation of the above-listed Statutes and Rule due to her arrest, subsequent felony convictions, and her failure to notify the Division of same as required.

Status: Investigations case closed and forwarded to Legal for review.

¹ As per the Charging Affidavit prepared at the time of her arrest, **SEGARRA** was arrested by narcotics detectives in a Volusia County hotel room after another occupant of the room had been observed conducting numerous drug transactions in and around the room. The child neglect charges were the result of minor children also being found in the room and within close proximity to a significant quantity of narcotics (**EXHIBIT #3**).

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 3. Court Documents form CCIS 1-16

PMW Occupational License Applicant Hit Routing Slip

RE: Segarra, Sara Milagros LICENSE NO: 13414145
(LICENSEE NAME)

APPLICANT HIT RECEIVED: 01/06/2023
(DATE)

Jonathan Dye 143 - Daytona Beach Kennel Club, Inc. Cashier

Applicant Hit ORS **Facility (d/b/a name)** **Occupation/Job Title**

Pursuant to Section 550.105(5)(a) The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

| Licensing Section Review | | |
|---|-----------|-------|
| Did the applicant self-report this conviction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Conviction | | |
| <input checked="" type="checkbox"/> Felony | | |
| <input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking | | |
| <input type="checkbox"/> Animal Cruelty | | |
| Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any racing jurisdiction? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | | |
| <input type="checkbox"/> Cardroom Licenses, Professions 1012, 1031 and 1032 (Fraud, Larceny, Theft, etc.) | | |
| <input type="checkbox"/> Other: | | |
| Comments: | | |
| 01/06/2023- Volusia County, Florida (Case #: 642023CF100046XXXADL) | | |
| Charge 1- TRAFFICKING IN FENTANYL (4 GRAMS TO <14 GRAMS) (F)– Adjudicated Guilty | | |
| Charge 2- PRINCIPLE TO POSSESSION OF COCAINE WITH INTENT TO SELL (F)– Adjudicated Withheld | | |
| Charge 3- NEGLECT OF A CHILD (F)– Adjudicated Withheld | | |
| Charge 7- NEGLECT OF A CHILD (F)– Adjudicated Withheld | | |
| <input type="checkbox"/> Referred to Investigations | Initials: | Date: |



| | | | |
|--------------------------------------|---|---|---|
| License Administrator Review: | <input type="checkbox"/> No Referral Required | <input checked="" type="checkbox"/> Forward to Investigations | Initials:  |
|--------------------------------------|---|---|---|

| | | | | | | | | | |
|--------------------------------|-----------------------|-------------------------------|-----------------------------|---|----------------------|---|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| License Search | | Entity Search | | Modify License Standing | | Maintain License CE Control | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nmetvat**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > [License Home](#)

| <p>License</p> <p>Fed Tax # [REDACTED] Lic Type 1012 - Cardroom Employee Occupational Expires On 06/30/2025</p> <p>File # 14369 Name Segarra, Sara Milagros Extended To</p> <p>License # 13414145 Rank CEMP - Cardroom Employee Occupational Renewed On</p> <p>Entity # 13414145 Lic Status Current</p> | | <input type="checkbox"/> <table border="1"> <tr><td>Licensee</td></tr> <tr><td>History</td></tr> <tr><td>Notes</td></tr> <tr><td>Notes History</td></tr> <tr><td>Back</td></tr> </table> | Licensee | History | Notes | Notes History | Back | | | | | | | | | | | | | | | | | | |
|---|---------------------------------------|--|--------------------------|-------------------------|-----------------------|-------------------------------|--------------------------|------------|---------------|---|----------------|------------|--|---|------------------|------------|--|---|---------------------------------------|------------|--|---|----------------------|------------|--|
| Licensee | | | | | | | | | | | | | | | | | | | | | | | | | |
| History | | | | | | | | | | | | | | | | | | | | | | | | | |
| Notes | | | | | | | | | | | | | | | | | | | | | | | | | |
| Notes History | | | | | | | | | | | | | | | | | | | | | | | | | |
| Back | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Address</p> <p>Street # 2211 Street KENT ROAD</p> <p>Line 2</p> <p>Line 3</p> <p>City DELTONA State FL Zip 32725</p> <p>Routing</p> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Other</p> <p>1st License Date 12/14/2022 Rank Date 12/14/2022 Certificate #</p> <p>Method I-S-1024 Status Date 12/14/2022 Certificate Date</p> <p>Fee Exempt No Birth Date 1 [REDACTED] Renewal Sent</p> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Select</p> <p>Action <input type="text"/>  </p> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>ENFO - Enforcement Alert</td> <td>01/24/2023</td> <td>APPLICANT HIT</td> </tr> <tr> <td>C</td> <td>CASH - Cashier</td> <td>11/30/2022</td> <td></td> </tr> <tr> <td>I</td> <td>GHND - Greyhound</td> <td>11/30/2022</td> <td></td> </tr> <tr> <td>L</td> <td>143 - Daytona Beach Kennel Club, Inc.</td> <td>11/30/2022</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>11/30/2022</td> <td></td> </tr> </tbody> </table> | | Type | Modifier | Effective Date | Additional Info | A | ENFO - Enforcement Alert | 01/24/2023 | APPLICANT HIT | C | CASH - Cashier | 11/30/2022 | | I | GHND - Greyhound | 11/30/2022 | | L | 143 - Daytona Beach Kennel Club, Inc. | 11/30/2022 | | Y | 3YR - 3 Year License | 11/30/2022 | |
| Type | Modifier | Effective Date | Additional Info | | | | | | | | | | | | | | | | | | | | | | |
| A | ENFO - Enforcement Alert | 01/24/2023 | APPLICANT HIT | | | | | | | | | | | | | | | | | | | | | | |
| C | CASH - Cashier | 11/30/2022 | | | | | | | | | | | | | | | | | | | | | | | |
| I | GHND - Greyhound | 11/30/2022 | | | | | | | | | | | | | | | | | | | | | | | |
| L | 143 - Daytona Beach Kennel Club, Inc. | 11/30/2022 | | | | | | | | | | | | | | | | | | | | | | | |
| Y | 3YR - 3 Year License | 11/30/2022 | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Alt Keys</p> <p>BEST LIC NBR 13414145</p> | | | | | | | | | | | | | | | | | | | | | | | | | |

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EXHIBIT #2
PAGE 1/3

[FAQ](#) | [Help](#) | [Sign Out](#)

| | | | | | | | | | |
|--|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| License Search Entity Search Modify License Standing Maintain License CE Control | | | | | | | | | |

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelva

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > **Display Alerts**

| | | |
|---------------------------|---|------------------------------|
| Fed Tax # 1 [REDACTED] | Lic Type 1012 - Cardroom Employee Occupational | Expires On 06/30/2025 |
| File # 14369 | Name Segarra, Sara Milagros | Extended To |
| License # 13414145 | Rank CEMP - Cardroom Employee Occupational | Renewed On |
| Entity # 13414145 | Lic Status Current | |

| Description | Effective | Notes |
|-----------------------------------|------------|-------------------------------------|
| Enforcement Alert | 01/24/2023 | <input checked="" type="checkbox"/> |

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EXHIBIT #2
PAGE 2/3

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dwashingto**

VR Home > License Search > Display Alerts > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcement**
 Effective **01/24/2023**
 Expires -
 Additional Info :
 Prompt
 Value **APPLICANT HIT**
 Notes **Applicant must submit form PMW-3120 and provide court disposition records for the 01/06/2023 offense in Volusia County, Florida.**
 Updated **01/24/2023 11:21:14**
 By **jdye1**

 [Get Adobe Reader.](#)

7th. Judicial Circuit 707
Charging Affidavit - Volusia

| | | | | | | |
|---|---|---|----------------------------------|---|---|--|
| ARREST <input checked="checked" type="checkbox"/> | NOTICE TO APPEAR <input type="checkbox"/> | AFFIDAVIT <input type="checkbox"/> | C.C. <input type="checkbox"/> | ADULT <input checked="checked" type="checkbox"/> | JUVENILE <input type="checkbox"/> | Court Case Number: 2023 100046 CFDL |
| (ORI) FL: <u>FL0640000</u> | Agency Name: <u>VOLUSIA COUNTY SHERIFF'S OFFICE</u> | | | Agency Case Number: <u>230000327</u> | | |
| FCIC/NCIC Check? <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No | OBTS # | U.C.R.: | Date Arrested: <u>01-06-2023</u> | Time of Arrest: <u>0549</u> | | |
| ADDRESS OF ARREST (Street, City, State, Zip): [REDACTED] | | | Arrested By: <u>Moss, Kevin</u> | ID Number: <u>2445</u> | | |
| DEFENDANT | NAME (Last) <u>Segarra</u> | (First) <u>Sara</u> | (Middle) <u>Milagros</u> | A.K.A.: | Sex: <u>F</u> Race: <u>W</u> | |
| DOB: [REDACTED] | Age: <u>41</u> | Driver's Lic./ ID No.: [REDACTED] | State: <u>FL</u> | Year Expires: <u>2024</u> | S.S.# - [REDACTED] | |
| Height: <u>5' 05"</u> | Weight: <u>150</u> | Hair: <u>BRO</u> | Eyes: <u>BRO</u> | P.O.B. (City, State, Country): <u>NY</u> | Statement: Yes <input type="checkbox"/> No <input checked="checked" type="checkbox"/> | |
| Scars, Marks, Tattoos: | Business & Occupation: | | | Citizenship: Yes <input checked="checked" type="checkbox"/> No <input type="checkbox"/> | | |
| Probation: Yes <input type="checkbox"/> No <input checked="checked" type="checkbox"/> | Sexual Predator: Yes <input type="checkbox"/> No <input checked="checked" type="checkbox"/> | English: Yes <input checked="checked" type="checkbox"/> No <input type="checkbox"/> | | Deaf/Mute: Yes <input type="checkbox"/> No <input checked="checked" type="checkbox"/> | | |
| Address - Mailing/Permanent (STREET, APT. NUMBER) <u>445 S Volusia Avenue #212</u> | | (CITY) <u>ORANGE CITY</u> | (STATE) <u>FL</u> | ZIP CODE <u>32763</u> | RESIDENCE PHONE <u>(386) 270-2530</u> | |
| Address - Local (STREET, APT. NUMBER) <u>90 S Rally Road</u> | | (CITY) <u>Avon Park</u> | (STATE) <u>FL</u> | ZIP CODE <u>33825</u> | RESIDENCE PHONE | |
| Address - Other (Employer/School) (STREET, APT. NUMBER) | | (CITY) | (STATE) | ZIP CODE | BUS/SCHOOL PHONE | |

| | | | | | | | | |
|--|---|---|---------------------------------------|---------------------------------------|---|--|------------------------------|-------------------------|
| CHARGES | DOMESTIC VIOLENCE? Yes <input type="checkbox"/> | Attachments: Affidavit(s)? <input checked="checked" type="checkbox"/> | Statement(s) <input type="checkbox"/> | NTA Schedule <input type="checkbox"/> | Report <input checked="checked" type="checkbox"/> | Traffic Infraction(s) <input type="checkbox"/> | DUI <input type="checkbox"/> | Total Charges: 8 |
| #1 Charge: <u>Conspiracy to traffick fentanyl</u> | FEL <input checked="checked" type="checkbox"/> MISD <input type="checkbox"/> ORD <input type="checkbox"/> | FS/ORD: <u>893.13</u> | Citation No.: | Bond: <u>350,000</u> | | | | |
| #2 Charge: <u>Poss. of crack cocaine w/ intent</u> | FEL <input checked="checked" type="checkbox"/> MISD <input type="checkbox"/> ORD <input type="checkbox"/> | FS/ORD: <u>893.13</u> | Citation No.: | Bond: <u>10,000</u> | | | | |
| #3 Charge: <u>Child Neglect w/o Great Harm</u> | FEL <input type="checkbox"/> MISD <input type="checkbox"/> ORD <input type="checkbox"/> | FS/ORD: <u>827.03(3)(C)</u> | Citation No.: | Bond: <u>15,000</u> | | | | |

| | | | | | | |
|------------------------------|---|---|----------------|---------------|-----------------|----------------|
| CO-DEFENDANT | Co-Def #1. Arrested? Y <input checked="checked" type="checkbox"/> N <input type="checkbox"/> Fel. <input checked="checked" type="checkbox"/> Misd. <input checked="checked" type="checkbox"/> Traf. <input type="checkbox"/> Ord. <input type="checkbox"/> NTA <input type="checkbox"/> | Co-Def #2. Arrested? Y <input type="checkbox"/> N <input type="checkbox"/> Fel. <input type="checkbox"/> Misd. <input type="checkbox"/> Traf. <input type="checkbox"/> Ord. <input type="checkbox"/> NTA <input type="checkbox"/> | | | | |
| #1 NAME (Last) <u>Watson</u> | (First) <u>Christopher</u> | (Middle) <u>Courtney</u> | Race: <u>W</u> | Sex: <u>M</u> | DOB: [REDACTED] | Age: <u>45</u> |
| #2 NAME (Last) | (First) | (Middle) | Race: | Sex: | DOB: | Age: |

NARRATIVE

The undersigned certifies and swears that there is probable cause to believe the above-named defendant, on the 06 day of January, 2023, at approximately 0549 a.m. p.m. at [REDACTED] within Volusia County, violated the law and did then and there:

1 by having over 84.1 grams of fentanyl in his possession in his safe that he admitted to post Miranda warning, so he was charged with trafficking in
2 fentanyl, he also admitted post Miranda to having crack cocaine which weighed 3 grams in his safe for personal use. In the safe was also 12 grams
3 of cannabis. Located in a metro pcs bag next to the bed was approximately 2 grams of powder cocaine. Misc. drug paraphernalia items in the form of
4 bags, scale and blender with cocaine residue on them used to manufacture the cocaine. In having this amount of narcotics in his possession is much
5 more than a personal use of narcotics and he also had two under age children who were both 17 years of age living in the motel room with him. Due
6 to this he was charged with child abuse/neglect.
7
8
9 On January 4, 2023, TFA Adkins was conducting surveillance at [REDACTED] a motel which is known for criminal activity
10 to take place on the north side of the property. While conducting surveillance, TFA Adkins observed Christopher Watson standing on the balcony in
11 front of room [REDACTED]. TFA Adkins is familiar with Christopher Watson as being a known person who deals illegal drugs, usually crack cocaine and
12 heroin. TFA Adkins observed Christopher looking around, speak on the phone, and then meet with several subjects as they arrived to the motel
13 conducting what appeared to be "hand to hand drug transactions". TFA Adkins observed an unknown female walk a tan pit bull on a pink leash to
14 Christopher and give him the leash. Christopher then opened the door to [REDACTED] and walked the dog inside. Christopher soon after returned outside
15 holding a pack of cigarettes and met with more subjects, at which time TFA Adkins left the area while Christopher was out of sight to avoid being

| | | | |
|--|---|--|----------------------------|
| NOTICE TO APPEAR | MANDATORY APPEARANCE <input type="checkbox"/> | YOU NEED NOT APPEAR IN COURT BUT MUST COMPLY WITH INSTRUCTIONS ON THE REVERSE SIDE OF YOUR COPY <input type="checkbox"/> | FINE, AND COSTS AMOUNT: |
| I AGREE TO APPEAR IN COURT HEREIN TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE INDICATED, I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED, OR PAY THE LISTED FINE, I MAY BE HELD IN CONTEMPT OF COURT AND A WARRANT FOR MY ARREST WILL BE ISSUED. | | | |
| SIGNATURE OF DEFENDANT | Date | RELATIONSHIP TO JUVENILE | JUVE DISP. CITATION No. |
| Sworn to and subscribed before me, the undersigned this <u>06</u> day of <u>January</u> , _____, _____ Name: <u>Brown OC1309</u> | | | Rt Thumb |
| Notary Public <input type="checkbox"/> Law Enforcement or Corrections Officer <input checked="checked" type="checkbox"/> | | OFFICER'S/COMPLAINANT'S SIGNATURE | |
| Personally Known <input checked="checked" type="checkbox"/> Produced Identification <input type="checkbox"/> | Type of Identification: <u>Ofc Brown</u> | <u>Moss, Kevin</u> <u>2445</u> NAME (PRINTED) ID NUMBER | |
| OFFICIAL USE ONLY | Inmate Number & Facility: | EXHIBIT #3 PAGE 1/16 | |

Volusia**Notice to Appear Instruction Sheet**

Follow these instructions according to the boxes checked.

| |
|------------------------|
| Court Case Number: |
| Agency Case Number: |

- Mandatory Court Appearance -- You MUST appear at COURT. You will receive a Notice of Arraignment from the County Clerk's Office at the mailing address you have given. Failure to appear at the time and place designated, will result in a warrant being issued for your arrest.**
- Court Appearance Not Mandatory -- You MUST comply with EITHER A or B:**

**PAYMENTS SHOULD BE MADE PAYABLE TO:
CLERK OF THE COURT.**

A. Pay the Fine: You must complete the waiver information below and either mail or personally present this citation at the Clerk's Office checked below, from 8:00 a.m. to 4:30 p.m., Monday through Friday within 15 days of the issuance of this Notice to Appear. ***Fines may be paid in cash, personal check, money order or certified check made payable to: Clerk of the Court . (DO NOT MAIL CASH.)***

Total fine and costs you must pay: \$ _____

B. Contest the Citation: You MUST request that a court date be set within 15 days of the issuance of this Notice to Appear (if the 15th day falls on a Saturday, Sunday or legal holiday, the period is extended to the next working day) by either appearing between the hours of 8:00 a.m. and 10:00 a.m. at the Clerk's Office checked below, or by mailing your written request to the Clerk of the Court at the address checked below.

COUNTY CLERK'S OFFICES:

- Volusia County Courthouse, room B155, 101 N. Alabama Avenue, Deland, FL, 32724
- Court House Annex, room 109, 125 E. Orange Avenue, Daytona Beach, FL, 32114
- Volusia County Courthouse, room 6, 124 N. Riverside Drive, New Smyrna Beach, FL, 32169

I agree to appear at the time and place as designated above to answer the listed charge(s) or pay the fine and costs. I understand that if I willfully fail to request a court date and/or fail to appear before the court as required by this Notice to Appear, or fail to pay the indicated fine and costs on or before the date set forth above, I may be held in contempt of court and a warrant for my arrest will be issued.

DEFENDANT'S SIGNATURE (MANDATORY): _____

ATTENTION: PERSONS WITH DISABILITIES

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Court Administration, 125 E. Orange Avenue, Ste.300, Daytona Beach, FL 32114; Telephone: 386-257-6096 within two (2) working days of your receipt of this notice: If you are hearing or voice impaired, call 1-800-955-8771 or 1-800-955-8770. **THIS IS NOT A COURT INFORMATION LINE.**

Plea and Waiver Information

If this notice indicates that you have the option to pay a fine or appear in court and you choose to pay the fine, follow the instructions in paragraph A above. Read and sign this page. This page MUST be returned to the clerk's office with your fine payment.

- In consideration of my not appearing in court, I enter my plea on the affidavit in this case, for the offense charged, waiving my right to be present and the reading of the affidavit. I understand the nature of the charge(s) against me and hereby enter my plea of guilty or nolo contendere (no contest) .
- In doing so, I understand the nature of the charge(s) against me, I understand that I waive my right to counsel, the right to a trial before a judge or jury, the right to a continuance, and the right to appeal. Payment of this fine will result in adjudication of guilt to this charge being withheld.
- By my signature, I acknowledge that I understand the above statements. I am not under the influence of alcohol or drugs. I also certify that my address listed below is correct.

Defendant's Signature: _____
(First) (Middle) (Last)

Date: _____

Defendant's Name (print): _____

EXHIBIT #3
PAGE 2/16

Defendant's Address: _____

Narrative Supplement 707-B


Arrest
 Affidavit
 Notice to Appear
 Adult
 Juvenile

Court Case Number:

| | | | |
|---|--|--|-------------------------------|
| Defendant (Last) Name: Segarra | (First) Sara | (Middle) Milagros | Agency Case Number: 230000327 |
| CHARGES | DOMESTIC VIOLENCE? Yes <input type="checkbox"/> | Attachments: Affidavit(s)? <input checked="" type="checkbox"/> Statement(s) <input type="checkbox"/> NTA Schedule <input type="checkbox"/> Report <input checked="" type="checkbox"/> Traffic Infraction(s) <input type="checkbox"/> | Total Charges: 8 |
| #4 Charge: Poss. of cocaine w/ intent | FEL <input checked="" type="checkbox"/> MISD <input type="checkbox"/> ORD <input type="checkbox"/> | FS/ORD: 893.13 | Citation No.: Bond: 10,000 |
| #5 Charge: Poss./Use Narcotic Paraphernalia | FEL <input type="checkbox"/> MISD <input checked="" type="checkbox"/> ORD <input type="checkbox"/> | FS/ORD: 893.147(1) | Citation No.: Bond: 500 |
| #6 Charge: Poss. cannabis u/20 grams | FEL <input type="checkbox"/> MISD <input checked="" type="checkbox"/> ORD <input type="checkbox"/> | FS/ORD: 893.13 | Citation No.: Bond: 500 |

16 observed on surveillance.
 17
 18 On January 5, 2023, TFA Adkins established surveillance on room [REDACTED] at 0300 hours. The interior light was off all morning until 0700 hours. TFA
 19 Adkins observed Christopher Watson open the door and walk out to the balcony and smoke in front of the door. Christopher was wearing a white
 20 shirt and blue jean shorts with holes in them, the same outfit observed on January 4, 2023, which Christopher was wearing. TFA Adkins believes
 21 after observing Christopher come in and out of the same room on different days further confirms this is the room where he resides.
 22
 23 On January 6, 2023 at approximately 0300 hours, TFA Adkins deployed K-9 Lotti in the parking lot by giving her the command to "search". Starting
 24 from the furthest room to the northwest corner [REDACTED] K-9 Lotti began doing a cursory and detailed free air sniff of the exterior seams of the upstairs
 25 rooms along the north side of the building. The rooms numbered [REDACTED], 215, 214, 212, 211, 210, 209" (there is no room numbered 213).
 26
 27 K-9 Lotti's behavior changed by showing signs of slowing her walking pace, closing her mouth, performing a deeper detailed sniff, and wagging of the
 28 tail as she approached room [REDACTED]. The behavior changes are an indicator Lotti was in an odor of illegal narcotics. Lotti sniffed the lower door seam
 29 to the left side of the door of room [REDACTED] and stood at the door in a frozen position giving a positive alert to the odor of illegal narcotics, and remained
 30 in the standing frozen position until rewarded by TFA Adkins.
 31
 32 As TFA Adkins was leaving the area, Christopher came out of room [REDACTED] with a dog and began walking it along the wood line. At this time
 33 Christopher was contacted and secured. Contact was then made with his wife and daughter in room [REDACTED] and the room was secured pending the
 34 approval of a search warrant affidavit based on K-9 Lotti's alert to the presence of narcotics odor coming from room [REDACTED].
 35
 36 Detective Moss who was assisting TFA Adkins with this operation, completed a search warrant affidavit that was approved by Judge Linda Gaustad
 37 at 0445 hours on 01/06/2023. At 0500 hours Detective Moss and assisting detectives executed the search warrant on room [REDACTED] at the [REDACTED]
 38 [REDACTED] at [REDACTED]. It is noted the subjects of this room had already been detained prior to the issuance of the search
 39 warrant. Detective Moss read the search warrant and Miranda warnings to the co-defendant Christopher Watson, his fiance and defendant Sara
 40 Segarra (D1) and the two juveniles in the room [REDACTED] (O1) and [REDACTED] (O2). After reading of the search warrant and Miranda
 41 warnings, detectives began the search of the motel room for illegal narcotics.
 42
 43 Detective Moss then questioned Segarra about the room and the illegal narcotics located inside of the room during the search. Segarra claimed no
 44 knowledge of illegal narcotics in the room. Detective Moss questioned Watson about the narcotics and he claimed everything in the room was his.
 45 Watson claimed the heroin/fentanyl in the safe along with the crack cocaine was his. Watson was asked about other items in the safe and could not
 46 identify anything else in the safe other than personal papers belonging to Segarra. Detective Moss further questioned Segarra about her personal
 47 items in the safe and she claimed Watson put them in there. Segarra denied knowing what drugs were in the safe. In the safe was the social
 48 security card, birth certificate and other personal items belonging to Segarra. Also located in the safe was a receipt and the credit card number on
 49 the receipt was to Watson's credit card with his name on it and the credit card in question was in Segarra's wallet in the room. It is also noted the
 50 keys to the safe were hidden in a tampon box in the bathroom which Watson claimed he did not know where the keys were.
 51
 52
 53
 54 The located during the search warrant are as listed by there location and which detective located them.
 55
 56 LIST OF ITEMS SEIZED:
 57 Item #1: Drug paraphernalia located on the bathroom counter by Detective Adkins.
 58
 59 Item #2: Blender with cocaine residue located under the bathroom counter by Detective Adkins.
 60
 61 Item #3: 29.2 grams of suspected fentanyl (Bag Weight) located in the safe by Detective Adkins.
 62
 63 Item #4: CPB that contained Item #3.
 64
 65 Item #5: 29.3 grams of suspected fentanyl (Bag Weight) located in the safe by Detective Adkins.
 66

EXHIBIT #3
PAGE 3/16

| | | |
|--|--|-------------|
| Sworn to and subscribed before me, the undersigned this 06 day of January, _____, Name: Baum 01/30/23 | I swear/affirm the above statements are correct and true  | Right Thumb |
| Notary Public <input type="checkbox"/> Law Enforcement Officer <input checked="" type="checkbox"/> Personally Known <input checked="" type="checkbox"/> Produced Identification <input type="checkbox"/> Type of Identification: | OFFICER'S/COMPLAINANT'S SIGNATURE Moss, Kevin 2445 NAME (PRINTED) ID NUMBER | |

Narrative Supplement 707-B

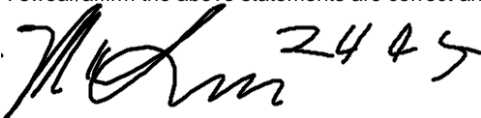
Arrest
 Affidavit
 Notice to Appear
 Adult
 Juvenile

Court Case Number:

| | | | | | | | | |
|--------------------------------|---|---|--|---------------------------------------|---------------------------------------|--|--|------------------|
| Defendant Name: <u>Segarra</u> | (Last) | <u>Sara</u> | (First) | <u>Milagros</u> | (Middle) | Agency Case Number: <u>230000327</u> | | |
| CHARGES | | DOMESTIC VIOLENCE? Yes <input type="checkbox"/> | Attachments: Affidavit(s)? <input checked="" type="checkbox"/> | Statement(s) <input type="checkbox"/> | NTA Schedule <input type="checkbox"/> | Report <input checked="" type="checkbox"/> | Traffic Infraction(s) <input type="checkbox"/> | Total Charges: 8 |
| #7 | Charge: <u>Child Neglect w/o Great Harm</u> | FEL <input checked="" type="checkbox"/> | MISD <input type="checkbox"/> | ORD <input type="checkbox"/> | FS/ORD: <u>827.03(3)(C)</u> | Citation No.: | Bond: <u>15,000</u> | |
| #8 | Charge: <u>Manufacture of Cocaine</u> | FEL <input checked="" type="checkbox"/> | MISD <input type="checkbox"/> | ORD <input type="checkbox"/> | FS/ORD: <u>893.13</u> | Citation No.: | Bond: <u>10,000</u> | |
| # | Charge: | FEL <input type="checkbox"/> | MISD <input type="checkbox"/> | ORD <input type="checkbox"/> | FS/ORD: | Citation No.: | Bond: | |

67 Item #6: CPB that contained Item #5.
68
69 Item #7: 25.6 grams of suspected fentanyl (Bag Weight) located in the safe by Detective Adkins.
70
71 Item #8: CPB that contained Item #7.
72
73 Item #9: 3 grams of suspected crack cocaine (Drug Weight) located in the safe by Detective Adkins.
74
75 Item #10: CPB that contained Item #9.
76
77 Item #11: 12 grams of suspected cannabis (Bag Weight) located in the safe by Detective Adkins.
78
79 Item #12: CPB that contained Item #11.
80
81 Item #13: Drug paraphernalia located in the safe by Detective Adkins.
82
83 Item #14: 7-11 receipt dated 11/05/2022.
84
85 Item #15: \$3,000.00 in US Currency located under the bed by Detective Leven.
86
87 Item #16: \$510.00 in US Currency locate din a zippered bag by the refrigerator by Detective Cash.
88
89 Item #17: iPhone in a red in color case located atop the refrigerator by Detective Cash.
90
91 Item #18: \$80.00 in US Currency located in wallet belonging to Sara Segarra by Detective Cash.
92
93 Item #19: 2 grams of suspected powder cocaine located in a Metro PCS bag next to the bed by Detective Adkins.
94
95 Item #20: CPB that contained Item #19.
96
97 Based on the amount of narcotics located in the safe and throughout the room with Watson claiming ownership he was charged with trafficking in
98 fentanyl, possession of crack cocaine with intent, possession of cocaine with intent, possession of cannabis under 20 grams, manufacture of cocaine,
99 possession of drug paraphernalia and child neglect/abuses. This is all due to the location of the narcotics in the room, the residue on the blender
100 along with a box of baking soda located in the same area of the blender and scale.
101
102 It is also noted the car keys to a 2022 Cadillac with Illinois tag [REDACTED] were located in the garbage can in the bathroom in the motel room. This
103 vehicle was also sniffed by TFA Adkins K-9 partner Lotti with a positive alert. This vehicle was sealed and towed to the Sheriff's Office Evidence
104 section for a later search warrant of the vehicle for more illegal narcotics.
105
106 Watson and his co-defendant Segarra were then transported to the Volusia County Branch Jail for their charges upon Segarra being medically
107 cleared at the hospital.
108
109 The two juveniles were turned over to their parents and DCF was notified of the incident along with a copy of the report being sent to them. Director
110 Quann was also notified of the incident and the juveniles involved.

EXHIBIT #3
PAGE 4/16

| | | |
|--|--|--------------------------|
| Sworn to and subscribed before me, the undersigned this <u>06</u> day of <u>January</u> , _____, Name: <u>Baum 061309</u> | I swear/affirm the above statements are correct and true  | Right Thumb |
| Notary Public <input type="checkbox"/> Law Enforcement Officer <input checked="" type="checkbox"/> Personally Known <input checked="" type="checkbox"/> Produced Identification <input type="checkbox"/> Type of Identification: | OFFICER'S/COMPLAINANT'S SIGNATURE <u>Moss, Kevin</u> NAME (PRINTED) | <u>2445</u> ID NUMBER |

CLASSIFICATION: FELONY

STATE OF FLORIDA

IN THE CIRCUIT COURT OF THE SEVENTH JUDICIAL CIRCUIT, IN AND FOR VOLUSIA COUNTY, FLORIDA, IN THE YEAR TWO THOUSAND TWENTY THREE

VS.

CASE NO: 2023 100046 CFDL

SARA MILAGROS SEGARRA

AGENCY: VCSO/230000327

W/F; DOB: [REDACTED] SS# [REDACTED]

INFORMATION

CHARGE(S):

- I) PRINCIPLE TO TRAFFICKING IN FENTANYL (28 GRM OR MORE)
- II) PRINCIPLE TO POSSESSION OF COCAINE WITH INTENT TO SELL
- III) NEGLECT OF A CHILD
- IV) NEGLECT OF A CHILD

2023 JAN 26 PM 2:14
 CLERK OF THE CIRCUIT
 & CIV COURT VOLUSIA CNTY, FL
 CC 25
 FILED

R.J. LARIZZA, State Attorney for the Seventh Judicial Circuit of the State of Florida and as such prosecuting attorney for this Court, in the name of and by the authority of the State of Florida charges that:

COUNT I: SARA MILAGROS SEGARRA on or about January 6, 2023, in the County of Volusia and State of Florida, did unlawfully and knowingly sell, manufacture, deliver, or bring into the State of Florida, or was in actual or constructive possession of 28 grams or more of Fentanyl, or 28 grams of any mixture containing any such substance, contrary to Florida Statute 893.135(1)(c)4b(III). (1 DEG FEL 25 year min mandatory \$500,000 fine)

COUNT II: IN THAT SARA MILAGROS SEGARRA, on or about January 6, 2023, in the County of VOLUSIA and State of Florida, was unlawfully and knowingly in actual or constructive possession of cocaine or ecgonine, including any of their stereoisomers, and any salt, compound, derivative or preparation of cocaine or ecgonine, a controlled substance, with intent to sell or deliver said controlled substance, contrary to Florida Statute 893.13(1)(a)1. (2 DEG FEL)

COUNT III: IN THAT SARA MILAGROS SEGARRA, on or about January 6, 2023, in the County of VOLUSIA and State of Florida, did fail or omit to provide , a child with the care, supervision, and services necessary to maintain the child's physical and mental health, including, but not limited to, food, nutrition, clothing, shelter, supervision, medicine, and medical services that a prudent person would consider essential for the well-being of the child, and said SARA MILAGROS SEGARRA was the child's parent, an adult household member, or other person responsible for the child's welfare, {or} failed to make a reasonable effort to protect [REDACTED] a child, from abuse, neglect, or exploitation by another person, even though said SARA MILAGROS SEGARRA was the child's parent, an adult household member, or other person responsible for the child's welfare, contrary to Florida Statute 827.03(2)(d) and (1)(e). (3 DEG FEL)

COUNT IV: IN THAT SARA MILAGROS SEGARRA, on or about January 6, 2023, in the County of VOLUSIA and State of Florida, did fail or omit to provide , a child with the care, supervision, and services necessary to maintain the child's physical and mental health, including, but not limited to, food, nutrition, clothing, shelter, supervision, medicine, and medical services that a prudent person would consider essential for the well-being of the child, and said SARA MILAGROS SEGARRA was the child's parent, an adult household member, or other person responsible for the child's welfare, {or} failed to make a reasonable effort to protect [REDACTED] a child, from abuse, neglect, or exploitation by another person, even though said SARA MILAGROS SEGARRA was the child's parent, an adult household member, or other person responsible for the child's welfare, contrary to Florida Statute 827.03(2)(d) and (1)(e). (3 DEG FEL)

FOR THE STATE ATTORNEY

DM
DANIEL DOMENICK MEGARO
Bar No. 23204
ASSISTANT STATE ATTORNEY
SEVENTH JUDICIAL CIRCUIT OF THE
STATE OF FLORIDA
101 NORTH ALABAMA AVENUE
DELAND, FL 32724
(386) 822-6400
ESERVICEVOLUSIA@SAO7.ORG

COUNTY OF VOLUSIA

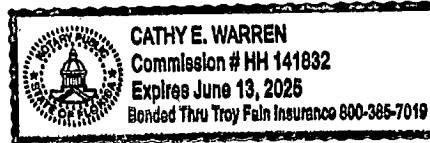
STATE OF FLORIDA

Personally appeared before me DANIEL DOMENICK MEGARO, Assistant State Attorney, for the Seventh Judicial Circuit of the State of Florida, known to me to be the foregoing prosecuting officer, who being duly sworn, says that the allegations set forth in the foregoing information are based upon facts that have been sworn to as true, and which, if true, would constitute the offense therein charged. Subscribed in good faith. Said facts based on testimony of material witnesses.

SWORN to and subscribed before me this 26th day of January, 2023.

Submitted to the Clerk of the CIRCUIT
Court, Seventh Judicial Circuit, in and
For VOLUSIA County, Florida, on the
26th day of January, 2023.

Cathy E. Warren
NOTARY PUBLIC AT LARGE
STATE OF FLORIDA



- Probation Violator
- Community Control Violator
- Retrial
- Resentence

In the Circuit Court, 7th Judicial Circuit
 in and for Volusia County, Florida
 Division CRIMINAL 08
 Case Number 2023 100046 CFDL

STATE OF FLORIDA

v.

SARA MILAGROS SEGARRA

JUDGMENT

The defendant, SARA MILAGROS SEGARRA, being personally before this court represented by JOSEPH FLORES, the attorney of record, and the state represented by DANIEL DOMENICK MEGARO, and having

- been tried and found guilty by jury of the following crime(s)
- entered a plea of guilty to the following crime(s)
- entered a plea of nolo contendere to the following crime(s)

| Count | Crime | Offense Statute Number(s) | Degree of Crime | Case Number | OBTS Number |
|-------|--|---------------------------|-----------------|------------------|-------------|
| 1 | TRAFFICKING IN FENTANYL (4 GRAMS TO <14 GRAMS) | 893.135(1)(c)1. a | F/F | 2023 100046 CFDL | 6406096227 |
| 2 | PRINCIPLE TO POSSESSION OF COCAINE WITH INTENT TO SELL | 893.13(1)(a)1 | F/S | 2023 100046 CFDL | 6406096227 |
| 3 | NEGLECT OF A CHILD | 827.03(2)(d) | F/T | 2023 100046 CFDL | 6406096227 |
| 4 | NEGLECT OF A CHILD | 827.03(2)(d) | F/T | 2023 100046 CFDL | 6406096227 |

- and no cause being shown why the defendant should not be adjudicated guilty, **IT IS ORDERED THAT** the defendant is hereby **ADJUDICATED GUILTY** of the above crime(s)
CT 1
- and good cause being shown; **IT IS ORDERED THAT ADJUDICATION OF GUILT BE WITHHELD.**
CT 2,3,4
- and having been convicted or found guilty of, or having entered a plea of nolo contendere or guilty, regardless of adjudication, to an offense specified in section 943.325, Florida Statutes, the defendant shall be required to submit blood or other biological specimens.

EXHIBIT #3
 PAGE 7/16

FILED
 IN OPEN COURT

JUN 20 2023

Clerk Circuit & County
 Court Volusia County, FL

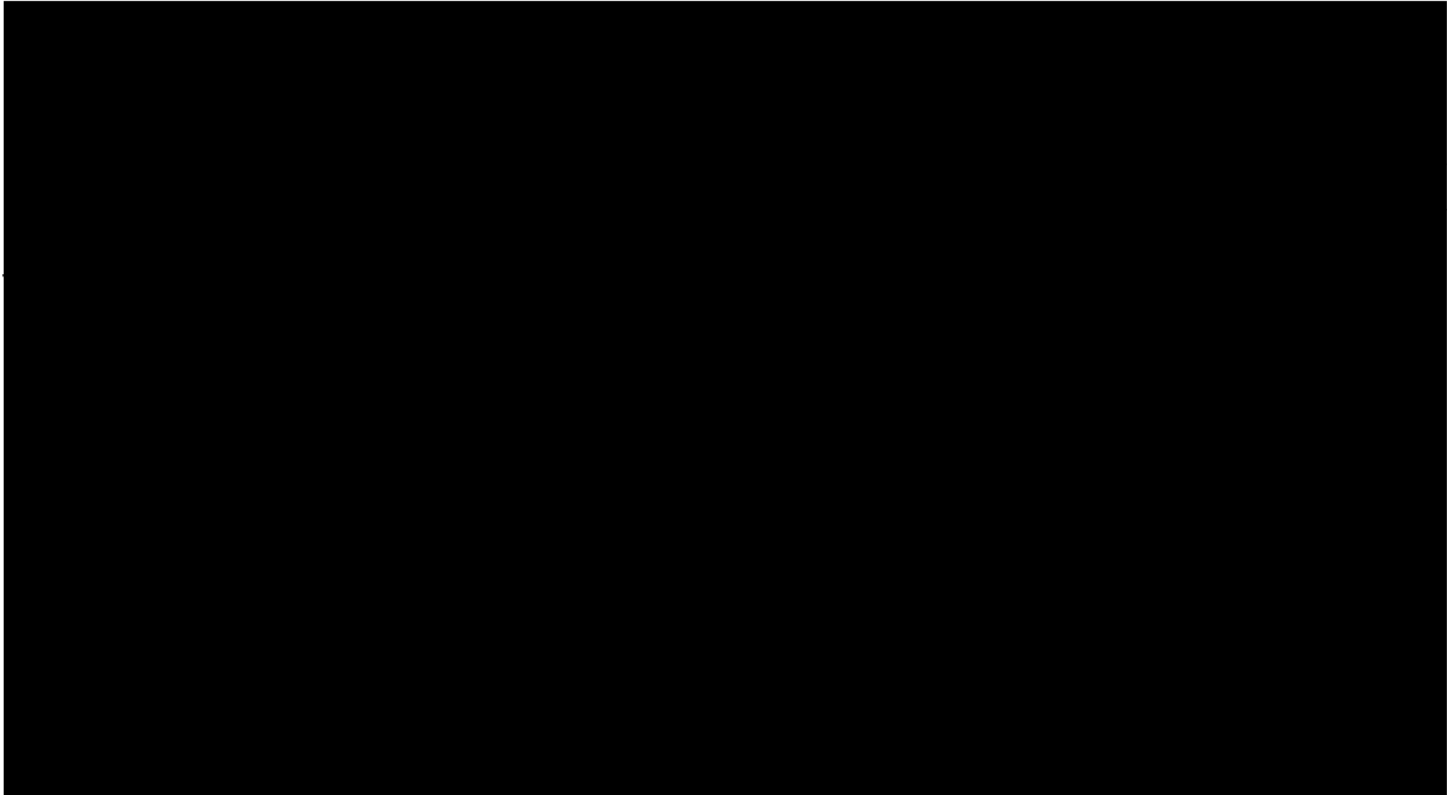
STATE OF FLORIDA

v.

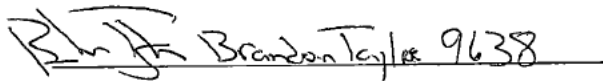
SARA MILAGROS SEGARRA

Case Number 2023 100046 CFDL

FINGERPRINTS OF DEFENDANT



SEGARRA and that they were placed thereon by the defendant in my presence this 20 day of June, 2023.

 Brandon Taylor 9638

Court Officer/Authorized Employee

STATE OF FLORIDA

IN THE SEVENTH JUDICIAL
CIRCUIT COURT, IN AND FOR
VOLUSIA COUNTY

-VS-

CASE NUMBER 2023 100046 CFDL

SARA MILAGROS SEGARRA

Defendant

DC NUMBER B91973

Local Jurisdiction Identification Number: _____

ORDER OF DRUG OFFENDER PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to
- been found guilty by jury verdict of
- entered a plea of nolo contendere to
- been found guilty by the court trying the case without a jury of

Case # 2023 100046 CFDL Count I **Trafficking in Fentanyl (4 Grams to <14 Grams) (LIO), a First Degree Felony**

Case # 2023 100046 CFDL Count II **Principle to Possession of Cocaine with Intent to Sell, a Second Degree Felony**

Case # 2023 100046 CFDL Count III-IV **Neglect of a Child, a Third Degree Felony**

SECTION 1: JUDGMENT OF GUILT

- The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Drug Offender Probation for a period of Seven (7) Years as to Count I Concurrent to Counts II-IV under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

- Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Drug Offender Probation for a period of Seven (7) Years as to Count II and Five (5) Years as to Count III & IV Concurrent to Count I under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

- committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by Drug Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Drug Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of _____ with credit for _____ jail time, as a special condition of supervision.

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation officer as directed.
- (2) You will pay the State of Florida the amount of **\$50.00** per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician, an advanced practice registered nurse, or a physician assistant. Nor will you knowingly visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in VOLUSIA County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 334 E. New York Ave Deland, Florida 32724. .

SPECIAL CONDITIONS

23. Other: Pay \$1 per month during the term of probation or community control to supplement rehabilitative efforts through First Step Funds, pursuant to s. 948.039(2), F.S.
27. Other: You shall pay to the Department of Corrections a **\$2.00** per month surcharge for each month you are under supervision pursuant to Section 948.09(1)(2), F.S. For the period of time cost of supervision is waived, this condition does not apply.
28. Other: State waives three (3) year min/man.
29. Other: Do not obtain others prescriptions or medications.
30. Other: You may early terminate at the halfway point if all conditions are met and there are no violations.

AND, IF PLACED ON DRUG OFFENDER PROBATION, YOU WILL COMPLY WITH THE FOLLOWING CONDITION OF SUPERVISION IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) You will participate in a specialized drug treatment program, either as an in-patient or out patient, as recommended by the treatment provider. You will attend all counseling sessions, submit to random urinalysis and, if an in-patient, you will comply with all operating rules, regulations and procedures of the treatment facility. You will pay for all costs associated with treatment and testing unless otherwise directed.

Additional instructions ordered: _____

- a) You must undergo a substance abuse evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.
- b) You will abstain entirely from the use of alcohol and/or illegal drugs, and you will not associate with anyone who is illegally using drugs or consuming alcohol.
- c) You will submit to urinalysis testing on a random basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.
- d) You will not visit any establishment where the primary business is the sale and dispensing of alcoholic beverages.

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(h)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: \$ 768.00

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.
Pursuant to s. 948.09, F.S., you will be assessed an amount of \$2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

- Court Costs/Fines Waived \$52,500.00
- Court Costs/Fines in the amount of _____ converted to _____ community service hours
- Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

Done and Ordered on 20th day of June, 2023

6/21/2023 3:39 PM 2023
[Signature]
100046 CFDL

e-Signed 6/21/2023 3:39 PM 2023 100046 CFDL
CIRCUIT JUDGE

I acknowledge receipt of a certified copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

Defendant

Instructed by: _____
Supervising Officer

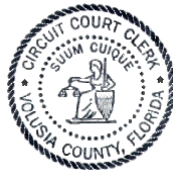
STATE OF FLORIDA
I HEREBY ATTEST the following is a true copy
of the original filed in this office. The
_____ day of _____,
Clerk of Circuit and County Court

By _____
Deputy Clerk

Copies To:

Counsel for the state: _____ hand delivery open court _____ U.S. Mail _____ interoffice/hand delivery
Counsel for the defendant: _____ hand delivery open court _____ U.S. Mail _____ interoffice/hand delivery

I do certify that a copy hereof has been furnished to counsel for the state and the defendant by the method indicated above, done this _____ day of _____, _____.



LAURA E. ROTH
CLERK OF CIRCUIT COURT

By: _____
Deputy Clerk

COPIES TO:

Counsel for the state: **eService**

Counsel for the defendant: **eService**

I do certify that a copy hereof has been furnished to counsel for the state and the defendant by the method indicated above, done this 21 day of June, 2023.



Laura E. Roth

CLERK OF CIRCUIT COURT

06/21/2023 03:43 PM 2023 100046 CFDL

06/21/2023 03:43 PM 2023 100046 CFDL

06/21/2023 03:43 PM 2023 100046 CFDL

BY: Casey L. Beason

06/21/2023 03:43 PM 2023 100046 CFDL

eSigned: 06/21/2023 03:43 PM 2023 100046 CFDL



(<http://www.dc.state.fl.us/index.html>)

Florida Department of Corrections
(<http://www.dc.state.fl.us/index.html>)

"Inspiring Success by Transforming One Life at a Time"



Ron DeSantis, Governor
Ricky D. Dixon, Secretary

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Corrections Offender Network

Supervised Population Information Detail

(This information was current as of 7/30/2023)



| | |
|--|--|
| DC Number: | B91973 |
| Name: | SEGARRA, SARA MILAGROS |
| Race: | WHITE |
| Sex: | FEMALE |
| Birth Date: | ██████████ |
| Supervision Begin Date: | 06/20/2023 |
| Current Location: | <u>DAYTONA BEACH</u> (http://prcwpws001.fdc.myflorida.com/c) |
| Current Status: | <u>ACTIVE</u> |
| Supervision Type: | DRUG OFFENDER PROBATION |
| Scheduled Termination Date: | 06/18/2030 |
|  CLICK HERE for Custody Status Updates | |
| https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=B91973 | |

Current Verified PERMANENT Address:

914 SMITH ST
ORANGE CITY, FL 32763

Aliases:

SARA SEGARRA, SARA MILAGROS SEGARRA

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

Current Community Supervision History:

| Offense Date | Offense | Sentence Date | County | Case No. | Community Supervision Length |
|--------------|------------------------------|---------------|---------|----------|------------------------------|
| 01/06/2023 | TRAFF ILL DRUGS 4-U/14 GRAMS | 06/20/2023 | VOLUSIA | 2310046 | 7Y oM oD |
| 01/06/2023 | COCAINE-SALE/MANUF/DELIV. | 06/20/2023 | VOLUSIA | 2310046 | 7Y oM oD |
| 01/06/2023 | CHILD NEGLECT | 06/20/2023 | VOLUSIA | 2310046 | 5Y oM oD |
| 01/06/2023 | CHILD NEGLECT | 06/20/2023 | VOLUSIA | 2310046 | 5Y oM oD |

[First](#)
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[Return to List](#)

[New Search](#)

Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information includes offenders sentenced or released to state supervision or offenders received for supervision from another state as the result of an Interstate Compact transfer. Information contained herein includes current supervision offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Probation and Parole Field Services, at [DAYTONA BEACH Circuit Office](#) (<http://prod.fdc-wpws001.fdc.myflorida.com/cc/07.html>). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AO) Last Name: segarra First Name: sara Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

[Return to Corrections Offender Information Network \(../OffenderSearch/InmateInfoMenu.aspx\)](#)

About Us

<http://www.dc.state.fl.us/about.html>

As Florida's largest state agency, and the third largest prison system in the country, FDC employs 24,000 members, incarcerates approximately 80,000 inmates and supervises nearly 146,000 offenders in the community.

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[Parole Information](#) (<https://www.fcor.state.fl.us/index.shtml>)
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[Prison Rape Elimination Act](#) (<http://www.dc.state.fl.us/PREA/index.html>)

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501 South Calhoun Street
Tallahassee, FL 32399-2500
Main: (850) 488-7052

6. Discussion of consent orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Office of the General Counsel
Through: Joseph Klein, Senior Attorney
Re: FGCC v. Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room
Case No. 2024-002207; Consent Order
Date: June 12, 2024

Executive Summary

Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room ("Respondent") seeks to resolve case number 2024-002207, via settlement in lieu of further litigation of the Commission's Administrative Complaint. Pursuant to the terms of the proposed settlement agreement, Respondent would pay a fine of \$750.00 for violating rules 75-11.020(1)(b) and 75-11.025(10), Florida Administrative Code.

Background and Procedural History

On January 10, 2024, an investigator for the Commission conducted a routine inspection of the cardroom at Respondent's facility.¹

The inspection revealed that one of the poker tables drop boxes was not affixed with a lock to the table. In addition, the surveillance room camera's view was obstructed, thus preventing the observation of cardroom activities.

The Commission's investigator notified Respondent's Floor Supervisor and Poker Room Director of the foregoing violations. Nonetheless, on February 6, 2024, the investigator returned to Respondent's cardroom and found that the surveillance camera view remained obstructed.

Based on the foregoing events, on May 7, 2024, the Commission filed a two-count administrative complaint alleging that Respondent had violated rules 75-11.020(1)(b) and 75-11.025(10), Florida Administrative Code.

Analysis

The Commission may resolve matters informally through a negotiated settlement.² It has the authority to impose an administrative fine up to \$1,000.00 for each

¹ Respondent is a pari-mutuel wagering permit holder that also possesses a pari-mutuel and cardroom license.

² See § 120.57(4), Fla. Stat. ("Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.")

violation of section 849.086, Florida Statutes, or any rules adopted thereunder.³

Rule 75-11.020(1)(b), Florida Administrative Code, provides that each table shall have a drop box, which shall be “[a]ffixed with a lock to the table.”

Rule 75-11.025(10), Florida Administrative Code, provides that “[e]mployees shall not intentionally obstruct surveillance system equipment.”

Based on the foregoing, Respondent violated rules 75-11.020(1)(b) and 75-11.025(10) and is therefore subject to an administrative fine not to exceed \$1,000 for each violation.

³ § 849.086(14)(c), Fla. Stat.

RECEIVED

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

2024 JUN -6 PM 12:05

FLORIDA GAMING
CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-002207

SARASOTA KENNEL CLUB, INC. d/b/a
ONE-EYED JACK'S POKER ROOM,

Respondent,
_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (hereinafter the "Commission") and Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room (hereinafter "Respondent"), each individually, a "party," and collectively as "parties," hereby agree and stipulate as follows:

WHEREAS, the Commission is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes; and

WHEREAS, Respondent is a pari-mutuel wagering permitholder pursuant to section 550.054, Florida Statutes, that holds an annual operating license to conduct pari-mutuel wagering and a valid cardroom license pursuant to section 849.086, Florida Statutes; and

WHEREAS, the Commission has jurisdiction over this matter and Respondent; and

WHEREAS, on May 7, 2024, the Commission filed an administrative complaint against Respondent, alleging that Respondent violated rules 75-11.020(1)(b) and 75-11.025, Florida Administrative Code, (the "Administrative Complaint"); and

WHEREAS, the parties have negotiated and agreed that the best interest of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the parties hereby agree and stipulate to the following:

1. All recitals herein are true and correct and are incorporated herein.
2. All parties agree that the above “whereas” clauses incorporated herein are binding findings of the parties.
3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. The undersigned has read and understands this Agreement and has the authority to bind its principals to it. Respondent is aware that it is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Respondent acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.
5. **FINE: Respondent agrees to and shall pay to the Commission the sum of SEVEN HUNDRED FIFTY DOLLARS (\$750.00) at the time Respondent submits an executed copy of this Consent Order. The payment must be in the form of a certified check, cashier’s check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).**

6. Respondent must mail the executed Stipulation and Consent Order and the payment to: the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Emily Alvarado.

7. Respondent neither admits nor denies the facts and legal conclusions raised in the Administrative Complaint and the Commission continues to assert the validity thereof. However, this Stipulation and Consent Order may be considered in aggravation of any future proceedings involving Respondent.

8. The parties understand that this Stipulation and Consent Order will in no way preclude future proceedings by the Commission against Respondent for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code.

9. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under section 120.69 and chapter 550, Florida Statutes, as final agency action.

10. Venue for any action brought to interpret, enforce, or challenge the terms of this Stipulation and Consent Order and its corresponding Final Order shall lie solely in the Circuit Court of Florida, in and for Leon County, Florida.

11. Respondent, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission's actions, including, but not limited to, any claims that were or may be asserted in any federal or state court or administrative forum, including any

claims arising out of this agreement, by or on behalf of Respondent or its related or resulting organizations.

12. Each party shall bear its own costs and attorney's fees.

13. The parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force or effect unless and until the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Respondent may be used as direct evidence against Respondent in any proceeding.

14. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

15. Upon the Commission's adoption of this Stipulation and Consent Order, Respondent agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi-court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this

agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order.

16. The parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed on the next available Commission meeting. If Respondent would like to attend, Respondent is responsible for checking the Florida Gaming Control Commission website at <https://flgaming.gov/> for the meeting materials, agenda, and contact information.

17. Respondent authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.


18. This Stipulation and Consent Order contains the entire understandings and agreements of the parties. This Stipulation and Consent Order supersedes any prior oral or written agreements between the parties.

19. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

[Signature pages to follow]

Respondent, SARASOTA KENNEL CLUB, INC. d/b/a ONE-EYED JACK'S POKER ROOM requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 5th day of June, 2024.



SARASOTA KENNEL CLUB, INC. d/b/a ONE-EYED JACK'S POKER ROOM,
Respondent

Signed on behalf of Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room by:
Jack Collins Jr

Printed Name
Pres

Title

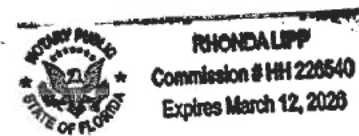
STATE OF FLORIDA
COUNTY OF Manatee

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this ____ day of _____, 2024, by a duly authorized representative of Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room, who is personally known to me or who produced the following as identification:

Rhonda Lipp

Notary Public

My commission expires: 3-12-26



This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2024-002207 is APPROVED for legal sufficiency this ____ day of _____, 2024.

Emily Alvarado
Deputy Chief Attorney
The Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-002207

SARASOTA KENNEL CLUB, INC. d/b/a
ONE-EYED JACK'S POKER ROOM,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack’s Poker Room (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to Section 550.054, and license pursuant to Section 550.0115, both issued by the Commission.

3. At all times material hereto, Respondent held a valid cardroom license number 153 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the “Cardroom”).

4. The Cardroom is a Facility operated by the Respondent at all times material hereto, and is located at 4404 Bee Ridge Road, Unit 26 Sarasota, Florida 34233.

5. During a routine inspection of the cardroom on or about January 10, 2024, Respondent failed to have a drop box affixed with a lock to a poker table.

6. On January 10, 2024, a Commission investigator also found a surveillance camera containing an obstructed view and informed Respondent's floor supervisor and poker room director of the violation regarding the surveillance camera.

7. On or about February 6, 2024, the Commission investigator returned to Respondent's cardroom and found that the surveillance camera view continued to be obstructed.

COUNT I

8. Petitioner realleges and adopts paragraphs numbered one through five as if set forth fully herein.

9. Rule 75-11.020(1)(b), Florida Administrative Code, provides that each table shall have a drop box, which shall be "[a]ffixed with a lock to the table."

10. Based on the foregoing, Respondent violated rule 75-11.020(1)(b), Florida Administrative Code, by failing to have a drop box affixed with a lock to a poker table.

COUNT II

11. Petitioner realleges and adopts paragraphs numbered one through seven as if set forth fully herein.

12. Rule 75-11.025(10), Florida Administrative Code, provides that "[e]mployees shall not intentionally obstruct surveillance system equipment."

13. Based on the foregoing, Respondent violated rule 75-11.025(10), Florida Administrative Code, by allowing an employee to intentionally obstruct the surveillance system equipment.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-002207 is signed this 6th day of May, 2024.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flagaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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| | | | | | | | | | |
|---|---|------------------------------------|----------------------------------|----------------------------------|--------------------------------------|---------------------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Recording License Type | Mass Status Update | Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|--------------------------------|------------|-----------------------------------|-------------|---------------------------------|
| Lic Type | 1002 - Cardroom License | Status | 90 Closed | Status Date | 02/08/2024 |
| Complaint # | 2024002207 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | SARASOTA KENNEL CLUB, INC. | Responsible | bjones - JONES, BRADFORD |
| | | | | | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|---|----------------|--------------------|
| Source | INTN - Internal | Security Level | 1 |
| Form | INTR - Internal | Priority | |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular |
| Security | STND - Standard | Incident | 01/10/2024 |
| Region | CR - Central Region | Received | 01/11/2024 |
| Reference | 75-11.020 ; 75-11.025 | | |
| Entered | 01/11/2024 | Entered By | cstubbs1 |
| Summary | 153 - Sarasota Kennel Club - Drop Box and Key Control Procedures.; Cardroom Electronic Surveillance : On January 10, 2024, during a routine quarterly inspection at Sarasota Kennel Club, INC. the following violations were discovered. 1) Table #30 Jackpot drop box (J30) was not secured to the table; 2) Surveillance Camera in the surveillance room was obstructed. | | |
| Updated | 02/09/2024 10:20:38 | By | cstubbs1 |

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Attachments

Work Notes

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|---|-------------------------------------|---|-------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: JANUARY 11, 2024 | Case Number: 2024 00 2207 |
| Respondent: SARASOTA KENNEL CLUB, INC. 4404 BEE RIDGE ROAD UNIT #26 SARASOTA, FLORIDA 34233 TEL# (941) 355-7744 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: 153 / 1002 | Profession: PERMIT HOLDER | Report Date: February 6, 2024 | |
| Period of Investigation: JANUARY 10, 2024 – February 6, 2024 | | Type of Report: FINAL | |
| Alleged Violation: 75-11.020 Drop Box and Key Control Procedures. | | | |
| <p>(1) Each table shall have a drop box that is configured to permit the dealer to insert the rake directly into the drop box. The drop box shall be:</p> <p>(b) Affixed with a lock to the table; and,</p> <p>75-11.025 Cardroom Electronic Surveillance.</p> <p>(10) Employees shall not intentionally obstruct surveillance system equipment.</p> | | | |
| Synopsis: On January 10, 2024, during a routine quarterly inspection at the Sarasota Kennel Club , One-Eyed Jacks Poker Room, I found the following violations: (1) Table #30 Jackpot drop box (J30) was not locked in place to it sleeve at the table and (2) the Surveillance Camera in the Surveillance Room was obstructed by a server tower preventing the view of the activities conducted therein. | | | |
| Related Case: | | | |
| Investigator / Date <i>/s/ Randa Samson</i> Randa Samson / February 6, 2024 | | Investigator Supervisor / Date <i>C. Derek Washington</i> /s/ C. Derek Washington / February 8, 2024 | |
| Chief of Investigations / Date <i>Bradford D. Jones</i> Bradford D. Jones / February 8, 2024 | | | |

CONTINUATION

FLORIDA GAMING CONTROL COMMISSION

[HTTPS://FLGAMING.GOV/](https://flgaming.gov/)

On January 10, 2024, I conducted a quarterly inspection at the **SARASOTA KENNEL CLUB**, poker room also known as One-Eyed Jacks. During my inspection, I checked the drop boxes at each poker table to ensure they were securely locked in place. While inspecting table #30, I discovered that its' jackpot drop box (#J30A) was not locked to its sleeve at the table (**Exhibit #2**).

Additionally, during my surveillance equipment inspection, I observed that the surveillance camera in the surveillance room was obstructed by a server tower, which was a violation of the above noted Rule (**Exhibit #2**).

After completing my inspection, I informed Floor Supervisor, **Clint Lee Bucholz** (PMW LIC # 10146831) and Poker Room Director, **Ryan R. Carter** (PMW LIC # 7616143) of the infractions. Bucholz promptly secured Jackpot Box #J30 to the table, and Carter requested the surveillance service vendor to remove the old equipment from the surveillance room, enabling them to relocate the new surveillance equipment which would in turn eliminate the surveillance room camera obstruction.

A check of the Versa Regulation Enforcement database showed no prior violations of the above-stated regulations.

On February 6, 2024, during a follow-up inspection at the **SARASOTA KENNEL CLUB** (One-Eyed Jacks), I noted that the server tower in the Surveillance Room remained in place, (despite facility management being advised of the violation) continuing to obstruct the Surveillance Room camera. As a result, the facility remains in violation of the above noted Rule.

Conclusion: Although this is the facility's first noted violation of this Rule, the **SARASOTA KENNEL CLUB** remains non-compliant with Rule 75-11.025(10) which was brought to the attention of facility management on January 10, 2024.

Status: Closed by Investigations and forwarded to Legal for review.

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II. INVESTIGATIVE REPORT1-3

III. EXHIBITS

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 2. Pictures..... 1-3

 3. Photos of Feb 6, 2024, Inspection 1-1



| | | | | | | | | | |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|

[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

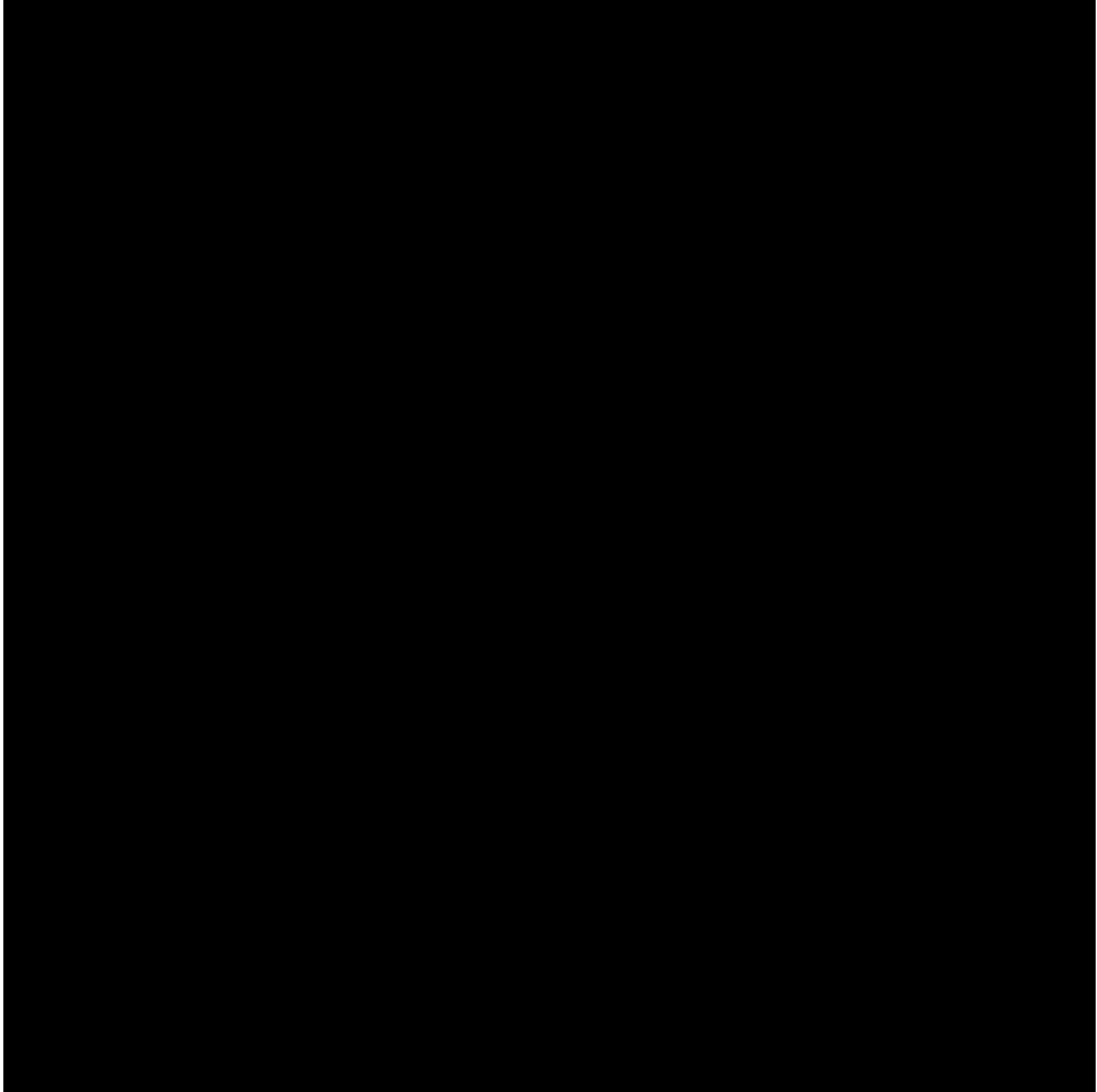
Logged in as: **cstubbs1**

[VR Home](#) > [License Search](#) > [License Home](#)

| <p>License</p> <p>Fed Tax # XXXXXXXXXX Lic Type 1002 - Cardroom License Expires On 06/30/2024</p> <p>File # 48 Name SARASOTA KENNEL CLUB, INC. Extended To</p> <p>License # 153 Rank CLIC - Cardroom Operating License Renewed On</p> <p>Entity # 153 Lic Status Current</p> | | <input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p> | | | | | | | |
|---|-----------------------|---|-----------------|----------------|-----------------|---|-----------------------|------------|--|
| <p>Address</p> <p>Street # 4404 Street BEE RIDGE ROAD</p> <p>Line 2 UNIT 26</p> <p>Line 3</p> <p>City SARASOTA State FL Zip 34233</p> <p>Routing</p> | | | | | | | | | |
| <p>Other</p> <p>1st License Date 04/05/2023 Rank Date 04/05/2023 Certificate #</p> <p>Method I-S-1020 Status Date 07/14/2008 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p> | | | | | | | | | |
| <p>Select</p> <p>Action <input type="text"/>  </p> | | | | | | | | | |
| <p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>153 - Sarasota Kennel</td> <td>12/11/2006</td> <td></td> </tr> </tbody> </table> | | Type | Modifier | Effective Date | Additional Info | L | 153 - Sarasota Kennel | 12/11/2006 | |
| Type | Modifier | Effective Date | Additional Info | | | | | | |
| L | 153 - Sarasota Kennel | 12/11/2006 | | | | | | | |
| <p>DBA Name</p> <p>DBA One-Eyed Jack's Poker Room</p> | | | | | | | | | |
| <p>Alt Keys</p> <p>BEST LIC NBR 153</p> | | | | | | | | | |

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EXHIBIT #1
PAGE 1/1



MEMORANDUM

To: The Florida Gaming Control Commission
From: Office of the General Counsel
Through: Joseph Klein, Senior Attorney
Re: FGCC v. Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room
Case No. 2024-021051; Consent Order
Date: June 12, 2024

Executive Summary

Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room ("Respondent") seeks to resolve case number 2024-021051, via settlement in lieu of further litigation of the Commission's Administrative Complaint. Pursuant the terms of the proposed settlement agreement, Respondent would pay a fine of \$500.00 for a violation of rule 75-11.025(4)(c), Florida Administrative Code.

Background and Procedural History

On April 9, 2024, during a routine inspection of the cardroom, Commission investigators discovered three surveillance cameras with incorrect time stamps.¹ As a result, the Commission filed an Administrative Complaint on May 22, 2024, alleging that Respondent had violated rule 75-11.025(4)(c), Florida Administrative Code.

Respondent has one prior violation of this rule, which resulted in a \$250 fine.²

Analysis

The Commission may resolve matters informally through a negotiated settlement.³ It has the authority to impose an administrative fine up to \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted thereunder.⁴ Aggravating factors, including "the number of complaints filed against a licensee or permitholder, which have resulted in prior discipline," may be taken into

¹ Respondent is a pari-mutuel wagering permitholder that also possesses a pari-mutuel and cardroom license.

² See Department of Business and Professional Regulation case number 2022-012800 where the total fine was \$750 for 3 separate counts, one of which was a violation of rule 75-11.025(4)(c), Florida Administrative Code.

³ See § 120.57(4), Fla. Stat. ("Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.")

⁴ § 849.086(14)(c), Fla. Stat.

account.⁵

Rule 75-11.025(4)(c), Florida Administrative Code, provides that digital surveillance equipment shall include “date and time generators that display the accurate (real) date and time of recorded events in the record to enable the operator to identify the point on such record at which a particular event was recorded.”

Respondent’s time generators (time stamps) for its surveillance system were found to be inaccurate. As such, Respondent violated rule 75-11.025(4)(c), Florida Administrative Code, and is therefore subject to an administrative fine not to exceed \$1,000.

⁵ See Fla. Admin. Code R.75-2.2021(4).

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-021051

SARASOTA KENNEL CLUB, INC. d/b/a
ONE-EYED JACK'S POKER ROOM,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack’s Poker Room (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to Section 550.054, and license pursuant to Section 550.0115, both issued by the Commission.

3. At all times material hereto, Respondent held a valid cardroom license number 153 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the “Cardroom”).

4. The Cardroom is a Facility operated by the Respondent at all times material hereto, and is located at 4404 Bee Ridge Road, Unit 26 Sarasota, Florida 34233.

5. During a routine inspection of the cardroom on or April 9, 2024, Commission investigators discovered three surveillance cameras with incorrect timestamps.

6. Rule 75-11.025(4)(c), Florida Administrative Code, provides that “[t]he digital surveillance equipment shall: include date and time generators that display the accurate (real) date and time of recorded events in the record to enable the operator to identify the point on such record at which a particular event was recorded.”

7. Based on the foregoing, Respondent violated rule 75-11.025(4)(c), Florida Administrative Code, by failing to have the accurate time recorded on digital surveillance equipment.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-021051 is signed this 22nd day of May, 2024.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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2024 JUN -6 PM 12:05

FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-021051

SARASOTA KENNEL CLUB, INC. d/b/a
ONE-EYED JACK'S POKER ROOM,

Respondent,
_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (hereinafter the "Commission") and Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room (hereinafter "Respondent"), each individually, a "party," and collectively as "parties," hereby agree and stipulate as follows:

WHEREAS, the Commission has jurisdiction over this matter and Respondent; and

WHEREAS, on May 22, 2024, the Commission filed an administrative complaint against Respondent, alleging that Respondent violated rule 75-11.025(4)(c), Florida Administrative Code, by failing to have the accurate time recorded on digital surveillance equipment (the "Administrative Complaint"); and

WHEREAS, the parties have negotiated and agreed that the best interest of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the parties hereby agree and stipulate to the following:

1. All recitals herein are true and correct and are incorporated herein.
2. All parties agree that the above “whereas” clauses incorporated herein are binding findings of the parties.
3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. Respondent is aware that he is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Respondent acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.
5. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under section 120.69 and chapters 550 and section 849.086, Florida Statutes, as final agency action.
6. Respondent, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission’s actions, including, but not limited to, any claims

that were or may be asserted in any federal or state court or administrative forum, including any claims arising out of this agreement, by or on behalf of Respondent or its related or resulting organizations.

7. Each party shall bear its own costs and attorney's fees.

8. **FINE:** Respondent agrees to and shall pay to the Commission the sum of **FIVE HUNDRED DOLLARS (\$500.00)** at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).**

9. Respondent must mail the executed Stipulation and Consent Order and the payment to: the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Emily Alvarado.

10. This Stipulation and Consent Order is entered into in consideration of Respondent's disciplinary history, including one prior violation of rule 75-11.0.25(4)(c), Florida Administrative Code, in Case No. 2022-012800.

11. By executing this Stipulation and Consent Order, the Respondent neither admits nor denies the facts and legal conclusions raised in the Administrative Complaint, and the Commission continues to assert the validity thereof. Nothing in this Stipulation and Consent Order shall be deemed to preclude the Commission from imposing a penalty against Respondent for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code.

12. The parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force

or effect unless and until the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Respondent may be used as direct evidence against Respondent in any proceeding.

13. Upon the Commission's adoption of this Stipulation and Consent Order, Respondent agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi-court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order.

14. Venue for any action brought to interpret, enforce, or challenge the terms of this Stipulation and Consent Order and its corresponding Final Order shall lie solely in the Circuit Court of Florida, in and for Leon County, Florida.

15. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

17. This Stipulation and Consent Order is binding upon all parties.

18. The parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed on the next available Commission meeting. If Respondent would like to attend, Respondent is responsible for checking the Florida Gaming Control Commission website at <https://flgaming.gov> for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.

[Signature pages to follow]

Respondent, SARASOTA KENNEL CLUB, INC. d/b/a ONE-EYED JACK'S POKER ROOM requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 5th day of June, 2024.

SARASOTA KENNEL CLUB, INC. d/b/a ONE-EYED JACK'S POKER ROOM, Respondent

Signed on behalf of Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room by:

JACK COLLINS JR

Printed Name

Pres

Title

STATE OF FLORIDA

COUNTY OF Sarasota

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 5 day of June, 2024, by a duly authorized representative of Sarasota Kennel Club, Inc. d/b/a One-Eyed Jack's Poker Room, who is personally known to me or who produced the following as identification:

Rhonda Lipp

Notary Public

My commission expires: 3-12-26



RHONDA LIPP
Commission # HH 226540
Expires March 12, 2026

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2024-021051 is APPROVED for legal sufficiency this ____ day of _____, 2024.

Emily Alvarado
Deputy Chief Attorney
The Florida Gaming Control Commission

| | | | | | | | | | |
|---|---|---|----------------------------------|--------------------------------------|---------------------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline | | | | |

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|--------------------------------|-----------------------------------|------------------------|---------------------------------|---------------------|
| Lic Type | 1002 - Cardroom License | Status | 90 Closed | Status Date | 05/13/2024 |
| Complaint # | 2024021051 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | SARASOTA KENNEL CLUB, INC. | Responsible | bjones - JONES, BRADFORD | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|---|----------------|--------------------|
| Source | INTN - Internal | Security Level | 1 |
| Form | INTR - Internal | Priority | |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular |
| Security | STND - Standard | Incident | 04/09/2024 |
| Region | CR - Central Region | Received | 04/10/2024 |
| Reference | 75-11.025 | | |
| Entered | 04/10/2024 | Entered By | jhodge |
| Summary | <p>153 - SARASOTA KENNEL CLUB INC - On April 9, 2024, while inspecting the digital surveillance equipment during a routine quarterly cardroom inspection at SARASOTA KENNEL CLUB INC (ONE-EYED JACKS), I noticed that the time generators (Time Stamp) for the surveillance system was incorrect. It was approximately five (5) to six (6) minutes off on multiple surveillance screens.</p> | | |
| Updated | 05/13/2024 15:07:41 | By | jhodge |

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Attachments

Work Notes

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| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|--|-------------------------------------|---|-------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: APRIL 10, 2024 | Case Number: 2024 02 1051 |
| Respondent: SARASOTA KENNEL CLUB, INC. 4404 BEE RIDGE ROAD UNIT #26 SARASOTA, FLORIDA TEL# (941) 355-7744 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: 153 / 1002 | Profession: PERMIT HOLDER | Report Date: APRIL 11, 2024 | |
| Period of Investigation: APRIL 9, 2024 – APRIL 11, 2024 | | Type of Report: FINAL | |
| Alleged Violation: 75-11.025 Cardroom Electronic Surveillance. | | | |
| (4) The surveillance system and equipment shall employ digital electronic technology with the acuity and clarity no less than that provided by magnetic tape systems. The digital surveillance equipment shall; (c) Include date and time generators that display the accurate (real) date and time of recorded events in the record to enable the operator to identify the point on such record at which a particular event was recorded. | | | |
| Synopsis: On April 9, 2024, during a routine quarterly cardroom inspection at the SARASOTA KENNEL CLUB (One-Eyed Jacks), I observed an issue with the digital surveillance equipment. Specifically, I noticed that the time generators (Time Stamp) for the surveillance system were inaccurate. Multiple surveillance cameras were not synchronized and were off by a few minutes between cameras. | | | |
| Related Case(s): #2022 01 2800 | | | |
| Investigator / Date <i>Randa Samson</i> /s/ Randa Samson / April 11, 2024 | | Investigator Supervisor / Date <i>C. Derek Washington</i> /s/ C. Derek Washington / April 30, 2024 | |
| Chief of Investigations / Date <i>Bradford D. Jones</i> Bradford D. Jones / May 13, 2024 | | | |

CONTINUATION

Investigative Activity:

On April 9, 2024, I conducted a routine quarterly cardroom inspection at the **SARASOTA KENNEL CLUB (ONE-EYED JACKS)**. While reviewing live surveillance video of the cardroom floor I discovered the timestamps for multiple surveillance cameras were inaccurate. I started my viewing at 1:15 PM, and found one camera showing a time of 1:18 PM, another camera showing a time of 1:14 PM, and yet another camera showing a time of 1:12 PM (**EXHIBIT #2**). All cameras should have shown a time of 1:15 PM. Also present during my review of the surveillance video was the Cardroom Floor Manager, **CLINTON LEE BUCHOLZ** (PMW LIC. #10146831).

BUCHOLZ stated that he would bring this violation to the attention of Director of Poker Operations, **RYAN R CARTER** (PMW LIC #7616143). I also spoke with facility Cardroom Coordinator, **RHONDA RENEE LIPP** (PMW LIC. #8124889) about this violation and **LIPP** stated she would speak with their I.T. vendor to see if there was a way to have the time stamps automatically synchronized on daily basis.

Conclusion: Sarasota is in violation of F.A.C. Rule: **75-11.025 (4)** because the time generators (Time Stamp) for the surveillance system are inaccurate.

A check of the Versa Regulation Enforcement database showed one prior violation of the above-stated Rule:

- Case #2022 01 2800, **Consent Order**, in which a Two Hundred Fifty-Dollar (\$250.00) fine was imposed for this specific violation (**EXHIBIT #3**).

Case Status: Investigation case closed and referred to Legal for review.

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II. INVESTIGATIVE REPORT 1-3

III. EXHIBITS

 1. LICENSE..... 1-1

 2. PHOTO..... 1-1

 3. CONSENT ORDER FOR CASE #2022 01 2800..... 1-7

| | | | | | | | | | |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|

[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jhodge**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > **License Home**

| License Fed Tax # [REDACTED] Lic Type 1002 - Cardroom License Expires On 06/30/2025 File # 48 Name SARASOTA KENNEL CLUB, INC. Extended To License # 153 Rank CLIC - Cardroom Operating License Renewed On Entity # 153 Lic Status Current | | <input type="checkbox"/> Licensee <input type="checkbox"/> History <input type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back | | | | | | | | |
|--|-----------------------|--|-----------------|----------|----------------|-----------------|---|-----------------------|------------|--|
| Address Street # 4404 Street BEE RIDGE ROAD Line 2 UNIT 26 Line 3 City SARASOTA State FL Zip 34233 Routing | | | | | | | | | | |
| Other 1st License Date 03/18/2024 Rank Date 03/18/2024 Certificate # Method I-S-1020 Status Date 07/14/2008 Certificate Date Fee Exempt No Birth Date Renewal Sent | | | | | | | | | | |
| Select Action <input type="text"/>   | | | | | | | | | | |
| Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>153 - Sarasota Kennel</td> <td>12/11/2006</td> <td></td> </tr> </tbody> </table> | | | Type | Modifier | Effective Date | Additional Info | L | 153 - Sarasota Kennel | 12/11/2006 | |
| Type | Modifier | Effective Date | Additional Info | | | | | | | |
| L | 153 - Sarasota Kennel | 12/11/2006 | | | | | | | | |
| DBA Name DBA One-Eyed Jack's Poker Room | | | | | | | | | | |
| Alt Keys BEST LIC NBR 153 | | | | | | | | | | |

EXHIBIT #1
PAGE 1/1

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DBPR Case No.: 2022-012800

SARASOTA KENNEL CLUB, INC.,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (“Division”), and Sarasota Kennel Club, Inc. (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering and Cardroom permit and license, number 153-1002, issued by the Division.

2. This Consent Order is to be entered in resolution of DBPR Case Number 2022-012800, alleging that:

a. On or about March 15, 2022, Respondent was in violation of Rule 61D-11.0175(5)(c), Florida Administrative Code, by failing to require employees in the count room conducting the count to have outer garments that were in good condition and completely closed.

b. On or about March 16, 2022, Respondent was in violation of Rule 61D-11.0175(5)(c), Florida Administrative Code, by failing to require employees in

the count room conducting the count to have outer garments that were in good condition and completely closed.

- c. On March 16, 2022, Respondent was in violation of Rule 61D-11.025(4), Florida Administrative Code, by failing to have the accurate date and time of recorded events on surveillance footage.

3. Aggravation: This Consent Order was entered into in consideration of Respondent's previous disciplinary history, including two prior violations of Rule 61D-11.0175(5)(c), Florida Administrative Code, in DBPR Case Numbers 2021-054913 and 2022-000600.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

4. The Division has jurisdiction over this matter and the Parties.
5. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
6. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
7. This Consent Order is enforceable under Section 120.69, and Chapter 550, Florida Statutes, as a final agency action.

8. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

9. The Parties acknowledge and agree that this Consent Order constitutes the final order in this case, and they also acknowledge and agree to waive any further administrative and judicial review.

10. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

11. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

12. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **SEVEN HUNDRED FIFTY DOLLARS (\$750.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a cashier's check, certified check or money order, made payable to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering. (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

13. Respondent must mail this Consent Order and the payment to: **Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.** Please note DBPR Case Number 2022-012800 on the face of the check.

14. The Parties acknowledge and agree that this Consent Order is subject to the approval of the Director of the Division of Pari-Mutuel Wagering or other authorized agent of

the Department of Business and Professional Regulation (“Director”). The Consent Order will have no force and effect unless signed by the Parties. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

15. Upon the Division’s adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys’ fees or costs from the Division in connection with these proceedings.

16. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Director not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director from further participation, consideration, or resolution of these proceedings.

17. This Consent Order may be signed in counterparts, and copies shall be treated as original.

18. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

Respondent, SARASOTA KENNEL CLUB, INC. agrees and consents to the terms and conditions of this Stipulation and Consent Order in DBPR Case Number 2022-012800, this 20 day of JUNE, 2022.


SARASOTA KENNEL CLUB, INC.
Respondent

Signed on behalf of
Sarasota Kennel Club, Inc. by:
JACK COLLINS JR
Printed Name

PREC
Title

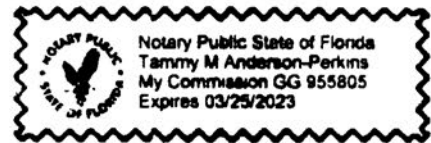
STATE OF FLORIDA

COUNTY OF SARASOTA

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 20 day of JUNE, 2022, by JACK B. COLLINS JR., who is personally known to me or who produced the following as identification: _____

TAMMY M. ANDERSON-PERKINS
Notary Public

My commission expires: MARCH 25, 2023



This Stipulation and Consent Order in DBPR Case Number 2022-012800 is APPROVED for legal sufficiency this 24th day of June, 2022.



MARC TAUPIER, CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation

ORDER

IT IS HEREBY ORDERED THAT:

The foregoing Stipulation and Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case Number 2022-012800, once it is filed with the Agency Clerk.

DONE AND ORDERED this 24 day of JUNE, 2022, in Tallahassee, Florida.



JOE DILLMORE, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

EXHIBIT #3
PAGE 6/7

CERTIFICATE OF SERVICE

I hereby certify this 24th day of June, 2022, that a true copy of the foregoing has been furnished by U.S. mail to:

Sarasota Kennel Club, Inc.
c/o Gary Rutledge, Esquire
Rutledge-Ecena, P.A.
P.O. Box 551
Tallahassee, Florida 32302-0551



AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

7. Discussion of license denials

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Robert Cotrone; Case No. 2024-018224
Date: June 13, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the “Division”) seeks to deny the application of Robert Cotrone (the “Applicant”) for a Pari-Mutuel Professional Individual Occupational License (the “Application”). The Applicant submitted a completed application for a Pari-Mutuel Professional Individual Occupational License. The Applicant also submitted a request for waiver of the restrictions excluding offenders with disqualifying offenses (“Request for Waiver”) on or about February 16, 2024.

Upon review of the Application, it appears the Applicant has been convicted of six (6) felony offenses in the state of New York. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined the Applicant’s Request for Waiver. The Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On or about November 30, 2023, the Applicant submitted an Application for a Pari-Mutuel Professional Individual Occupational License which disclosed an incomplete list of prior criminal convictions with no background information.

On or about December 15, 2023, the Division sent a Deficiency Letter to the Applicant advising that background information was needed and errors and/or omissions required correction before the Application could be processed.

Additional Deficiency letters were sent to the Applicant and his counsel on or about January 17, 2024, and February 20, 2024, respectively. This correspondence advised that missing background information was still needed and that errors and/or omissions still required correction.

The Applicant furnished the Division an amended Application and Request for Waiver through his counsel, Karen A. Murphy, on or about February 16, 2024.

Subsequently, the Division received a completed Application.

Upon review, it appears that the Applicant has been convicted of the following felony offenses in New York:

| | |
|-------------------|--|
| July 31, 1984: | Attempted Robbery (Violation of Probation) |
| October 22, 1987: | Attempted Assault Criminal Sale of a Controlled Substance |
| April 9, 2003: | Grand Larceny Insurance Fraud Criminal Mischief |

These convictions are disqualifying offenses pursuant to section 550.105(5)(b), Florida Statutes.

On April 5, 2024, a Division investigator conducted a waiver interview of the Applicant and his counsel. The Division investigator documented the waiver interview in a report submitted to the Executive Director of the Commission for consideration.

On June 11, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined the Applicant's Request for Waiver.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides that:

[t]he commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

. . . the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial,

or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

“[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.”

Staff Recommendation: The Florida Gaming Control Commission may deny or declare Applicant ineligible for any license upon finding of a felony criminal conviction under section 550.105(5), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Robert Cotrone in this matter.

[FAQ](#) | [Help](#) | [Sign Out](#)

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|---|---|---|----------------------------------|-------------------------|--------------------------------------|----------------------|---------------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | | Mass Activity Update | | Mass Discipline | | |

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|--|--------------------------|------------------------|---------------------------------|---------------------|
| Lic Type | 1021 - Pari-Mutuel Wagering Individual Occupational | Status | 90 Closed | Status Date | 05/09/2024 |
| Complaint # | 2024018224 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | COTRONE, ROBERT A | Responsible | bjones - JONES, BRADFORD | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|--|----------------|--------------------|
| Source | LIC - Licensee | Security Level | 1 |
| Form | WALK - Walk-in | Priority | 1 |
| Class'n | IIIB - Waivers | Complexity | R - Regular |
| Security | STND - Standard | Incident | 11/30/2023 |
| Region | SR - Southern Region | Received | 03/26/2024 |
| Reference | | | |
| Entered | 03/27/2024 | Entered By | jhodge |
| Summary | 321 - GULFSTREAM PARK RACING ASSOCIATION, INC | | |
| Updated | 05/13/2024 09:56:26 | By | jhodge |

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Attachments

Work Notes

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ROUTING SLIP REQUEST FOR WAIVER

RE: COTRONE, ROBERT – LIC # 13831124
(APPLICANT'S NAME – LICENSE #)

Case No: 2024 01 8224

1021 – ANIMAL OWNER
Occupation Code and Job Title

GULFSTREAM PARK
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

JUNE 24, 2024
(DATE)

Investigations Section: Reviewed by: Bradford D. Jones 5/9/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CA 05/28/2024
(Initial & Date)

shicks 6/13/24
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director:

LT 6/14/24
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:

April 14, 1983 New York City Police Department, NY, - Unauthorized Use Of A Vehicle, Assault, Possession of Stolen Property and Reckless Endangerment - Felonies – Adjudicated Guilty of all charges on April 25, 1987 and sentenced to 6 months imprisonment and probation.

May 9, 1983 New York City Police Department, NY, - Robbery, Criminal Possession of a Weapon, Attempted Robbery (Violation of Probation) and Grand Larceny – Felonies - Adjudicated Guilty of all charges on September 24, 1984, and sentenced to 1 year imprisonment and 5 years' probation.

December 21, 1986 New York City Police Department, NY, - Criminal Impersonation, Aggravated Unlicensed Operator Motor Vehicle – Felonies – Convicted on October 22, 1987 and sentenced to 6 months imprisonment.

August 12, 1987 New York City Police Department, NY, - Criminal Sale of a Controlled Substance, and Attempted Assault, - Felonies – Convicted on November 16, 1987 and to 2-4 years imprisonment.

*Please attach Routing Slip to front of case file

| | |
|--------------------------|---|
| June 27, 1996 | New York City Police Department, NY, - Grand Theft, Insurance Fraud and Grand Larceny Felonies - Conditional Discharge on August 22, 1996 - No other information or documents could be obtained. |
| November 21, 2002 | New York City Police Department, NY, - Grand Larceny, Insurance Fraud and Criminal Mischief - Felonies – Convicted on May 21, 2003 and sentenced to 5 years' Probation and ordered to pay restitution of \$6,317.00. |
| February 16, 2005 | New York City Police Department, NY, - Offering a False Instrument - Felony – Convicted on April 13, 2005 - Ordered to pay a Fine of \$1000.00 and given a Conditional Discharge. |


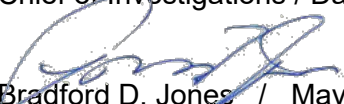
***Please attach Routing Slip to front of case file**

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

| | | | |
|---|----------------------------|--|---------------------------------------|
| Office: PMW | Region: Southern | Date of Complaint: March 27, 2024 | Case Number: 2024 01 8224 |
| Respondent: COTRONE, ROBERT A. 890 IVY HILL ROAD WOODMERE, N.Y. 11598 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # / Type: 13831124/1021 | | Profession: ANIMAL OWNER | Report Date: April 25, 2024 |
| Period of Investigation: April 5, 2024-April 19, 2024 | | Type of Report: Final | |
| <p>Basis of Investigation: This investigation is based upon submission of COTRONE'S Florida Pari-Mutuel Application submitted, November 22, 2023, and his Waiver Request Form, which he signed on February 12, 2024.</p> <p>On December 15, 2023, COTRONE was sent a Deficiency Letter indicating that his application was incomplete and additional information was required before his application could be completed (EXHIBIT #5 pg. 5-6). Additional Deficiency letters dated, January 17, 2024, and February 20, 2024, were subsequently sent to COTRONE and his attorney, Karen Murphy requesting missing items and corrections, necessary to complete the application (EXHIBIT #5 pgs. 1-4).</p> <p>On his application received November 30, 2023, COTRONE answered "Yes" to the questions concerning prior criminal convictions however he did not provide any details nor disposition information. In his response to the Deficiency Letter, COTRONE furnished an amended application, in which he signed on, February 12, 2024. Received by Florida Gaming Control Commission, on February 16, 2024.</p> <p>As confirmed per FDLE Criminal History Report (EXHIBIT #3), COTRONE was arrested and convicted of several felony charges that are detailed in this investigative report. Additional information was obtained from the New York State Department of Corrections reports (EXHIBIT #2 pgs. 1-17).</p> <p>Application(s) and Request for Waiver are attached herein and are as assigned (EXHIBIT #1).</p> | | | |
| Related Case: | | | |
| Investigations Specialist II / Date April 25, 2024  | | Investigator Supervisor / Date: May 1, 2024 | |
| Lisa Vila / | | Tyrell Smith | |
| Chief of Investigations / Date:  | | | |
| Bradford D. Jones / May 9, 2024 | | | |

CONTINUATION

CRIMINAL HISTORY

| Arrest 1 | | | | | |
|--------------------------------------|----------------|---|-------------|-----------------|--|
| Date of Arrest: 04/14/1983 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Unauthorized Use of a Vehicle | Felony | Guilty | Guilty | 04/25/1983 | |
| 2 Assault | Felony | Guilty | Guilty | 04/25/1983 | |
| 3 Possession of Stolen Property | Felony | Guilty | Guilty | 04/25/1983 | |
| 4 Reckless Endangerment | Felony | Guilty | Guilty | 04/25/1983 | |

SENTENCE

Case was transferred and Charges 3-4 were reduced. He received Imprisonment 6 months * Covered by the pled to charge.

| Arrest 2 | | | | | |
|---|----------------|---|-------------|-----------------|--|
| Date of Arrest: 05/09/1983 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Robbery | Felony | Guilty | Guilty | 07/31/1984 | |
| 2 Criminal Possession of a Weapon | Felony | Guilty | Guilty | 07/31/1984 | |
| 3 Attempted Robbery- Violation of Probation | Felony | Guilty | Guilty | 07/31/1984 | |
| 4 Grand Larceny | Felony | Guilty | Guilty | 07/31/1984 | |

SENTENCE

Originally sentenced to 5 years' probation and restitution, however, he violated his probation and was re-sentenced on July 31, 1984, to Imprisonment 1-3 years. * Covered by the pled to charge

CONTINUATION

CRIMINAL HISTORY

| Arrest 3 | | | | | |
|---|---|---|-------------|-----------------|------------|
| Date of Arrest: 12/21/1986 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | Criminal Impersonation | Felony | Guilty | Guilty | 10/22/1987 |
| 2 | *Aggravated Unlicensed Operator Motor-Vehicle | Felony | Guilty | Guilty | 10/22/1987 |
| SENTENCE | | | | | |
| Case Transferred he received Imprisonment 6 months. * Covered by the pled to charge | | | | | |

| Arrest 4 | | | | | |
|---|---|---|-------------|-----------------|------------|
| Date of Arrest: 08/12/1987 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | Criminal Sale of a Controlled Substance | Felony | Guilty | Guilty | 11/16/1987 |
| 2 | Attempted Assault | Felony | Guilty | Guilty | 11/16/1987 |
| 3 | *Obstructing Governmental Admin. | Felony | Guilty | Guilty | 11/16/1987 |
| 4 | *Resisting Arrest | Felony | Guilty | Guilty | 11/16/1987 |
| SENTENCE | | | | | |
| Imprisonment 2-4 years. * Covered by the pled to charge | | | | | |

| Arrest 5 | | | | | |
|--|---------------------|---|-------------|-----------------|------------|
| Date of Arrest: 06/27/1996 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | Grand Larceny 3rd | Felony | Guilty | Guilty | 08/22/1996 |
| 2 | Insurance Fraud 3rd | Felony | Guilty | Guilty | 08/22/1996 |
| 3 | Grand Larceny | Felony | Guilty | Guilty | 08/22/1996 |
| SENTENCE | | | | | |
| Conditional discharge- No additional information provided. | | | | | |

CONTINUATION

CRIMINAL HISTORY

| Arrest 6 | | | | | |
|---|----------------|---|-------------|-----------------|--|
| Date of Arrest 11/21/2002 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Grand Larceny Over \$3,000 | Felony | Guilty | Guilty | 05/21/2003 | |
| 2 Insurance Fraud Over \$3,000 | Felony | Guilty | Guilty | 05/21/2003 | |
| 3 Criminal Mischief | Felony | Guilty | Guilty | 05/21/2003 | |
| SENTENCE | | | | | |
| Probation 5 years, restitution \$ 6,317 | | | | | |

| Arrest 7 | | | | | |
|--------------------------------------|----------------|---|-------------|-----------------|--|
| Date of Arrest: 11/09/2004 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Falsify Business Records | Misdemeanor | Guilty | Guilty | 12/15/2004 | |
| 2 *Conspiracy | Misdemeanor | Guilty | Guilty | 12/15/2004 | |

| SENTENCE | | | | | |
|---|--|--|--|--|--|
| \$1,000 fine, paid in full. * Covered by the pled to charge | | | | | |

| Arrest 8 | | | | | |
|---|----------------|---|-------------|-----------------|--|
| Date of Arrest: 02/16/2005 | | Arresting Agency: New York City Police Department | | | |
| OFFENSE | | | | | |
| Charge(s) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Offering a False Instrument | Felony | Guilty | Guilty | 04/13/2005 | |
| SENTENCE | | | | | |
| Conditional discharge. Fine amount \$1000 | | | | | |

CONTINUATION

ADDITIONAL LICENSES

| | YES | NO |
|--|-----|----|
| Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License? | | X |
| Does the Applicant possess an Occupational License from other jurisdictions? | X | |

| | | | | |
|---|--------------------------------|------------------------|---|----|
| 1. License Type: Individual animal owner | | | | |
| Date Licensed: *Application | Expiration Date: N/A | License #: 13831124 | Agency or Jurisdiction: Florida PMW | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | X |
| Was any derogatory information received? | | | | X |
| 2. License Type: Owner | | | | |
| Date Licensed: 10/20/2022 | Expiration Date: 09/07/2025 | License #: 1585120 | Agency or Jurisdiction: New York Racing Commission | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | X |
| Was any derogatory information received? | | | | X |
| Additional Comments: COTRONE was initially denied in 2012. | | | | |

| | | | | |
|---|------------------------------|----------------------|---|----|
| 3. License Type: Owner | | | | |
| Date Licensed: 12/20/22 | Expiration Date: 12/21/23 | License #: 648880 | Agency or Jurisdiction: Kentucky Horse Racing Commission | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | X |
| Was any derogatory information received? | | | | X |
| Additional Comments: No rulings documented | | | | |

| | | | | |
|--|--------------------------------|----------------------|---|----|
| 4. License Type: Owner | | | | |
| Date Licensed: 12/12/23 | Expiration Date: 12/31/2024 | License #: 163021 | Agency or Jurisdiction: Maryland Racing Commission | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | X |
| Was any derogatory information received? | | | | X |
| Additional Comments: No rulings documented. | | | | |

CONTINUATION

WAIVER INTERVIEW

| | | |
|-----------------------------------|----------------------------------|-----------|
| | YES | NO |
| Was a Waiver Interview Conducted? | X | |
| Date of Interview: 04/5/2024 | Location of Interview: Telephone | |
| | YES | NO |
| Was the applicant cooperative? | X | |
| Additional Comments: | | |

SUMMARY OF INTERVIEW:

On March 27, 2024, I was assigned the waiver investigation, for Robert **COTRONE**.

On March 29, 2024, I received an email from FGCC Operations Review Specialist Jonathan Dye, who advised that a Ms. Karen Murphy attorney representing **COTRONE** inquired on the status of his application. I then contacted Ms. Murphy and scheduled a waiver interview for April 5, 2024.

On April 5, 2024, A conference call between myself, **COTRONE** and Ms. Murphy was initiated to conduct the waiver interview. I advised **COTRONE** the nature of our contact and the waiver interview process. Ms. Murphy advised as **COTRONE's** legal advisor, her role was to monitor the call and vouch for his commitment to the racing industry and his dealings as a legitimate business owner.

COTRONE discussed his background and how he was raised by a single mother in New York. Seeking a way to feel connected, he began associating with a group of unscrupulous older boys in the neighborhood, which in his opinion, lead him to criminal behavior and drug use.

During the interview, **COTRONE** was asked about his criminal history, he responded stating that due to his drug habit and negative influences, he was arrested over the years for numerous charges. **COTRONE** stated he takes full responsibility for his past and is grateful that he has made positive changes his life.

Since most of his convictions are over 20 years ago, the disposition details are limited. In some cases, the files were destroyed pursuant to the Office of the Court Administration Records retention schedule, Criminal Court of the City of New York. (**EXHIBIT # 2 pg. 12-13**). **COTRONE** did not recall all the details on all his arrests, however, he provided his account of his criminal history based on his recollection as follows:

In 1983, **COTRONE** was arrested and convicted of Armed Robbery, in Queens County, New York. He provided additional the following details on the incident: **COTRONE** explained he and an associate broke into a Cadillac to steal the stereo system. During the commission of the crime, he was in possession of a screwdriver to disassemble the stereo but not to use as a weapon. While he was leaving the scene, the owner of the vehicle encountered him and according to **COTRONE** that is why he was charged with Armed Robbery. He further stated the owner of the vehicle was a Police Officer therefore, he was charged accordingly.

In 1986, **COTRONE**, was arrested for Criminal impersonation. Due to his driver's license being suspended, he was using his brother's driver's license while operating a motor vehicle.

CONTINUATION

In 1987, **COTRONE** was arrested for Criminal Sale of a controlled substance in Queens County, New York. **COTRONE**, explained at the time he was a drug user (cocaine). An acquaintance of his came to his home with a female seeking drugs. **COTRONE** took money from the acquaintance and the female to purchase drugs from a supplier. **COTRONE** consumed some of the drugs and was returning home when he was observed by the Police and charged.

During that time in his life, **COTRONE** described himself as a junkie with a significant cocaine addiction. **COTRONE** advised he had a serious issue and did whatever he could to buy and abuse drugs.

In 1996, **COTRONE** was arrested for Grand Larceny and Insurance Fraud, in Queens County, New York. **COTRONE** advised he was arrested for dealing in stolen auto parts for his auto repair business.

In 2002, **COTRONE** was arrested for Criminal Mischief, Grand Larceny and Insurance Fraud, in Queens County, New York. **COTRONE** explained at the time, he was the owner of the auto body repair shop. Although he made changes in his life, by not using drugs, he was still in contact with his associates from his past. The body shop needed auto parts, so he purchased a few items that were most likely stolen by a member of his old crew. At the time, the New York Police Department was conducting autobody shop raids at various business known as "Chop Shops" due to the increased number of late model cars being dismantled for parts. **COTRONE** believed he was targeted for his past dealings and arrested¹.

In 2004, **COTRONE** was arrested for Falsifying Business Records, in Kings County, New York. Because he was a convicted felon, he was not permitted to possess a Wrecker License. Because towing was an interictal part of his business, he placed his business license in his father's name. After his father passed away, he forged his license renewal in his father's name. A few months later during other Police sting operations, the Police conducted a record check, and the forgery of the license document was discovered. **COTRONE** was charged with falsifying the records.

In 2005, **COTRONE** was arrested for Offering a False Instrument. **COTRONE** advised this was related to the falsifying of his business documents.

COTRONE concluded his interview by stating that he made numerous mistakes in his life. However, he has made many changes over the years to stop illegal drug use and to be a hard-working member of society. He has for many years, operated an honest business, and involved himself in the racehorse community. He also has altruistic interests, such as his involvement with Anna House, a childcare facility located at Belmont Park, which offers affordable childcare and education programs for backside families.

On April 9, 2024, Ms. Murphy sent copies of letters of reference on behalf of **COTRONE** from various individuals that had dealings with him in the racing industry and on a personal level. (EXHIBIT # 4).

¹ See November 28, 2002 news article – EXHIBIT #6.

CONTINUATION

COTRONE is currently licensed in the below listed states:

On, April 16, 2024, I spoke to Paula Turby, New York State Racing Commission, she advised, **COTRONE** Owners license is current and expires in 2025.

On, April 16, 2024, I spoke to, Betty Moran, Kentucky Racing Commission, she advised, **COTRONE** was licensed, however his Owners license is currently expired as of 12/21/23.

On, April 16, 2024, I spoke to, Dejanay Brown, Maryland Racing Commission, she advised, **COTRONE** has a current Owners and expires on December 31, in 2024.

COTRONE, is currently the owner of Bam's autobody repair business and a rental car agency, both of which are in Ozone Park, Queens, New York.

An ARCI search shows no rulings against **COTRONE**.

COTRONE is requesting his waiver be granted so he can live his dream to race his horses at Gulfstream Park. **COTRONE** further stated that he has not had any negative encounters with law enforcement since his last arrest.

Case Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

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THE NEW YORK RACING ASSOCIATION, INC.
11-008 BELLEVILLE RD. 11911

EXP: 09/17/2023

**COTRONE
ROBERT**

OWNER



BARN ACCESS



HORSEMEN

EXHIBIT # |
PAGE # |

2024 FEB 16 AM 11:21

FLORIDA GAMING CONTROL COMMISSION

DBPR PMW-3180 - Request for Waiver



STATE OF FLORIDA DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION DIVISION OF PARI-MUTUEL WAGERING www.myfloridalicense.com

TO: Robert A. Cotrone Full Legal Name of Applicant

[Redacted] Date of Birth

[Redacted] Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

- Conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson, trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.
Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction; Specify discipline and jurisdiction.
New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

- A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTI YOU ARE LICENSED:

- You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Signature of Applicant

Date: 11.22.2023/02/12/2024

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3180 - REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS

EXHIBIT # 1 PAGE # 2

2024 FEB 16 AM 11:20

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|--------|-------|---------|------------------------|----------|
| 10/12/95 | Queens | NYS | 11 | | |
| 6/27/96 | | | 11 | | |
| 11/21/2002 | | | 11 | | |
| 7/30/85 | NASSAU | NYS | 11 | | |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant _____ Date _____

2/12/2024 KAM

EXHIBIT # 1
PAGE # 3

SIGN & DATE



Robert Stone

2024 JAN 16 AM 11:56 2023 NOV 30 PM 12:42

FLORIDA GAMING CONTROL COMMISSION

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, identity, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below:

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISEDEAMOUR OR FELONY | SENTENCE |
|---------------------|--------|-------|----------------------|-----------------------|----------|
| 4/14/83 | Queens | NYS | LISTED IN | | |
| 5/9/83 | | | Criminal Vandalism | | |
| 12/21/86 | | | INFORMATION ATTACKED | | |
| 8/12/87 | | | II | | |

RACI
KWP

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No In any racing or gaming license you held, were you ever suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

2012 Denial of owner's license NYS; General Fitness
Licensed in NYS as DRIVER in 2022

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 48, United States Code, Sections 953, 954, and Sections 409.2077, 409.2080, and 509.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 904 Pub.L. 104, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Nonpartisan Justice Applicant's Ethics Pledge is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 509.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Robert Stone
Signature of Applicant

11-22-23
Date

2/12/2024 KAM

FLORIDA GAMING CONTROL COMMISSION

2024 FEB 16 AM 11:20

RECEIVED

EXHIBIT # 1
PAGE # 4

2024 FEB 16 AM 11:20

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
- Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|--------|-------|---------|------------------------|----------|
| 11/9/2009 | KINGS | NYS | II | | |
| | | | | | |
| | | | | | |

- Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
- Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant _____ Date _____

2/12/2024 KAM

EXHIBIT # 1
PAGE # 5

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|--------|-------|---------|------------------------|----------|
| | | | | | |
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Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2588, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Robert Johnson
Signature of Applicant

11-22-23
Date

Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | | |
|---|---------------------------------|--|---|--|
| Social Security Number | | Birth Date (MM/DD/YYYY) | | Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |
| Last Name COTRONE | | First Robert | Middle A | Suffix |
| Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | |
| If yes, list the name or names used: _____ | | | | |
| Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input checked="" type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | | |
| Current Mailing Address 890 IVY Hill Road | | | Email Address (optional) | |
| City Woodmere | State NY | Zip Code (+4 optional) 11598 | Country, if other than USA USA | |
| Primary Phone Number 917 417 1449 | | | Secondary/Cell Phone Number (optional) | |
| Current Street Address 890 IVY Hill Road Woodmere NY 11598 | | | | |
| City Woodmere | State NY | Zip Code (+4 optional) 11598 | Country, if other than USA USA | |
| Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input checked="" type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee | | | Facility where employed and/or doing business: BGM'S Auto Body 86-11 Liberty Ave 02002 PK NY 11417 | |
| Occupation: _____ | | | | |
| Does your position require access to the Cardroom? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| Do you own or lease animals intended for racing in Florida? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, complete the following: | | | | |
| Stable Name, Kennel Name, or Business Name Robert Cotrone | | | | |
| Trainer Name (horse or greyhound racing only) Chad Brown | | | | |
| TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY | | | | |
| Type of professional license (attach a copy of Florida professional license): | | | Florida License Number | |
| FOR DIVISION USE ONLY | | | | |
| License Code 1021 | License # 18831124 | File # 78581 | App # 249093 | |
| Association Code 910 | Date Received 11/30/2023 | Entered By CH | License Year 23/24 | |
| License Fee 80 | FP Date 11/20/2023 | FP Fee 37.25 | Total Fee \$ 117.25 | |
| Off Temp | Waiver Requested | ARCI | Enforcement | Minor |

Payable to F.G.C.C.

FEDERAL BUREAU OF INVESTIGATION
 UNITED STATES DEPARTMENT OF JUSTICE
 CJIS DIVISION/CLARKSBURG, WV 26306

1110-0046

APPLICANT

THIS CARD FOR USE BY:

- LAW ENFORCEMENT AGENCIES IN OBTAINING APPLICANTS FOR LAW ENFORCEMENT POSITIONS
- OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT, LICENSING, AND PERMITS AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE UNITED STATES, LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY EXEMPTED ON APPLICABLE STATE STATUTES DO NOT SATISFY THIS REQUIREMENT
- U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW
- OFFICERS OF FEDERALLY CHARTERED OR INCORPORATED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN THE SECURITY OF THESE INSTITUTIONS

Please refer to the below information to aid in the successful processing of this card and fingerprint submissions in order to prevent delays in receipt. Hand-drawn fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation.

Ensure all information is typed or legibly printed using blue or black ink.
 Enter data within the boundaries of the designated field or block.
 Complete all required fields. If a required field is left blank, the fingerprint card may be immediately rejected without further processing.

- The required fields for hand-drawn fingerprints cards are: (1) Date of Birth, Place of Birth, Name, Sex, Date of Issuance of your SSAN, Employment, and Social Security Number (SSAN) on the fingerprint impression blocks.

Do not use highlighters on fingerprint cards
 Do not enter data or labels within Leave Blank areas.
 Ensure fingerprint impressions are rolled completely from nail to nail.
 Ensure fingerprint impressions are in the correct sequence.
 Ensure notations are made for any missing fingerprint impression (i.e. amputated).
 Do not use more than two retake per fingerprint impression block.
 Ensure no stray marks are within the fingerprint impression blocks.

Printing aids can be ordered online via the Internet by accessing the FBI's website at <http://www.fbi.gov>. Fingerprint cards can be ordered from the FBI's National Fingerprint Center & Training Unit. Direct questions to the Resident Services Section at residentservices@fbi.gov or by mail at residentservices@fbi.gov.

Social Security Account Number (SSAN). Pursuant to the Privacy Act of 1974, any Federal, state, or local government agency that requests an individual to disclose his or her SSAN is responsible for informing the person whether disclosure is mandatory or voluntary, by what statutory or other authority the SSAN is solicited, and what uses will be made of it. In this instance, the SSAN is solicited pursuant to 28 U.S.C. 534 and will be used as a unique identifier to confirm your identity because many agencies use the same name and date of birth. Disclosure of your SSAN is voluntary, however, failure to disclose your SSAN may affect completion or approval of your application.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal Statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and Federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and alien fingerprints repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of the application and, where retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

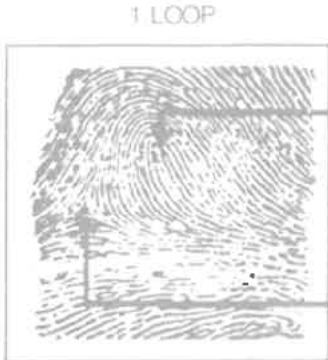
Routine Uses: During the processing of this application and thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Biometric Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearance and other suitability determinations; local, state, tribal or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

PAPERWORK REDUCTION ACT NOTICE

According to the Paperwork Reduction Act of 1995, no persons are required to provide the information requested unless a valid OMB control number is displayed. The valid OMB control number for this information collected is 1110-0046. The time required to complete this information collection is estimated to be 10 minutes, including time reviewing instructions, gathering, completing, reviewing and submitting the information collection. If you have any comments concerning the accuracy of this time estimate or suggestions for reducing this burden, please send to: Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Washington, DC 20535.

INSTRUCTIONS:

- PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND (LOCALLY) SHOULD BE SUBMITTED FOR FBI SEARCH.
- IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE, EMPLOYER AND ADDRESS. THE CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI.
- UNIVERSAL CONTROL NUMBER IF KNOWN SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- MISCELLANEOUS NO. RECORDS: OTHER ARMED FORCES NO. PASSPORT NO. FBI, ALIEN REGISTRATION NO. (WITH PORT SECURITY CARD NO.), SELECTIVE SERVICE NO. (U.S. VETERANS ADMINISTRATION CLAIM NO.) (NAT)



THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW



THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR



ARCHES HAVE NO DELTAS

RECEIVED
 2023 NOV 30 PM 12:12
 FBI
 CLARKSBURG DIVISION
 CONTROL ROOM

FD-258 (REV. 5-15-17)

U.S. GOVERNMENT PUBLISHING OFFICE
 9778-2020 11 52 04

EXHIBIT #
 PAGE #

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2024 FEB 16 AM 11:21

DBPR PMW-3185 - Request for Release of Information and Authorization to Release Information

FLORIDA GAMING CONTROL COMMISSION



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

| PERSONAL INFORMATION | | | | | |
|---|--------|---|----------------------|--------|--------|
| Social Security Number/Federal Employer ID Number: [REDACTED] | | | | | |
| IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Last Name | First | Middle | Title | Suffix | |
| COTRONE | ROBERT | | | | |
| Birth Date (MM/DD/YYYY): [REDACTED] | | | | | |
| IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Representative's Name: Last | | First | Middle | Title | Suffix |
| | | | | | |
| Permitholder Name: | | | | | |
| Official Capacity: | | | | | |
| ATTEST STATEMENT | | | | | |
| I, <u>Robert Cotrone</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation. | | | | | |
| I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested. | | | | | |
| (If individual applicant - legal name and any nickname or alias in parentheses) | | | | | |
| Applicant/Representative Signature: <u>[Signature]</u> | | | Date: <u>2/12/24</u> | | |
| NOTARIZATION | | | | | |
| The foregoing application was sworn to and subscribed before me this <u>12</u> Day of <u>February</u> , 20 <u>24</u> | | | | | |
| by <u>Robert Cotrone</u> | | <u>[Signature]</u> | | | |
| Type or print name of applicant | | Signature of applicant | | | |
| who is personally known to me or who has produced the following as identification. | | | | | |
| <u>D. License</u> | | | | | |
| Type of identification | | | | | |
| <u>[Signature]</u> | | | | | |
| Signature of person taking acknowledgement | | | | | |
| Notary Seal (Rubber Stamp and Expiration) | | ROBERT RAYMOND WOLFF NOTARY PUBLIC, State of New York No. 4088208 Qualified in Queens County Commission Expires March 30, 2025 | | | |

EXHIBIT #
PAGE #

1
10



Corrections and Community Supervision

2024 JAN 16 AM 11:56

FLORIDA GAMING
CONTROL COMMISSION

KATHY HOCHUL
Governor

ANTHONY J. ANNUCCI
Acting Commissioner

May 5, 2022

Robert Cotrone
890 Ivy Hill Road
Woodmere, NY 11598

Dear Robert Cotrone:

I am pleased to inform you that the New York State Department of Corrections and Community Supervision has granted you the enclosed Certificate of Good Conduct, #G22-429.

The certificate provides relief from forfeitures, disabilities or bars to employment and licensing automatically imposed by New York State law as a result of your conviction, **EXCEPT THE RIGHT TO POSSESS FIREARMS.**

The certificate does not remove your record of conviction. Therefore, where an application or document requires the information, you should disclose your conviction and also the fact that you were granted this certificate.

Please keep your original certificate in a safe place so it will be available to you when needed.

Lastly, in reviewing your criminal history, it appears that you may be eligible to register for or vote in an election. As such, a New York State voter registration form has been enclosed for your convenience. For a full listing of voting rights and eligibility requirements, please visit the New York State Office of the Attorney General website at <https://ag.ny.gov/civil-rights/voting-rights>.

Sincerely,

William Fitzpatrick, Director
Executive Clemency Bureau
Certificate Review Unit

Enclosure
WF/yr

EXHIBIT # 2
PAGE # 1

2024 JAN 16 AM 11:56

FLORIDA GAMING
CONTROL COMMISSION



File # G
[Redacted]

**STATE OF NEW YORK
DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION**

The People of the State of New York

To All To Whom These Presents Shall Come:

Greeting:

Whereas **ROBERT A COTRONE**

was convicted in the courts indicated below of the following offenses for which he/she received the sentence set forth:

| OFFENSE | COURT OF CONVICTION | DATE OF SENTENCE | SENTENCE |
|---|-----------------------------|------------------|-----------------------|
| ATT:ROBBERY 2ND/AIDED BY ANOTHER | Queens County Supreme Court | 09/24/1984 | 5 years Probation |
| ATT:ASSAULT -2ND DEGREE | Queens County Supreme Court | 11/16/1987 | 2-0-0/4-0-0 |
| CRIM SALE CONTRL SUBST | Queens County Supreme Court | 11/16/1987 | 2-0-0/4-0-0 |
| GRAND LARCENY 3RD, INSURANCE FRAUD 3RD, CRIMINAL MISCHIEF 3RD | Queens County Supreme Court | 05/21/2003 | Probation: 5 years |
| OFFER FILE FALSE INSTRUMNT-1ST | Queens County Supreme Court | 04/13/2005 | Conditional Discharge |

Whereas, in accordance with the provisions of law, the Department of Corrections and Community Supervision has verified that the person aforesaid has maintained a record of good conduct since 04/13/2005 and is a fit person to receive this grant;

Therefore, Know Ye That we have granted unto **ROBERT A COTRONE**

this Certificate of Good Conduct for the following purpose: to remove all legal bars and disabilities to employment, license and privilege except those pertaining to firearms under Sections 265.01(4) and 400.00 of the Penal Law. This Certificate of Good Conduct also removes all legal bars and disabilities incurred as a result of all misdemeanor and violation convictions that occurred prior to the issuance of this Certificate.

EXHIBIT # 2
PAGE # 2

This certificate shall be considered permanent.

RECEIVED

2024 JAN 16 AM 11:57

FLORIDA TESTING
CONTROL COMMISSION

This certificate shall be considered permanent.

This certificate shall be considered temporary until [redacted] After this date, unless revoked earlier by the issuing court or parole board, this certificate shall be considered permanent. A person who knowingly uses or attempts to use a revoked certificate in order to obtain or exercise any right or privilege that he/she would not be entitled to obtain or exercise without valid certificate shall be guilty of a misdemeanor.

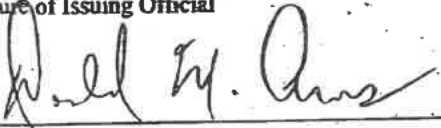
| | | | |
|--|------------------------------------|---------------------------------|---|
| Signature of Issuing Official  | Print or type name DONALD ARRAS | Title Assistant Commissioner | |
| NYSID No. [redacted] | Issue Date 05/03/2022 | Expiration Date [redacted] | |
| Complete the following for DCJS, only if fingerprints are not obtained | | | |
| 1. Sex <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female | 2. Race White | 3. Height 5 ft. 06 in. | 4. Date of Birth (Month, Day, Year) [redacted] |

EXHIBIT # 2
PAGE # 3

RECEIVED

2024 JAN 16 AM 11:57

OFFICE OF COURT ADMINISTRATION
25 Beaver Street
New York, New York 10004
(212) 428-2810

FLORIDA GAMING
CONTROL COMMISSION

**Division of Administrative Services
Criminal History Record Search (CHRS) Program**

Criminal Disposition Information

| | | | | |
|---|---------------|----------------------|-------------------|-------------------|
| Bill To Information | Job No | Delivery Type | Order Date | Order Time |
| andrew mollica 20 Middleton Rd. garden City, NY 11530 | 5086315 | E-mail | 05/06/2018 | 12:40 PM |

| Name (A.K.A.) | | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|---------------|------------|-------------|---|-------------|
| County | D.O.B. | | | |
| KINGS | [REDACTED] | 11/09/2004 | Supreme Court Docket/Case/Serial Number: 06280-2004 Court Control Number: 57216348Q Case Disposition Date: 12/15/2004 Last Activity Date: 02/08/2005 Charge: PL 175.05 03 AM 2ND DEGREE - FALSIFYING BUSINESS RECORDS Disposition/Status: PLED GUILTY Sentenced to: FINE \$1000, - PAID IN FULL, CONDITIONAL DISCHARGE 1 YEAR, Charge: PL 105.00 00 BM 6TH DEGREE - CONSPIRACY Disposition/Status: COVERED BY THE PLED TO CHARGE | |
| County | D.O.B. | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |

EXHIBIT # 2
PAGE # 4

2024 JAN 16 AM 11:57

FLORIDA GAMING
CONTROL COMMISSION

| | | | |
|-----------------|------------|--|--|
| COTRONE, ROBERT | 08/12/1987 | Supreme Court | |
| QUEENS | | <p>Docket/Case/Serial Number: 05379-87 Court Control Number: 11013740K Case Disposition Date: 10/22/1987 Last Activity Date: 11/16/1987</p> <p>Charge: PL 110-120.05 01 EF 2ND DEGREE - ATTEMPTED ASSAULT Disposition/Status: PLED GUILTY Sentenced to: IMPRISONMENT 2 YEARS - 4 YEARS.</p> <p>Charge: PL 120.20 00 AM 2ND DEGREE - RECKLESS ENDANGERMENT Disposition/Status: COVERED BY THE PLED TO CHARGE</p> <p>Charge: PL 120:00 01 AM 3RD DEGREE - ASSAULT Disposition/Status: COVERED BY THE PLED TO CHARGE</p> <p>Charge: PL 195.05 00 AM 2ND DEGREE - OBSTRUCTING GOVERNMENTAL ADMINISTRATION Disposition/Status: COVERED BY THE PLED TO CHARGE</p> <p>Charge: PL 120.05 03 DF 2ND DEGREE - ASSAULT Disposition/Status: COVERED BY THE PLED TO CHARGE</p> <p>Charge: PL 205.30 00 AM - RESISTING ARREST Disposition/Status: COVERED BY THE PLED TO CHARGE</p> | |

| Name (A.K.A.) | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|-----------------|-------------|---|-------------|
| County | D.O.B. | | |
| COTRONE, ROBERT | 08/12/1987 | Supreme Court | |
| QUEENS | | <p>Docket/Case/Serial Number: 03874-87 Court Control Number: 11013764Q Case Disposition Date: 10/22/1987 Last Activity Date: 11/16/1987</p> <p>Charge: PL 220.31 00 DF 5TH DEGREE - CRIMINAL SALE OF A CONTROLLED</p> | |

EXHIBIT # 2
PAGE # 3

2024 JAN 16 AM 11:57

FLORIDA GAMING
CONTROL COMMISSION

SUBSTANCE

Disposition/Status: PLED GUILTY
Sentenced to: IMPRISONMENT 2
YEARS - 4 YEARS,

Charge: PL 220.31 00 DF 5TH DEGREE -
CRIMINAL SALE OF A CONTROLLED
SUBSTANCE

Disposition/Status: PLED GUILTY
Sentenced to: IMPRISONMENT 2
YEARS - 4 YEARS,

Charge: PL 220.39 01 BF 3RD DEGREE
- CRIMINAL SALE OF A CONTROLLED
SUBSTANCE

Disposition/Status: COVERED BY THE
PLED TO CHARGE

Charge: PL 220.39 01 BF 3RD DEGREE
- CRIMINAL SALE OF A CONTROLLED
SUBSTANCE

Disposition/Status: COVERED BY THE
PLED TO CHARGE



| Name (A.K.A.) | | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|---------------------------|---|-------------|--|-------------|
| County | D.O.B. | | | |
| COTRONE, ROBERT QUEENS | 12/21/1986  | 12/21/1986 | Criminal Court Docket/Case/Serial Number: 6Q038892 Court Control Number: 10319288M Case Disposition Date: 10/22/1987 Last Activity Date: 11/16/1987 TRANSFERRED - Charge: PL 190.25 01 AM 2ND DEGREE - CRIMINAL IMPERSONATION Disposition/Status: PLED GUILTY Sentenced to: IMPRISONMENT 6 MONTHS, Charge: VTL 511.1 01 I 3RD DEGREE - AGGRAVATED UNLICENSED OPERATOR MOTOR VEHICLE Disposition/Status: COVERED BY THE PLED TO CHARGE | |

EXHIBIT # 2
PAGE # 6

2024 JAN 16 AM 11:57

FLORIDA GAMING
CONTROL COMMISSION

| Name (A.K.A.) | Arrest Date | Adjuorn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|---|---|--|-------------|
| County | D.O.B. | | |
| COTRONE, ROBERT (COTRONE, ROBERT A) | 05/09/1983 | Supreme Court | |
| QUEENS |  | Docket/Case/Serial Number: 01916-83 Court Control Number: 8694389K Case Disposition Date: 07/31/1984 Last Activity Date: 11/16/1987 | |
| | | Charge: PL 160.10 02 CF 2ND DEGREE - ROBBERY Disposition/Status: COVERED BY THE PLED TO CHARGE | |
| | | Charge: PL 265.01 01 AM 4TH DEGREE - CRIMINAL POSSESSION OF A WEAPON Disposition/Status: COVERED BY THE PLED TO CHARGE | |
| | | Charge: PL 110-160.10 01 DF 2ND DEGREE - ATTEMPTED ROBBERY Disposition/Status: VIOLATION OF PROBATION Original Sentence: PROBATION 5 YEARS, RESTITUTION, Resentenced to: IMPRISONMENT 1 YEAR - 3 YEARS, | |
| | | Charge: PL 155.30 01 EF 3RD DEGREE - GRAND LARCENY Disposition/Status: COVERED BY THE PLED TO CHARGE | |
| | | Charge: PL 140.35 00 AM - POSSESSION OF BURGLAR TOOLS Disposition/Status: COVERED BY THE PLED TO CHARGE | |
| | | Charge: PL 160.05 00 DF 3RD DEGREE - ROBBERY Disposition/Status: COVERED BY THE PLED TO CHARGE | |

2024 JAN 16 AM 11:57

FLORIDA GAMING
CONTROL COMMISSION

| Name (A.K.A.) | | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|-----------------|--------|-------------|--|-------------|
| County | D.O.B. | | | |
| COTRONE, ROBERT | | 11/21/2002 | Supreme Court | |
| QUEENS | | | <p>Docket/Case/Serial Number: 00205-2003 Court Control Number: 56283604M Case Disposition Date: 04/09/2003 Last Activity Date: 05/21/2003</p> <p>Charge: PL 176.20 00 DF 3RD DEGREE - INSURANCE FRAUD Disposition/Status: PLED GUILTY Sentenced to: PROBATION 5 YEARS, RESTITUTION,</p> <p>Charge: PL 145.05 00 EF 3RD DEGREE - CRIMINAL MISCHIEF Disposition/Status: PLED GUILTY Sentenced to: PROBATION 5 YEARS,</p> <p>Charge: PL 110-165.45 01 AM 4TH DEGREE - ATTEMPTED CRIMINAL POSSESSION OF STOLEN PROPERTY Disposition/Status: PLED GUILTY Sentenced to: PROBATION 3 YEARS,</p> <p>Charge: PL 155.35 00 DF 3RD DEGREE - GRAND LARCENY Disposition/Status: PLED GUILTY Sentenced to: PROBATION 5 YEARS,</p> | |

| Name (A.K.A.) | | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|-----------------|--------|-------------|---|-------------|
| County | D.O.B. | | | |
| COTRONE, ROBERT | | 10/12/1995 | Supreme Court | |
| QUEENS | | | <p>Docket/Case/Serial Number: 4969-95 Court Control Number: 16768590J Case Disposition Date: 06/24/1996 Last Activity Date: 07/15/1996</p> <p>Charge: PL 165.05 01 AM 3RD DEGREE - UNAUTHORIZED USE OF A VEHICLE Disposition/Status: PLED GUILTY</p> | |

EXHIBIT # 2
PAGE # 8

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2024 JAN 16 AM 11:57

FLORIDA GAMING
CONTROL COMMISSION

Sentenced to: CONDITIONAL
DISCHARGE 1 YEAR,

Charge: PL 170.70 01 EF - ILLEGAL
POSSESSION VEHICLE
IDENTIFICATION NUM
Disposition/Status: DISMISSED.

Charge: PL 165.08 00 DF 1ST DEGREE -
UNAUTHORIZED USE OF A VEHICLE
Disposition/Status: COVERED BY THE
PLED TO CHARGE

Charge: PL 170.70 03 EF - ILLEGAL
POSSESSION VEHICLE
IDENTIFICATION NUM
Disposition/Status: DISMISSED

Charge: PL 165.50 00 DF 3RD DEGREE
- CRIMINAL POSSESSION OF STOLEN
PROPERTY
Disposition/Status: DISMISSED

Charge: PL 170.70 02 EF - ILLEGAL
POSSESSION VEHICLE
IDENTIFICATION NUM
Disposition/Status: DISMISSED


| Name (A.K.A.) | | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|------------------|---|-------------|--|-------------|
| County | D.O.B. | | | |
| COTRONE, ROBERT | | 02/16/2005 | Supreme Court | |
| QUEENS |  | | Docket/Case/Serial Number: SCI-00971-2005 Court Control Number: 57345433K Case Disposition Date: 04/13/2005 Last Activity Date: 04/13/2005 Charge: PL 175.35 00 EF 1ST DEGREE - OFFERING A FALSE INSTRUMENT FOR FILING Disposition/Status: PLED GUILTY Sentenced to: CONDITIONAL DISCHARGE 3 YEARS, | |

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FLORIDA GAMING CONTROL COMMISSION

| Name (A.K.A.) | | Arrest Date | Adjourn/Disposition Date, Charge, Disposition, and Sentence Information | OCA Remarks |
|---------------|------------|-------------|---|-------------|
| County | D.O.B. | | | |
| QUEENS | [REDACTED] | 04/14/1983 | <p>Criminal Court</p> <p>Docket/Case/Serial Number: 3Q009383 Court Control Number: 8612553J Case Disposition Date: 04/25/1983 Last Activity Date: 11/16/1987 TRANSFERRED -</p> <p>Charge: PL 165.05 01 AM 3RD DEGREE - UNAUTHORIZED USE OF A VEHICLE Disposition/Status: PLED GUILTY Sentenced to: IMPRISONMENT 6 MONTHS.</p> <p>Charge: PL 120.00 01 AM 3RD DEGREE - ASSAULT Disposition/Status: COVERED BY THE PLED TO CHARGE</p> <p>Charge: PL 165.40 00 AM 3RD DEGREE - CRIMINAL POSSESSION OF STOLEN PROPERTY Disposition/Status: COVERED BY THE PLED TO CHARGE</p> <p>Charge: PL 120.25 00 DF 1ST DEGREE - RECKLESS ENDANGERMENT Disposition/Status: REDUCED</p> <p>Charge: PL 165.50 00 DF 1ST DEGREE - CRIMINAL POSSESSION OF STOLEN PROPERTY Disposition/Status: REDUCED</p> | |

Law Codes:

| | | | |
|------------------------------------|------------------------------------|--------------------------|-----------------------------|
| AC Administrative Code | CPL Criminal Procedure Law | LOC Local Law | RP Real Property Law |
| ABC Alcoholic Beverage Control Law | ECL Environmental Conservation Law | MD Multiple Dwelling Law | RR Railroad Law |
| BL Banking Law | GB General Business Law | MHY Mental Hygiene Law | SW Social Services Law |
| CON Conservation Law | GM General Municipal Law | PHL Public Health Law | TL Transportation Law |
| COR Correction Law | LAB Labor Law | PL Penal Law | VTL Vehicle and Traffic Law |

EXHIBIT # 2
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FLORIDA GAMING
CONTROL COMMISSION

Charge Nomenclature:

Example: PL 220.03.00 AM

PL (Penal Law) = NYS Law 220.03 = Section 00 = Subsection AM = Severity 'A' Misdemeanor

Charge Severity:

I = Infraction V = Violation M = Misdemeanor F = Felony

Court Control Number:

This is preprinted on the NYS Fingerprint Card and used to match court dispositions to the arrest. This arrest specific numeric identifier can be used for contacting courts for case information when a docket (lower court) or case number (Supreme/County Court) is not available (e.g. case data reflects lower court dispositions as Grand Jury, Indicted, or Supreme Court Transfer but no related case number.)

Case Supplement Data:

Occasionally, current case disposition data cannot be displayed in the usual manner. We have provided this additional information under the heading of 'Case Supplement Data.' This information may not be complete and you should contact the court for complete case disposition.

UNDER NEW YORK STATE LAW VIOLATIONS AND INFRACTI



Criminal Court of The City of New York
125-01 Queens Boulevard
Kew Gardens, NY 11415

Date: 1-18-24

Regarding: Robert Cotrone

Docket#: 96Q 026918
3Q 009383

To Whom It May Concern:

We are unable to provide you with a copy of the file because the file has been destroyed pursuant to the Office of Court Administration Records Retention Schedule. The only document that is available is a disposition letter. If you have any questions, please call us at 718-298-0888.

Sincerely,

A handwritten signature in black ink, appearing to be "D. J. [unclear]".

Central Clerks Office
Queens Criminal Court

2024 FEB 16 AM 11:20
FLORIDA GAMING
CONTROL COMMISSION

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EXHIBIT # 2
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2024 FEB 16 AM 11:20

FLORIDA GAMING
CONTROL COMMISSION

New York State Supreme Court
Queens County
125-01 Queens Blvd.
Kew Gardens, New York 11415

To the Florida Gaming Control Commission:

To whom it may concern, we at the Supreme Court do not have arrest reports on defendants. We, therefore, can't provide them to you for Robert Cotrone. If you want to get access to them, call the Queens District Attorney's office at 718-286-6000 or contact the New York City Police Department.

Sincerely,



E.M., P.C.C

EXHIBIT # 2
PAGE # 13

RECEIVED

2024 JAN 16 AM 11:57

CRIMINAL COURT OF THE CITY OF NEW YORK FLORIDA GAMING CONTROL CERTIFICATE OF DISPOSITION
COUNTY OF QUEENS
THE PEOPLE OF THE STATE OF NEW YORK
VS
NUMBER: 375966

COTRONE, ROBERT
Defendant

[REDACTED]
Date of Birth

96-34 149 AVE.
Address

[REDACTED]
NYSID Number

OZONE PARK NY
City State Zip

12/21/1986
Date of Arrest/Issue

Docket Number: 6Q038892

Summons No:

PL 190.25 01 AM VTL 511.1 01 I.
Arraignment Charges

Case Disposition Information:

| Date | Court Action | Judge | Part |
|------------|--|--------------|------|
| 10/15/1987 | TRANSFERRED TO ANOTHER COURT | GLASS, M | AP1 |
| 10/22/1987 | PLED GUILTY-ADJ FOR SENTENCING PG PL 190.25 01 AM | DUFFICY, ANN | AP6 |
| 11/16/1987 | SENTENCE IMPOSED IMPRISONMENT=6M | DUFFICY, ANN | AP6 |

NO FEE CERTIFICATION

GOVERNMENT AGENCY COUNSEL ASSIGNED

NO RECORD OF ATTORNEY READILY AVAILABLE. DEFENDANT STATES COUNSEL WAS ASSIGNED

SOURCE ACCUSATORY INSTRUMENT DOCKET BOOK/CRIMS CRC3030 [CRS963]

I HEREBY CERTIFY THAT THIS IS A TRUE EXCERPT OF THE RECORD ON FILE IN THIS COURT.

MANN, S
COURT OFFICIAL SIGNATURE AND SEAL

03/20/2018
DATE

FEE: NONE

(CAUTION: THIS DOCUMENT IS NOT OFFICIAL UNLESS EMBOSSED WITH THE COURT
SEAL OR SIGNATURE OF THE COURT OFFICIAL.)

• Criminal impersonation
• suspended license.

I used my brothers
license because mine
was suspended.

EXHIBIT #
PAGE #

2
14

RECEIVED

2024 JAN 16 AM 11:57

CRIMINAL COURT OF THE CITY OF NEW YORK
COUNTY OF QUEENS

FLORIDA GAMING
CONTROL BOARD
CERTIFICATE OF DISPOSITION
NUMBER: 375961

THE PEOPLE OF THE STATE OF NEW YORK
VS.

COTRONE, ROBERT
Defendant

[REDACTED]
Date of Birth

96-39 149 AVE
Address

[REDACTED]
NYSID Number

OZONE PARK NY
City State Zip

04/14/1983
Date of Arrest/Issue

Docket Number: 3Q009383

Summons No:

PL 120.25 00 DF, PL 165.05 01 AM,
Arraignment Charges

Case Disposition Information:

| Date | Court Action | Judge | Part |
|------------|---|---------------|------|
| 04/19/1983 | TRANSFERRED TO ANOTHER COURT | BELDOCK, G | 1D |
| 04/25/1983 | PLED GUILTY PRE-SENT INVESTIGA PG PL. 165.05 01 AM | O'DONOGHUE, J | 1D |
| 10/15/1987 | TRANSFERRED TO ANOTHER COURT | GLASS, M | AP1 |
| 11/16/1987 | SENTENCE IMPOSED IMPRISONMENT=6M | DUFFICY, ANN | AP6 |

NO FEE CERTIFICATION

GOVERNMENT AGENCY COUNSEL ASSIGNED

NO RECORD OF ATTORNEY READILY AVAILABLE. DEFENDANT STATES COUNSEL WAS ASSIGNED

SOURCE ACCUSATORY INSTRUMENT DOCKET BOOK/CRIMS CRC3030 [CRS963]

I HEREBY CERTIFY THAT THIS IS A TRUE EXCERPT OF THE RECORD ON FILE IN THIS COURT.

MANN, S
COURT OFFICIAL SIGNATURE AND SEAL

03/20/2018
DATE

FEE: NONE

(OFFICIAL UNLESS EMBOSSED WITH THE COURT
SURE OF THE COURT OFFICIAL.)

*assault menacing
stalking.*

*Unauthorized use
of vehicle. 3rd degree.*

*I was a passenger in
a stolen car.*

EXHIBIT # 2
PAGE # 5



QUEENS SUPREME CRIMINAL COURT

125-01 Queens Blvd., Kew Gardens, NY 11415

Fax: (718) 520-2354

Court ORI: NY040015J

EE

The People of the State of New York
vs:
Robert A. Cotrone

Certificate of Disposition
Docket Number:
Legacy Docket Number: 0191683

NYSID: [REDACTED]

Defendant DOB [REDACTED]

Arrest Date: 05/09/1983

Arraignment Date: 07/27/1983

THIS IS TO CERTIFY that the undersigned has examined the files of the Queens Supreme Criminal Court concerning the above entitled matter and finds the following:

| Number of Counts | Incident Date | Sentence Charge | Charge Description | Charge Weight | Conviction Type | Conviction/Sentence Date | Sentence Highlight |
|------------------|---------------|------------------|--|---------------|-----------------|--------------------------------------|---|
| 1 | 05/05/1983 | PL 110-160.10.01 | Attempted Robbery- 2nd Aided By Another | DF | Pled Guilty | Conv: 07/31/1984 Sent: 11/16/1987 | • Imprisonment (1 year - 3 years) • No Surcharge |

A balance remains due and owing for fines, fees and/or surcharges imposed at sentence.

Charge Weight Key: I=Infraction; V=Violation; AM=Class Misdemeanor; UM=Unclassified Misdemeanor; AF, BF, CF, EF=Class Felony

Dated: January 18, 2024

Chief Clerk/Clerk of the QUEENS COURT CLERK

CAUTION: THIS DOCUMENT IS NOT OFFICIAL UNLESS EMBOSSED WITH THE COURT SEAL

Pursuant to Judiciary Law § 212.2(z), a certificate of disposition for the public contains only records of convictions, if any, and information about pending cases. All marijuana convictions under PL 221.05, PL 221.10, PL 221.15, PL 221.20, PL 221.35 or PL 221.40, including any appearing on this certificate of disposition-- are vacated, dismissed, sealed, and expunged. It is an unlawful discriminatory practice for any entity to make any inquiry about such an expunged conviction or to use such an expunged conviction adversely against an individual in any form of application or otherwise, unless specifically required or permitted to do so by statute. It shall be an unlawful discriminatory practice, unless specifically required or permitted by statute, for any person, agency, bureau, corporation or association, including the state and any political subdivision thereof, to make any inquiry about, whether in any form of application or otherwise, or to act upon adversely to the individual involved, any arrest or criminal accusation of such individual not then pending against that individual which was followed by a termination of that criminal action or proceeding in favor of such individual, as defined in subdivision two of section 160.50 of the criminal procedure law, or by an order adjourning the criminal action in contemplation of dismissal, pursuant to section 170.55, 170.56, 210.46, 210.47, or 215.10 of the criminal procedure law, or by a youthful offender adjudication, as defined in subdivision one of section 720.35 of the criminal procedure law, or by a conviction for a violation sealed pursuant to section 160.55 of the criminal procedure law, or by a conviction which is sealed pursuant to section 160.58 or 160.59 of the criminal procedure law, in connection with the licensing, housing, employment, including volunteer positions, or providing of credit or insurance to such individual; provided, further, that no person shall be required to divulge information pertaining to any arrest or criminal accusation of such individual not then pending against that individual which was followed by a termination of that criminal action or proceeding in favor of such individual, as defined in subdivision two of section 160.50 of the criminal procedure law, or by an order adjourning the criminal action in contemplation of dismissal, pursuant to section 170.55 or 170.56, 210.46, 210.47 or 215.10 of the criminal procedure law, or by a youthful offender adjudication, as defined in subdivision one of section 720.35 of the criminal procedure law, or by a conviction for a violation sealed pursuant to section 160.55 of the criminal procedure law. An individual required or requested to provide information in violation of this subdivision may respond as if the arrest, criminal accusation, or disposition of such arrest or criminal accusation did not occur. The provisions of this subdivision shall not apply to the licensing activities of governmental bodies in relation to the regulation of guns, firearms and other deadly weapons or in relation to an application for employment as a police officer or peace officer as those terms are defined in subdivisions thirty-three and thirty-four of section 1.20 of the criminal procedure law; provided further that the provisions of this subdivision shall not apply to an application for employment or membership in any law enforcement agency, with respect to any arrest or criminal accusation which was followed by a youthful offender adjudication, as defined in subdivision one of section 720.35 of the criminal procedure law, or by a conviction for a violation sealed pursuant to section 160.55 of the criminal procedure law, or by a conviction which is sealed pursuant to section 160.58 or 160.59 of the criminal procedure law. For purposes of this subdivision, an action which has been adjourned in contemplation of dismissal, pursuant to section 170.55 or 170.56, 210.46, 210.47 or 215.10 of the criminal procedure law, shall not be considered a pending action, unless the order to adjourn in contemplation of dismissal is revoked and the case is restored to the calendar for further prosecution; [Executive Law 296 (b)]

Conviction charges may not be the same as the original arrest charges.

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Certificate #: U-00003022-F

Page 1 of 2



FLORIDA GAMING
QUEENS SUPREME CRIMINAL COURT

125-01 Queens Blvd., Kew Gardens, NY 11415
Fax: (718) 520-2354

FEE

Court ORI: NY0400151

The People of the State of New York
vs.
Robert Cotrone

Certificate of Disposition
Docket Number:
Legacy Docket Number: 00205-2003

NYSID: [REDACTED]

Defendant DOB: [REDACTED]

Arrest Date: 11/21/2002

Arraignment Date: 03/26/2003

THIS IS TO CERTIFY that the undersigned has examined the files of the Queens Supreme Criminal Court concerning the above entitled matter and finds the following:

| Number of Counts | Incident Date | Sentence Charge | Charge Description | Charge Weight | Conviction Type | Conviction/Sentence Date | Sentence Highlight |
|------------------|---------------|------------------|--|---------------|-----------------|--------------------------------------|---|
| 1 | 09/30/2002 | PL 176.20 | Insurance Fraud-3rd-> \$3000 | DF | Pled Guilty | Conv: 04/09/2003 Sent: 05/21/2003 | * Probation (5 Years, Restitution - \$6,317.00) |
| 1 | 09/30/2002 | PL 155.35 | Gr Larceny-3rd: Value > \$3000 | DF | Pled Guilty | Conv: 04/09/2003 Sent: 05/21/2003 | * No Surcharge * Probation (5 Years) |
| 1 | 09/30/2002 | PL 110-165/45 01 | Attempted Cosp-4th: Property Val >\$1000 | AM | Pled Guilty | Conv: 04/09/2003 Sent: 05/21/2003 | * Probation (3 Years) |
| 1 | 09/30/2002 | PL 145.05 | Criminal Mischief-3rd | EF | Pled Guilty | Conv: 04/09/2003 Sent: 05/21/2003 | * Probation (5 Years) |

All fines, fees & surcharges imposed at sentence are paid in full.

Charge Weight Key: I=Infraction; V=Violation; AM=Class Misdemeanor; UM=Unclassified Misdemeanor; AF, BF, C, DF, EF=Class Felony

Dated: January 18, 2024

Annex P. Heffer
Chief Clerk/Clerk of the Queens County Court

CAUTION: THIS DOCUMENT IS NOT OFFICIAL UNLESS EMBOSSED WITH THE COURT SEAL

Pursuant to Judiciary Law § 212(2)(c), a certificate of disposition for the public contains only records of convictions, if any, and information about pending cases. All marijuana convictions under PL 221.05, PL 221.10, PL 221.15, PL 221.20, PL 221.35 or PL 221.40 — including any appearing on this certificate of disposition — are vacated, dismissed, sealed, and expunged. It is an unlawful discriminatory practice for any entity to make any inquiry about such an expunged conviction or to use such an expunged conviction adversely against an individual in any form of application or otherwise — unless specifically required or permitted to do so by statute. It shall be an unlawful discriminatory practice, unless specifically required or permitted by statute, for any person, agency, bureau, corporation or association, including the state and any political subdivision thereof, to make any inquiry about, whether in any form of application or otherwise, or to act upon adversely to the individual involved, any arrest or criminal accusation of such individual not then pending against that individual which was followed by a termination of that criminal action or proceeding in favor of such individual, as defined in subdivision two of section 160.50 of the criminal procedure law, or by an order adjourning the criminal action in contemplation of dismissal, pursuant to section 170.55, 170.56, 210.46, 210.47, or 215.10 of the criminal procedure law, or by a youthful offender adjudication, as defined in subdivision one of section 720.35 of the criminal procedure law, or by a conviction for a violation sealed pursuant to section 160.55 of the criminal procedure law or by a conviction which is sealed pursuant to section 160.59 or 160.58 of the criminal procedure law, in connection with the licensing, housing, employment, including volunteer positions, or providing of credit or insurance to such individual, provided, further, that no person shall be required to divulge information pertaining to any arrest or criminal accusation of such individual not then pending against that individual which was followed by a termination of that criminal action or proceeding in favor of such individual, as defined in subdivision two of section 160.50 of the criminal procedure law, or by an order adjourning the criminal action in contemplation of dismissal, pursuant to section 170.55 or 170.56, 210.46, 210.47 or 215.10 of the criminal procedure law, or by a youthful offender adjudication, as defined in subdivision one of section 720.35 of the criminal procedure law, or by a conviction for a violation sealed pursuant to section 160.55 of the criminal procedure law, or by a conviction which is sealed pursuant to section 160.58 or 160.59 of the criminal procedure law. An individual required or requested to provide information in violation of this subdivision may respond as if the arrest, criminal accusation, or disposition of such arrest or criminal accusation did not occur. The provisions of this subdivision shall not apply to the licensing activities of governmental bodies in relation to the regulation of guns, firearms and other deadly weapons or in relation to an application for employment as a police officer or peace officer as those terms are defined in subdivisions thirty-three and thirty-four of section 1.20 of the criminal

EXHIBIT # 2
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2024 FEB 16 AM 11:20

FLORIDA GAMING
CONTROL COMMISSION

Karen A. Murphy, Esquire

February 14, 2024

VIA EXPRESS MAIL

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
4070 Esplanade Way, SUITE 250
Tallahassee, Florida 32399
ATTN: CLEARI

Re: Owner Robert A. Cotrone Individual Occupational License, Application No.
249093

Dear Cleari:

I represent Mr. Cotrone and write to follow up on our call of February 7, 2024.

In that regard, I have enclosed your letter of January 17, 2024; an updated and corrected page 3; the requested disposition documents; executed Request for Waiver; and an executed and notarized Release of Information form.

Mr. Cotrone previously provided you with his Criminal Disposition Information and Certificate of Good Standing from NYS Department of Corrections and Community Supervision as part of his original application, dated November 22, 2023, together with the application fee and completed fingerprints.

As we discussed, Mr. Cotrone is presently licensed, in good standing, in New York, Kentucky, and Maryland.

We look forward to the WAIVER interview and his anticipated licensure. My contact information for the Investigator is listed below.

Thank you for all your assistance in this matter.

Respectfully,

Karen A. Murphy, Esquire
76 Phelps Road
Old Chatham, New York 12136
Karenamurphyesq@aol.com
(518) 392-6471
(917) 825-7348 (cell)

Enclosure

Cc: Mr. Robert Cotrone

EXHIBIT # 2
PAGE # 17

JOHN MOIRANO
CERTIFIED PUBLIC ACCOUNTANT
27 LEONARD DRIVE
MASSAPEQUA, NEW YORK 11758
(516) 795-6870

To State Racing and Wagering Board
Licensing Division
New York, New York

To Whom It May Concern:

My name is John Moirano, CPA and I have been Robert Cotrone's accountant for the past 12 years. In that time I have seen Mr. Cotrone grow and mature both as a successful business owner and as an individual. His understanding of people's problems and what is needed from him has grown 10 fold over my time with him. That is why his business is one of the premier automobile repair shops in Queens, New York.

I have owned horses with Mr. Cotrone's mother. Mr. Cotrone is constantly by her side to lend support and to help his mother whenever needed. He has such great love and respect for the sport and the equine athletes that participate.

If you need to speak with me further you can reach me at the above number.

Thanking you in advance for your consideration on this matter.

Sincerely



John Moirano, CPA

EXHIBIT # 4
PAGE # 1

DIBENEDETTO
—L A W—

JOSEPH DIBENEDETTO
ADMITTED IN NY, NJ & IL

EDWARD J. DANZIG
MARIO E. DIBONSI
ET AL. (OPPOSITE)

July 6, 2022

New York State Gaming Commission
PO Box 7500
Schenectady, New York 12301-7500

Re: **Character Letter**
Robert Cotrone

DEAR SIR OR MADAM:

I am writing this letter in support of Robert Cotrone. My name is Joseph DiBenedetto, I am a practicing lawyer for over twenty years. I have represented persons from all walks of life including but not limited to doctors, fellow lawyers, police officers and executives

Given this exposure to many different people, I consider myself to be a good judge of character and I can say without reservation that Robert is truly a success story. He has turned his life around and is leading a positive and productive lifestyle each day.

I have known Robert Cotrone for well over twenty years. I consider Robert a good friend. I have had numerous conversations with Robert over the years regarding his past conduct, the level of remorse that he feels and his efforts to make up for his mistakes each day. He is sincere and contrite. Robert has focused his life on becoming a successful business owner within the autobody industry. He works hard 6 days a week. Robert has a big heart and supports many causes and people in need. Robert also has a deep love and passion for horses.

I ask that you consider Robert's entire life story and the positive impact he has on society daily when determining his eligibility for a New York State Racing License.

Very truly yours,

Joseph DiBenedetto

**New York Racing Association
Personal Recommendation for Licensure**

To Whom It May Concern,

I am pleased to write this letter of recommendation for Robert Cotrone, as he is applying for a license to own a race horse. I have known Robert for over twenty years and have used his auto body services for that time as well. Robert is the true definition of a gentleman. He is kind, considerate, always thinking of others and goes out of his way to accommodate his customers. Inevitably, his customers become his friends. He is an honest businessman and I have never have any complaints. I have recommended his services to many of my friends and they have been extremely satisfied and recommended him to their friends!

Since I have known him, he has been passionate about racing. There is no doubt in my mind, he would be an asset to the racing community as an owner and a member of the New York Racing Association. I sincerely hope you grant him this license as he is deserving. Please feel free to contact me for any further information.

Sincerely,
Lynn Rapkiewicz
917-623-2949
Lynn.rapkiewicz@gmail.com

EXHIBIT # 4
PAGE # 3

To whom it may concern

Robert Cotrone would be welcome addition as an owner to New York Racing. My husband Michael Imperio and I have been friends with Mr Cotrone for the past few years and he is loyal and steadfast friend. Mr Cotrone has been a generous donor to not only Belmont Child Care of which i serve as president but to all of the backstretch. He is someone who truly understands how difficult the workers of the backstretch lives are and is always willing to contribute when asked to help make their lives better. I believe Mr Cotrone would be an excellent New York owner.

Sincerely,
Elizabeth Loftus Imperio

EXHIBIT #
PAGE #

4
4

To whom it may concern,

It is with great pleasure that I inform you that I believe Robert Cotrone would be an impeccable addition to the New York Racing association's group of owners. I have had the pleasure of being a friend of Mr. Cotrone's over the past five years. Over that time, I have witnessed an excess of loyalty, generosity, and passion for the sport.

Mr. Cotrone is a man of philanthropy and integrity. Not only when it pertains to the New York Racing Association, but multiple other facets of his life. The New York Racing Association would be fortunate to welcome an owner such as Mr. Cotrone to this amazing sport.

Best,
Michael Imperio

EXHIBIT # 4
PAGE # 5

Matthew Mercurio
68 Calvin Street
Sewaren, NJ 07077

July 4, 2021

New York Gaming Commission
One Broadway Center
Schenectady, New York 12303-7500

To Whom It May Concern,

I have known Robert Catrone for approximately four years. We were introduced through a mutual friend, Rudy Rodriguez. In the time that I know Mr. Catrone, he has always been courteous and respectful. He also has been transparent about his past transgressions. Based upon my interactions with Mr. Catrone I believe he would be a good candidate to obtain an owner's license with the New York State Gaming Commission.

Respectfully Submitted,

Matthew Mercurio

Matthew Mercurio

EXHIBIT # *d*
PAGE # *8*

Rudy Rodriguez
135 Munson Ave
West Hempstead, NY
11552
RR35@optonline.net
(516) 410-2095
July 7, 2022

To whom it may concern:

I Rudy Rodriguez a Trainer at NYRA am recommending Robert Cotrone to be a Licensed Owner. My relationship with this person is friendly and business casual. I have known Mr. Cotrone for many years and feel that he would be an asset to the sport, and as a client for my business.

I am recommending this person because he has spent a great amount of time and money giving back to the industry. Whether it be watching his family's horses run, attending horse auctions and accompanying me at the farms, or simply betting the races. Mr. Cotrone dearly enjoys the sport and would like to become more involved personally.

I strongly recommend and believe that Mr. Cotrone will be a great Owner in the industry. If any questions feel free to contact me at the information stated above.

Sincerely,



Rudy Rodriguez
Horse Trainer at NYRA

EXHIBIT #
PAGE #

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Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

February 20, 2024

Karen A Murphy, Esquire

C/O Robert A Cotrone

76 Phelps Road
Old Chatham, New York 12136

RE: Application No. 249093, Entity 13831124
1021 - PMW Professional Individual Occupational

Dear Ms. Murphy:

We have received the additional information you submitted, however, we are still unable to complete the processing of the application. The item(s) checked below is either missing or requires correction before the processing of the application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. Please initial and date any changes made to your application.
- Note: Please fill out every section from top to bottom of the application.

You must list the information on your application for the following charges:

- Queens County, New York – 04/14/1983, 05/09/1983, 12/21/1986, 08/12/1987, 10/12/1995, 06/27/1996, 11/21/2002
- Nassau County, New York – 07/30/1985
- Kings County, New York- 11/09/2004

You must provide arrest reports for the following charges:

- Queens County, New York- 04/14/1983, 05/09/1983, 6/27/1996, 11/21/2002.

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of 3 of the application. You must complete the 'Type of Occupational License Applying for' section to show what kind of racing/gaming license you wish to get and the 'Facility where employed and/or doing business' section to show where you will be using your license in Florida. **Please initial and date any changes made to your application.**

DIVISION OF PARI-MUTUEL WAGERING
4070 ESPLANADE WAY, SUITE 250
TALLAHASSEE, FL 32399
FLGAMING.GOV

EXHIBIT # 5
PAGE # 1

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/13/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided below, attention Office of Operations. If you have any questions, please call 850.488.3211.

Thank you,

CM
Operations Analyst II

EXHIBIT # 5
PAGE # 2



Florida Gaming Control Commission

JULIE L. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'ADUILA, COMMISSIONER
TINA REFF, COMMISSIONER

January 17, 2024

Mr. Robert A Cotrone
890 Ivy Hill Road
Woodmere, New York 11598

RE: Application No. 249093, Entity 13831124
1021 - PMW Professional Individual Occupational

Dear Mr. Cotrone:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Queens County, New York – 04/14/1983, 05/09/1983, 12/21/1986, 08/12/1987, 10/12/1995, 06/27/1996, 11/21/2002
- Nassau County, New York – 07/30/1985
- Kings County, New York- 11/09/2004

You must provide court disposition record for the following charge:

- Queens County, New York- 06/27/1996.

You must provide arrest reports for the following charges:

- Queens County, New York- 04/14/1983, 05/09/1983, 6/27/1996, 11/21/2002.

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an



Florida Gaming Control Commission

JULIE L. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AGUIA, COMMISSIONER
TINA REPP, COMMISSIONER

outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/13/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided below, attention Office of Operations. If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you.

CM
Operations Analyst II

EXHIBIT # 5
PAGE # 4



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

December 15, 2023

Mr. Robert A Cotrone
890 Ivy Hill Road
Woodmere, New York 11598

RE: Application No. 249093, Entity 13831124
1021 - PMW Professional Individual Occupational

Dear Mr. Cotrone:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.
- You must list the information on your application for the following charges:
 - Queens County, New York – 04/14/1983, 05/09/1983, 12/21/1986, 08/12/1987, 10/12/1995, 06/27/1996, 11/21/2002
 - Nassau County, New York – 07/30/1985
 - Kings County, New York- 11/09/2004
- You must provide the court disposition records for the following charges:
 - Queens County, New York – 04/14/1983, 05/09/1983, 08/12/1987, 06/27/1996, 11/21/2002

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/13/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided below, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

CM
Operations Analyst II

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: COTRONE, ROBERT A-13831124
(APPLICANT'S NAME- LICENSE #)

Case No: _____

| | |
|--------------------------------|------------------|
| INITIAL APPLICATION RECEIVED: | 11/30/2023 |
| COMPLETE APPLICATION RECEIVED: | 3/15/2024 |
| 90-DAY DEADLINE: | 6/13/2024 |

Jonathan Dye
Application Processor

Gulfstream Park-321
Facility (d/b/a name)

1021-Individual Animal Owner
Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

| Licensing Administrator Review | | | | | |
|---|-------------------|---|-------|-----------------------------|---|
| Conviction | | | | | |
| Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |
| <input checked="" type="checkbox"/> Felony: 8 Count(s) | | | | | |
| <input checked="" type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking | | | | | |
| <input type="checkbox"/> Animal Cruelty | | | | | |
| <input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only) | | | | | |
| Comments: | | | | | |
| Arrest Date | Location | Charge | Level | Court Case # | Disposition |
| 05/09/1983 | Queens County, NY | Robbery-2 nd :Aided by Another | F | 01916-83 8694389k | Convicted |
| 08/12/1987 | Queens County, NY | Criminal Sale of Controlled Substance-3 rd : Narcotic | F | 03874-87 11013764Q | Convicted |
| 08/12/1987 | Queens County, NY | Assault -2 nd Degree | F | 05379-87 11013740K | Convicted |
| 11/21/2002 | Queens County, NY | Criminal Mischief-3 rd Degree | F | 00205-2003 56283604M | Convicted |
| 11/21/2002 | Queens County, NY | Grand Larceny-3 rd : Property Value Exceeds \$3,000 | F | 00205-2003 56283604M | Convicted |
| 11/21/2002 | Queens County, NY | Insurance Fraud-3 rd : Property Value Exceeds \$3,000 | F | 00205-2003 56283604M | Convicted |
| 11/09/2004 | Queens County, NY | Falsify Business Records: Omit True Entry | M | 06280-2004 57216348Q | Convicted |
| 02/16/2005 | Queens County, NY | Offering A False Instrument To File -1 st Degree | F | SCI-00971-2005 57345433K | Convicted |
| Disposition Unknown | | | | | |
| <input checked="" type="checkbox"/> Felony Arrest(s) : 1 Arrest, 4 Charges | | | | | |
| <input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking | | | | | |
| <input type="checkbox"/> Animal Cruelty | | | | | |
| Comments: | | | | | |
| 06/27/1996 | Queens County, NY | Grand Larceny 3 rd ; Insurance Fraud 3 rd ; Grand Larceny 4 th ; Insurance Fraud 4 th | F | | |
| | | | | | EXHIBIT # <u>5</u> PAGE # <u>7</u> |

Enforcement/Jurisdiction Offenses

Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes No **If yes, complete sections below.**

Business License Number:

Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued:

Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations Legal Director

Initials: *GS*

Comments:

| | | | | | | | | | |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|

| | | | |
|--------------------------------|-------------------------------|---|---|
| License Search | Entity Search | Modify License Standing | Maintain License CE Control |
|--------------------------------|-------------------------------|---|---|

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jhodge**

[VR Home](#) > [License Search](#) > [License Home](#)

| | | | |
|--------------------------|---|--|------------------------|
| License | | <input type="checkbox"/> Licensee <input type="checkbox"/> History <input type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back | |
| Fed Tax # [REDACTED] | Lic Type | 1021 - PMW Professional Individual Occupational | Expires On |
| File # 73581 | Name | COTRONE, ROBERT A | Extended To |
| License # | Rank | | Renewed On |
| Entity # 13831124 | Lic Status | Applicant | |
| Address | | | |
| Street # 890 | Street IVY HILL ROAD | | |
| Line 2 | | | |
| Line 3 | | | |
| City WOODMERE | State NY | Zip 11598 | |
| Routing | | | |
| Other | | | |
| 1st License Date | Rank Date | Certificate # | |
| Method -- | Status Date | Certificate Date | |
| Fee Exempt No | Birth Date [REDACTED] | Renewal Sent | |
| Select | | | |
| Action | <input type="text"/> <input type="button" value="👁️"/> <input type="button" value="📁"/> | | |
| Modifiers | | | |
| Type | Modifier | Effective Date | Additional Info |
| No items found. | | | |
| Alt Keys | | | |
| BEST LIC NBR | | | |

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EXHIBIT # 5
PAGE # 9

[FAQ](#) | [Help](#) | [Sign Out](#)

[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[Complaint Search Update](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jhodge**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|--|--------------------------|-------------------------------|--------------------------|---------------------|
| Lic Type | 1021 - Pari-Mutuel Wagering Individual Occupational | Status | 20 Under Investigation | Status Date | 03/27/2024 |
| Complaint # | 2024018224 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | COTRONE, ROBERT A | Responsible | Vila - VILA, LISA | Private Case |

[Complaint](#) | [Respondent](#) | [Complainant](#) | [Add'l Info](#)

| | | | | | | | |
|-----------|--|----------------|--------------------|-------------------------------------|-------------------------------|-------------------------------------|------------------------------|
| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities |
| Form | WALK - Walk-in | Priority | 1 | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| Class'n | IIIB - Waivers | Complexity | R - Regular | <input checked="" type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| Security | STND - Standard | Incident | 11/30/2023 | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| Region | SR - Southern Region | Received | 03/26/2024 | <input type="checkbox"/> | Inspection | | |
| Reference | | | | <input type="checkbox"/> | Costs | | |
| Entered | 03/27/2024 | Entered By | jhodge | <input type="checkbox"/> | Time Tracking | <input type="checkbox"/> | Auto Assign |
| Summary | 321 - GULFSTREAM PARK RACING ASSOCIATION, INC | | | <input type="checkbox"/> | Attachments | <input type="checkbox"/> | History |
| Updated | 03/27/2024 15:46:54 | By | jhodge | <input type="checkbox"/> | Work Notes | <input type="checkbox"/> | Print Report |

[Change](#) | [Save](#) | [OK](#) | [Cancel](#) | [Back](#)

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EXHIBIT # 5
PAGE # 10

Comprehensive Ruling Report
Comprehensive Licensee Report

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

EXHIBIT # 5
PAGE # 12

RECEIVED

2023 NOV 30 PM 12:43

FLYING
CONTROL COMMISSION

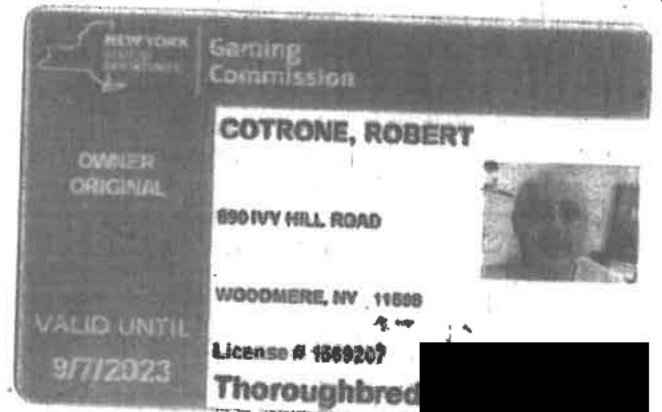
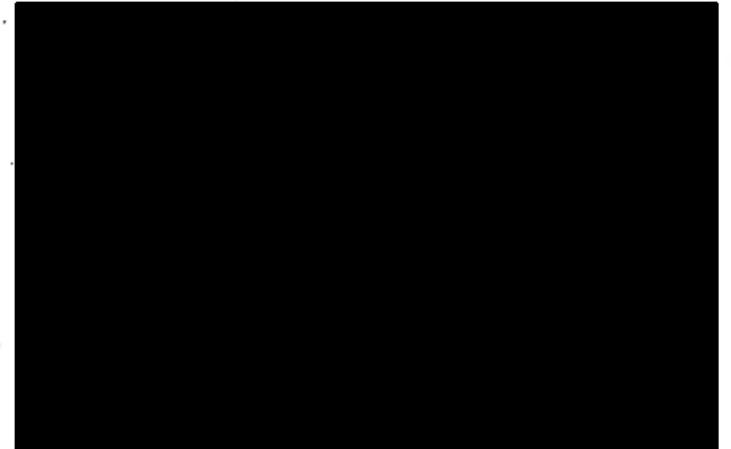
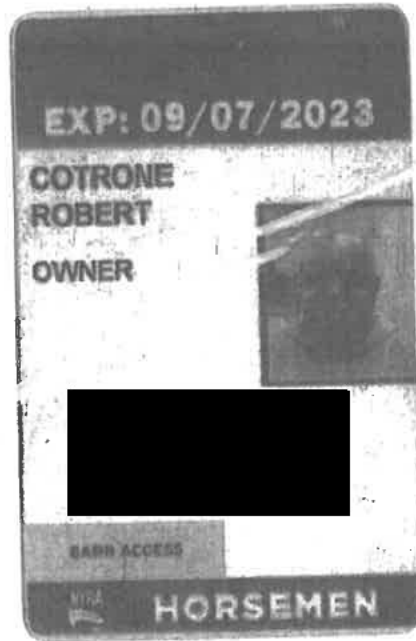
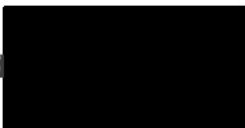



EXHIBIT # 5
PAGE # 13

NEW YORK STATE OF GOVERNMENT
Gaming Commission
OWNER
COTRONE, ROBERT
880 IVY HILL ROAD
WOODMERE, NY 11586
License # 1585128
Thoroughbred



NYSA
BARN ACCESS
HORSEMEN



OWNER
COTRONE, ROBERT

NEW YORK STATE OF GOVERNMENT
Gaming Commission
EXP: 09/07/2025

These are my license for New York
& I'm also licensed for Kentucky and Maryland

RECEIVED

2023 NOV 30 PM 12:43

FLORIDA GAMING
CONTROL COMMISSION

EXHIBIT # 5
PAGE # 14

https://www.qchron.com/news/south/71-arrested-caught-in-nypd-1m-auto-insurance-fraud-sting/article_172bb5db-d07e-5e62-8581-b315f44da4e1.html

71 Arrested; Caught In NYPD \$1M Auto Insurance Fraud Sting

by Kathleen Louis

Nov 28, 2002



A borough-wide network of car thieves, chop shops, towing companies and auto insurance fraud perpetrators was brought to its knees last Thursday when undercover police busted 71 people in Queens.

Aimed at putting a stop to insurance fraud, police set up a fake wrecking garage, Able Towing, at 137-25 79th Street in Howard Beach. They spread the word that, for as little as a few hundred dollars, car owners could get rid of their vehicles, no questions asked.

The sting operation was put in place 16 months ago to catch people falsely reporting their cars as stolen in order to collect insurance settlements. However, the undercover investigation revealed a number of activities that not only scammed insurance companies, but the city as well.

It resulted in the arrest and charging of 29 car owners and 42 other people and the recovery of 43 vehicles with a total market value of about \$1 million.

At a press conference last week, Police Commissioner Ray Kelly explained one elaborate set-up crooks used to defraud both the city and insurance companies.

The city has contracts with towing companies that are responsible for picking up illegally parked or abandoned cars on a rotating basis. When towing operation owners knew it was their turn on the city's rotation, they would allegedly have a car stolen, strip it of parts and leave it abandoned on a street.

Then the tow operators would call 911 to report the abandoned vehicle, knowing it was their turn to be called by the city to pick it up. The tow companies, in cahoots with auto body shops, would then put the car back together. The insurance carrier of the owner from whom the car was stolen would reportedly be charged for the parts and repairs.

Those allegedly involved in the towing rotation scam were: Domenick Salvato and Benami Freier of Bay Towing in Ozone Park; Charles Barbitta of CBS Towing in Ozone Park; Robert Cotrone of Bam's Towing in Ozone Park; Thomas Endrizzi of Riccochet Collision in Queens Village; Donald Wu of Legend Towing and John Davis of Pan Am Towing in Flushing. Antonio Provissiero, of Provissiero Brothers collision shop in Ozone Park, was also named by police as being involved in the auto insurance and towing fraud ring.

Illegal transactions at the Able Towing sting operation in Howard Beach were caught on audio and video tapes with eavesdropping warrants. Kelly said some of those arrested or still being sought allegedly have ties to the Gambino and Lucese crime families. Some complaints are still being investigated by the city's Department of Consumer Affairs.

The ring leader of those found to be dealing in stolen car parts was allegedly Craig Persico. Manhharran Jaikarran of R & E Auto Body in Springfield Gardens was also named, as well as Riccochet Collision, Legend Towing and Pan Am Towing.

Assistant District Attorney Andrew Kaufman said a separate illegal operation uncovered by the Howard Beach Able Towing sting entailed removing good Vehicle Identification Number plates from salvaged cars and putting them on stolen or rebuilt vehicles.

Alleged ringleaders of that scheme are Angel Valoy and Henry Cabrera, working out of Autumn Auto Body and Intake Auto Sports.

Connected with the alleged insurance fraud and stolen car ring was Brian Finkel, who is linked with Bay Towing and various auto body shops in Willets Point, Flushing. Carl Mercuri was named as a middle man.

Those accused of stealing cars include Adam Permin, Derek Martinez, Michael Brady, Larry Antonnellis, Paulo Candelario, Joel Collado, Jose Nunez, David Espino, Maximo Ciprian, Orvil Vasquez, Jose Tineo and Alexis DeJesus.

At the press conference, Brown said defendants have been charged with various crimes, including grand larceny and insurance fraud and face up to seven years in prison if convicted.

“In the past 10 years, we have aggressively targeted auto theft. There has been an 82 percent reduction in the borough’s reported incidence,” Brown said. “But your insurance rates are still high because of fraud.”

Gregory Serio, the state’s insurance superintendent, said fake accidents, phony repairs and medical fraud combined to “rip off all New Yorkers by increasing insurance premiums.”

Among car owners who allegedly falsified police reports and insurance claims is Michael Coticchio, 32, of 161st Avenue in Howard Beach. A financial advisor for a bank in Manhattan, Coticchio allegedly transferred his car to the fraud ring before reporting the 1999 Mercedes-Benz stolen.

Detectives found the car at Riccochet Collision World, 215-26 Jamaica Avenue, where its front end was being attached to the rear of another 1999 Mercedes. Based on Coticchio’s allegedly false claim, State Farm Insurance paid a settlement of \$33,603 to him and his finance company.

Another car owner accused of defrauding his insurance carrier in a similar way is Joseph Farrell, 32, a firefighter assigned to Ladder 130 in College Point.

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Treasa Rosezette Holmes; Case No. 2024-021088
Date: June 11, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the “Division”) seeks to deny the application of Treasa Rosezette Holmes (the “Applicant”) for a Pari-Mutuel Professional Individual Occupational License (the “Application”). The Applicant submitted a completed application for a Pari-Mutuel Professional Individual Occupational License. The Applicant also submitted a request for waiver of the restrictions excluding offenders with disqualifying offenses (“Request for Waiver”) on or about December 12, 2023.

Upon review of the Application, it appears the Applicant has been convicted of a felony offense in Ohio. Although the Applicant submitted the Request for Waiver, she failed to participate in the waiver interview. The Executive Director of the Commission reviewed the file and declined the Request for Waiver. Therefore, the Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On or about December 12, 2023, the Applicant submitted an Application for a Pari-Mutuel Professional Individual Occupational License and a Request for Waiver. She disclosed a 2007 felony conviction in Hamilton County, Ohio on the Application. The Division issued a deficiency letter to the Applicant on December 22, 2023, requesting that she provide information relating to a 2016 arrest in Brown County, Ohio. Subsequently, the Division received a completed Application.

Upon review of the Application, it appears that on August 19, 2008, the Applicant was convicted of the following felony offense in Hamilton County, Ohio:

- Theft

This conviction is a disqualifying offense pursuant to section 550.105(5)(b), Florida Statutes. Although the Applicant submitted a Request for Waiver on or about December 12, 2023, she did not respond to the Division’s repeated requests to setup

a waiver interview. The Division investigator documented the Applicant's failure to complete the waiver process in a report submitted to the Executive Director of the Commission for consideration.

On June 11, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined the Applicant's Request for Waiver.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides that:

[t]he commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

. . . the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

"[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission."

Furthermore, rule 75-5.006(1), Florida Administrative Code, provides that any applicant for an occupational license who is subject to denial on the basis of a criminal conviction shall "schedule a waiver interview with the Office of

Investigations. Failure to participate in a waiver interview . . . shall result in a denial of the request for waiver.”

Staff Recommendation: The Florida Gaming Control Commission may deny or declare Applicant ineligible for any license upon finding of a felony criminal conviction under section 550.105(5), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Treasa Rosezette Holmes in this matter.

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

[Complaint Search Update](#) |
 [Change Recording License Type](#) |
 [Delete Complaint](#) |
 [Mass Activity Update](#) |
 [Mass Discipline](#) |
 [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|--|-------------|---------------------------------|---------------------|-------------------|
| Lic Type | 1021 - Pari-Mutuel Wagering Individual Occupational | Status | 90 Closed | Status Date | 05/15/2024 |
| Complaint # | 2024021088 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent HOLMES, TREASA ROSEZETTE | Responsible | bjones - JONES, BRADFORD | Private Case | |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | | | |
|-----------|-----------------------------------|----------------|--------------------|-------------------------------------|---------------|-------------------------------------|--------------|
| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities |
| Form | WALK - Walk-in | Priority | 1 | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| Class'n | IIIB - Waivers | Complexity | R - Regular | <input checked="" type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| Security | STND - Standard | Incident | 12/12/2023 | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| Region | CR - Central Region | Received | 04/10/2024 | <input type="checkbox"/> | Inspection | | |
| Reference | | | | <input type="checkbox"/> | Costs | | |
| Entered | 04/10/2024 | Entered By | cstubbs1 | <input type="checkbox"/> | Time Tracking | | Auto Assign |
| Summary | 320 - TAMPA BAY DOWNS, INC | | | <input type="checkbox"/> | Attachments | | History |
| Updated | 05/15/2024 14:09:43 | By | cstubbs1 | <input type="checkbox"/> | Work Notes | | Print Report |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

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ROUTING SLIP REQUEST FOR WAIVER

RE: HOLMES, TREASA ROSEZETTE – 13842379
(APPLICANT'S NAME – LICENSE #)

Case No: 2024 02 1088

1021 – Jockey Agent
Occupation Code and Job Title

320 – Tampa Bay Downs
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

JULY 2, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones

[Signature] 5/15/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: CA 05/29/2024
(Initial & Date)

[Signature] 6/3/24
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? (waiver applicant failed to participate in waiver process)

Executive Director:

LT 6/11/24
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: No Interview

Investigative Findings:

April 24, 2008

Hamilton County Sheriff's Office, OH. – Theft (5th degree) – Felony – Pled Guilty – Convicted on August 19, 2008 - Sentenced to Community Control for 5 years, Complete NCTI Theft School, and pay \$30,000 in restitution to Kroger Company. - On February 2, 2010, HOLMES was found guilty of a Community Control violation, however her Community Control was restored, and she was allowed to continue with her 5-year sentence. - On July 13, 2012, Community Control was terminated, all fines have been paid.

*Please attach Routing Slip to front of case file

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

| | | | |
|---|---------------------------|--|-------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: APRIL 10, 2024 | Case Number: 2024 02 1088 |
| Respondent: HOLMES, TREASA ROSEZETTE a.k.a. BURTON, TREASA R. 11965 SOUTHWEST 147TH AVENUE DUNNELLON, FLORIDA 34432 Phone: (352) 362-6330 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # / Type: 13842379 / 1021 | | Profession: JOCKEY AGENT | Report Date: May 13, 2024 |
| Period of Investigation: APRIL 10, 2024 – MAY 13, 2024 | | Type of Report: Final | |
| <p>Basis of Investigation: This investigation is based upon the submission of Treasa R. HOLMES' State of Florida Pari-Mutuel Wagering Occupational License Application and Waiver Request Form dated December 9, 2023.</p> <p>On December 9, 2023, HOLMES applied for a PMW Professional Individual Occupational license (1021) to be licensed as a Jockey Agent at Tampa Bay Downs (TBD). On her application, she answered "Yes" to the question "Have you ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges against you". HOLMES disclosed a 2007 Felony conviction in Hamilton County, Ohio for Theft.</p> <p>Upon receipt of HOLMES' FDLE Criminal History Report, PMW Licensing sent her a Deficiency Letter on December 22, 2023, requesting that she list, an April 7, 2016, Brown County, Ohio offense on her application and provide court disposition records for an April 24, 2008, Hamilton County, Ohio offense.</p> <p>On April 3, 2024, HOLMES amended her original application to include a 2016 Misdemeanor offense in Brown, County, Ohio for Failure to Appear (FTA) relating to a Child Support case.</p> | | | |
| Related Case: | | | |
| Investigations Specialist II / Date <i>/s/ Lee Ann Rounds</i> Lee Ann Rounds / May 13, 2024 | | Approved by Investigator Supervisor / Date <i>C. Derek Washington</i> <i>/s/</i> C. Derek Washington / May 14, 2024 | |
| Chief of Investigations / Date <i>/s/ Bradford D. Jones</i> Bradford D. Jones / May 15, 2024 | | | |

CONTINUATION

CRIMINAL HISTORY

| Arrest 1 | | | | | |
|---|----------------|--|-------------|-----------------|--|
| Date of Arrest: 04/24/2008 | | Arresting Agency: Hamilton County Sherriff's Office, OH. | | | |
| OFFENSE | | | | | |
| Charge(s): | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Theft (Amended) Reduced from a 4 th degree to 5 th degree | Felony | Guilty | Convicted | 08/19/2008 | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|---|
| Community Control for 5 years, Complete NCTI Theft School, and pay \$30,000 in restitution to Kroger Company. |

Additional Information: On February 2, 2010, **HOLMES** was found guilty of a Community Control violation, however her Community Control was restored, and she was allowed to continue with her 5-year sentence. On July 13, 2012, Community Control was terminated, and all fines have been paid.

| Arrest 2 | | | | | |
|------------------------|----------------|--------------------------|-------------|-----------------|--|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| Charge(s): | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|----------|
| |

Additional Information:

CONTINUATION

CRIMINAL HISTORY

| Arrest 3 | | | | | |
|-----------------|----------------|-------------------|-------------|-----------------|--|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| Charge(s): | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|----------|
| |

| |
|-------------------------|
| Additional Information: |
| |

| Arrest 4 | | | | | |
|-----------------|----------------|-------------------|-------------|-----------------|--|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| Charge(s): | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|----------|
| |

| |
|-------------------------|
| Additional Information: |
| |

CONTINUATION

ADDITIONAL LICENSES

| | | |
|--|------------|-----------|
| | YES | NO |
| Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License? | | X |
| Does the Applicant possess an Occupational License from other jurisdictions? | | X |

| | | | |
|---|------------------|------------|-------------------------|
| 1. License Type: | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: |
| | | | YES |
| | | | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

| | | | |
|---|------------------|------------|-------------------------|
| 2. License Type: | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: |
| | | | YES |
| | | | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

| | | | |
|---|-------------------|------------|-------------------------|
| 3. License Type: | | | |
| Date Licensed: | Expiration Dated: | License #: | Agency or Jurisdiction: |
| | | | YES |
| | | | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

| | | | |
|---|-------------------|------------|-------------------------|
| 4. License Type: | | | |
| Date Licensed: | Expiration Dated: | License #: | Agency or Jurisdiction: |
| | | | YES |
| | | | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

CONTINUATION

WAIVER INTERVIEW

| | | |
|-----------------------------------|-------------------------------|-----------|
| | YES | NO |
| Was a Waiver Interview Conducted? | | X |
| Date of Interview: N/A | Location of Interview: N/A | |
| | YES | NO |
| Was the applicant cooperative? | | |
| Additional Comments: | | |

SUMMARY OF INTERVIEW:

HOLMES' did not complete a waiver interview. I attempted to contact her by telephone on the following occasions:

- On 4/12/2024, at 9:24 AM, spoke with **HOLMES** to set-up interview.
- On 4/15/2024, at 7:33 AM, **HOLMES** called to cancel.
- On 4/19/2024, at 1:13 PM, called to reschedule interview, no answer, unable to leave voicemail.
- On 4/22/2024, called that morning at 9:10 AM and in the afternoon at 3:14 PM, got a recording stating wireless customer is not available.
- On 4/25/2024, at 8:51 AM, called and got a recording stating wireless customer is not available.
- On 5/06/2024, 8:30 AM, called and got a recording stating wireless customer is not available.

On April 29, 2024, a letter was sent via USPS to **HOLMES** requesting that she contact me to setup her waiver interview.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **HOLMES**.

As of this date, **HOLMES** has not responded to my attempts to contact her for her waiver interview. Therefore, this case will be closed because the applicant failed to complete the waiver process.

Case Status: Investigations case closed; case sent to Licensing.

CONTINUATION

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II. INVESTIGATIVE REPORT

III. EXHIBITS

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 2. Legal Documents 1-10

 3. Rap Sheet 1-7

 4. Supporting Docs: ARCI / Def / Contact Letter. 1-6



RECEIVED

2024 APR -5 PM 2:21 2023 DEC 13 PM 2:31

FLORIDA GAMING CONTROL COMMISSION

RECEIVED

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or...

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|----------|-------|---------------|------------------------|-------------|
| 10/03/2007 | Hamilton | OH | theft | Felony 5 | Amended |
| 4-7-16 | Brown | OH | Child Support | | Expungement |

420
4:324

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.066 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Jessica R. Holmes
Signature of Applicant

12/9/23
Date



| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [License Search](#) > [License Home](#)

| License Fed Tax # [REDACTED] Lic Type 1021 - PMW Professional Individual Occupational Expires On File # 73664 Name HOLMES, TREASA ROSEZETTE Extended To License # Rank Renewed On Entity # 13842379 Lic Status Applicant | | <input type="checkbox"/> Licensee <input type="checkbox"/> History <input type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back | | | | | | | | |
|--|----------|--|-----------------|----------|----------------|-----------------|-----------------|--|--|--|
| Address Street # 11965 Street SW 147TH AVE Line 2 Line 3 City DUNNELLON State FL Zip 34432 Routing | | | | | | | | | | |
| Other 1st License Date Rank Date Certificate # Method - - Status Date Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent | | | | | | | | | | |
| Select Action <input type="text"/>   | | | | | | | | | | |
| Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table> | | | Type | Modifier | Effective Date | Additional Info | No items found. | | | |
| Type | Modifier | Effective Date | Additional Info | | | | | | | |
| No items found. | | | | | | | | | | |
| Alt Keys BEST LIC NBR | | | | | | | | | | |

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EXHIBIT #1
PAGE 6/6

THE STATE OF OHIO, HAMILTON COUNTY

COURT OF COMMON PLEAS

STATE OF OHIO

Plaintiff

Case No. B 0707702

-vs-

TREASA R BURTON

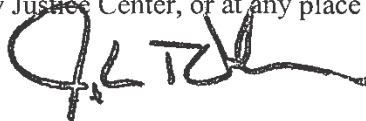
Defendant

PROSECUTING ATTORNEY'S REQUEST
FOR ISSUANCE OF WARRANT
UPON INDICTMENT

TO THE CLERK OF THE COURT OF COMMON PLEAS:

TREASA R BURTON has been named a defendant in an indictment returned by the Grand Jury.

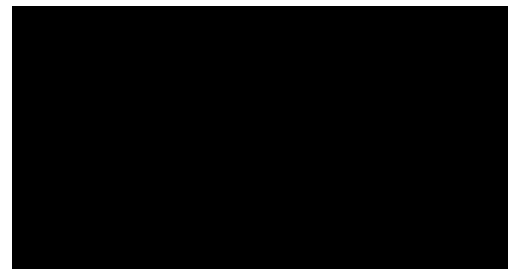
Pursuant to Rule 9, Ohio Rules of Criminal Procedure, the undersigned requests that you or a Deputy Clerk forthwith issue a warrant to an appropriate officer and direct him to execute it upon the above-named defendant at the following address: Hamilton County Justice Center, or at any place within this State.



Joseph T. Deters
Prosecuting Attorney
Hamilton County, Ohio

By: 

Assistant Prosecuting Attorney



THE STATE OF OHIO, HAMILTON COUNTY
COURT OF COMMON PLEAS

THE STATE OF OHIO

Case No. B 0707702

HAMILTON COUNTY, ss:

INDICTMENT FOR:

CT1: Theft 2913.02(A)(3)[F4]

In the Court of Common Pleas, Hamilton County, Ohio, of the Grand Jury Term Two Thousand and Seven.

The Grand Jurors of the County of Hamilton, in the name and by authority of the State of Ohio, upon their oaths do find and present that **TREASA R BURTON, from the 1ST day of February, Two Thousand and Four to the 26TH day of June, Two Thousand and Five** at the County of Hamilton and State of Ohio aforesaid, **with purpose to deprive the owner of certain property or services worth \$5000 or more, to wit: UNITED STATES CURRENCY belonging to THE KROGER COMPANY, knowingly obtained or exerted control over such property or services by deception,** in violation of Section 2913.02(A)(3) of the Ohio Revised Code and against the peace and dignity of the State of Ohio.




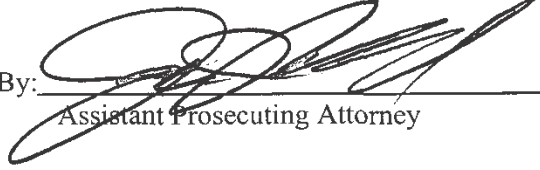
Joseph T. Deters
Prosecuting Attorney
Hamilton County, Ohio

Reported and filed this

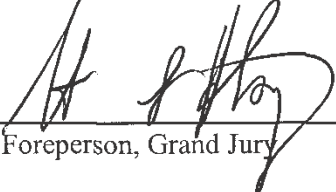
3 day of 10, A.D. 2007

By: **Gregory Hartmann**
Clerk of Hamilton County
Common Pleas

By: 
Deputy

By: 
Assistant Prosecuting Attorney

A TRUE BILL

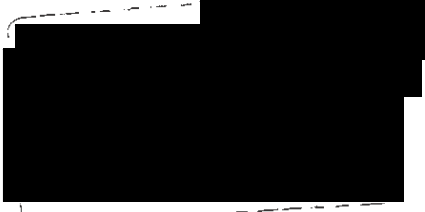
By: 
Foreperson, Grand Jury

GUILTY PLEA

ENTERED
AUG 19 2008

COURT OF COMMON PLEAS
HAMILTON COUNTY, OHIO
CRIMINAL DIVISION

THE STATE OF OHIO
Plaintiff



CASE No. B0707702

-vs-

JUDGE DENNIS S. HELMICK

Dennis S. Helmick 8/19/08

TREASA BURTON
Defendant

:
:
: ENTRY WITHDRAWING PLEA OF
NOT GUILTY AND ENTERING
PLEA OF GUILTY

I, TREASA BURTON, the defendant in the above cause, hereby freely and voluntarily withdraw my former plea of NOT GUILTY and enter a plea of GUILTY to the offense(s) of:

| Count Number | Name of Offense/ O.R.C. Section | Degree | Potential Sentence Range (Years/Months) | Mandatory Prison Term | Maximum Fine (Amount) |
|--------------|---------------------------------|--------|---|-----------------------|-----------------------|
| 1 | THEFT / 2913.02(A) | F5 | 6, 7, 8, 9, 10, 11, 12 mos | — | \$ 2,500.00 |
| | | | | | \$ |
| | | | | | \$ |
| | | | | | \$ |

I understand the maximum penalty as set out above, and any mandatory prison term during which I am NOT eligible for judicial release. I understand the maximum fine possible, of which \$ _____ is mandatory. I understand that restitution, other financial costs and driver's license suspension are possible in my case. If I am currently on felony probation or parole, this plea may result in revocation proceedings and any new sentence could be imposed consecutively.

I know any prison term stated will be the term served without good time credit. After prison release, I will be supervised on post-release control as for each offense, that is: F1 and each sex offense = five (5) years; F2 = three (3) years; F3 where I caused or threatened physical harm to a person = three (3) years; and I may be supervised for each F3, F4, or F5 for up to three (3) years. The parole board could return me to prison for up to nine (9) months for each violation of those conditions, for a total of 50% of my stated term. If I commit a new felony while on post-release control, I may be punished both for the violation of post-release control and the new offense. At sentencing for the new felony, I may then receive a prison term for the violation of post-release control of up to the remaining period of post-release control or one (1) year, which ever is greater. A prison term imposed for the violation shall be served consecutively to any prison term imposed for the new felony.

If I am granted community control at any point in my sentence and if I violate any of the conditions imposed, I may be given a longer period under court control, greater restrictions, or a prison term for the maximum term. Community control may last five (5) years.

I understand the nature of these charges and the possible defenses I might have. I am satisfied with my attorney's advise, counsel and competence. I am not under the influence of drugs or alcohol at this time. I have not been forced or threatened in any way to cause me to sign and offer this plea.

*Restitution \$30,000
5 years CC
12 mo DOC
Restitution at
Rate of
6000 per year*

I understand by pleading guilty I give up my constitutional rights to a jury trial, to confront witnesses against me, to have subpoenaed witnesses in my favor, and to require the state to prove my guilt beyond a reasonable doubt at a trial at which I cannot be compelled to testify against myself. In addition to waiving my constitutional rights described above, I also waive any rights I may have to submit to a jury any fact that is necessary to support a sentence exceeding the maximum authorized by the facts established by my guilty plea or admitted by me and I agree to allow the judge to determine such facts, if any, at the sentencing hearing.

I understand that my plea of guilty is a complete admission of my guilt of the charge(s). I know the judge may either sentence me today or refer my case for a pre-sentence report. I understand my right to appeal a maximum sentence, my other limited appellate rights, and that any appeal must be filed within 30 days of my sentence.

*I understand that by pleading guilty, the trial judge may, in addition to or independent of all other penalties provided by law or by ordinance, suspend or revoke my driver's license or commercial driver's license or permit or nonresident operating privilege for a period of not less than six (6) months or more than five (5) years.

I understand that if I am sentenced to serve time in prison, jail, or a community-based correctional or detention facility, I will be required to submit a DNA specimen which will be collected at the prison, jail, correctional or detention facility. I also understand that if I do not submit the required specimen at the time of my intake processing at the prison, jail, correctional or detention facility, I will be required to submit a DNA specimen prior to my release. I further understand that if my sentence includes any period of probation or community control, or if I am at any time on parole, transitional control or post-release control, I will be required to submit a DNA specimen to the probation department, adult parole authority, or other authority as designated by law.

I am ^X JPB am not _____ (initial) a citizen of the United States of America. I understand that if I am not a citizen of the United States, a conviction of the offense(s) to which I am pleading guilty may have the consequence of deportation, exclusion from admission to the United States, or denial of naturalization pursuant to the laws of the United States.

I have read this form and I knowingly, voluntarily and intelligently enter this guilty plea.

Jessica R. Burton
Signature of Defendant

I have explained to the defendant prior to his/her signing this plea, the charge(s) in the indictment or information, the penalties therefor and his/her constitutional rights in this case. I represent that, in my opinion, the defendant is competent to enter this plea and now does so knowingly, intelligently and voluntarily.

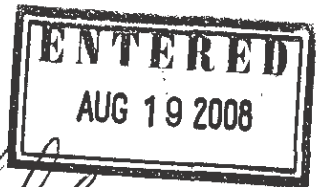
(If applicable) Dismiss Counts: reduce from F4 to F5

[Signature] 2074658
Attorney for Defendant

[Signature]
Assistant Prosecuting Attorney

* Where applicable

THE STATE OF OHIO, HAMILTON COUNTY
COURT OF COMMON PLEAS



date: 08/19/2008
code: GEOP
judge: 156

Judge: DENNIS S HELMICK

NO: B 0707702

8/19/08

STATE OF OHIO
VS.
TREASA R BURTON

ENTRY ORDERING PROBATION
INVESTIGATION AND REPORT

The Defendant herein, having entered a Plea of Not Guilty to the charge(s) in the Indictment on a former day of the Court, and being represented by Counsel **DARRIN E NYE** who was present, retracted Defendant's Plea of Not Guilty heretofore entered herein, and enters a Plea of Guilty of:

count 1: THEFT (AMENDED), 2913-02A/ORCN,F5

which Plea the Court accepts and finds the Defendant guilty.

WHEREUPON, the Court orders sentence deferred and the Defendant is referred to the Probation Department for investigation and report to this Court.

VICTIM IMPACT STATEMENT ORDERED.

THE SENTENCING IS SET FOR SEPTEMBER 10, 2008 AT 9:30 A.M.

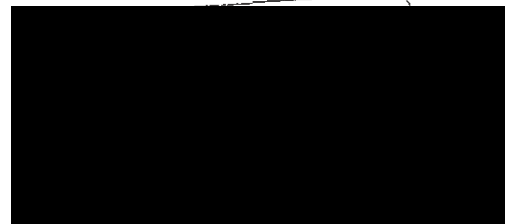
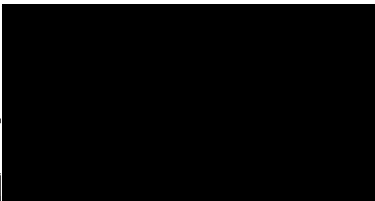
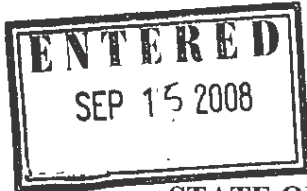


EXHIBIT #2
PAGE 6/10

THE STATE OF OHIO, HAMILTON COUNTY
COURT OF COMMON PLEAS

date: 09/10/2008
code: GJCC
judge: 156



Judge: DENNIS S HELMICK

NO: B 0707702

9/14/08

STATE OF OHIO
VS.
TREASA R BURTON

JUDGMENT ENTRY: SENTENCE
TO COMMUNITY CONTROL

Defendant was present in open Court with Counsel **DARRIN E NYE** on the **10th** day of **September 2008** for sentence.

The court informed the defendant that, as the defendant well knew, the defendant had pleaded guilty, and had been found guilty of the offense(s) of:

count 1: THEFT (AMENDED) 2913-02A/ORCN,F5

The Court held a sentencing hearing during which the Court afforded defendant's counsel an opportunity to speak on behalf of the defendant. The Court addressed the defendant personally and asked if the defendant wished to make a statement in the defendant's behalf, or present any information in mitigation of sentence. The State's representative also had the opportunity to address the Court. Sentence is under the provisions of Senate Bill 2, effective 7/1/96.

After considering the risk that defendant will commit another offense, the need for protecting the public therefrom, the nature of circumstances of the offense(s), and the defendant's history, character and condition, the Court hereby orders the defendant placed on Community Control on condition that defendant comply with the general conditions of Community Control established by this Court, and further:

count 1: COMMUNITY CONTROL:5 Yrs

THE DEFENDANT IS TO COMPLETE NCTI THEFT SCHOOL.

THE DEFENDANT IS TO MAKE RESTITUTION IN THE AMOUNT OF \$30,000.00 TO KROGER COMPANY AT 5575 E GALBRAITH ROAD 45236.

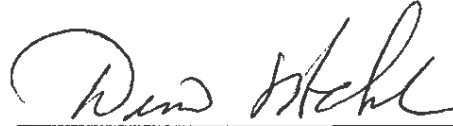
THE DEFENDANT MUST REPAY RESTITUTION AT A RATE OF \$6,000.00 PER YEAR.

THE DEFENDANT IS TO PAY COURT COSTS.

**EXHIBIT #2
PAGE 7/10**

THE STATE OF OHIO, HAMILTON COUNTY
COURT OF COMMON PLEAS

date: 09/10/2008
code: GJCC
judge: 156



Judge: DENNIS S HELMICK

NO: B 0707702

9/12/08

STATE OF OHIO
VS.
TREASA R BURTON

JUDGMENT ENTRY: SENTENCE
TO COMMUNITY CONTROL

THE DEFENDANT IS TO PAY PUBLIC DEFENDER ATTORNEY FEES.

WAIVE PROBATION FEES.

IF RESTITUTION IS PAID IN FULL EARLY TERMINATION IS PERMITTED.

THE COURT ALSO ADVISED THE DEFENDANT THAT IF HE / SHE VIOLATES THE TERMS AND CONDITIONS OF COMMUNITY CONTROL, THE COURT WOULD IMPOSE A PRISON TERM OF TWELVE (12) MONTHS IN THE DEPARTMENT OF CORRECTIONS.

FURTHER, IN ACCORDANCE WITH RC 2901.07, THE DEFENDANT IS REQUIRED TO SUBMIT A DNA SPECIMEN WHICH WILL BE COLLECTED AT THE PRISON, JAIL, CORRECTIONAL OR DETENTION FACILITY TO WHICH THE DEFENDANT HAS BEEN SENTENCED. IF THE SENTENCE INCLUDES ANY PERIOD OF PROBATION OR COMMUNITY CONTROL, OR IF AT ANY TIME THE DEFENDANT IS ON PAROLE, TRANSITIONAL CONTROL OR POST-RELEASE CONTROL, THE DEFENDANT WILL BE REQUIRED, AS A CONDITION OF PROBATION, COMMUNITY CONTROL, PAROLE, TRANSITIONAL CONTROL OR POST-RELEASE CONTROL, TO SUBMIT A DNA SPECIMEN TO THE PROBATION DEPARTMENT, ADULT PAROLE AUTHORITY, OR OTHER AUTHORITY AS DESIGNATED BY LAW. IF THE DEFENDANT FAILS OR REFUSES TO SUBMIT TO THE REQUIRED DNA SPECIMEN COLLECTION PROCEDURE, THE DEFENDANT WILL BE SUBJECT TO ARREST AND PUNISHMENT FOR VIOLATING THIS CONDITION OF PROBATION, COMMUNITY CONTROL, PAROLE, TRANSITIONAL CONTROL OR POST-RELEASE CONTROL.

EXHIBIT #2
PAGE 8/10

THE STATE OF OHIO, HAMILTON COUNTY
COMMON PLEAS CRIMINAL

Judge: 156



Entered
Date:
Image:

Judge: DENNIS S HELMICK

NO: B 0707702--1

STATE OF OHIO
VS.
TREASA R BURTON

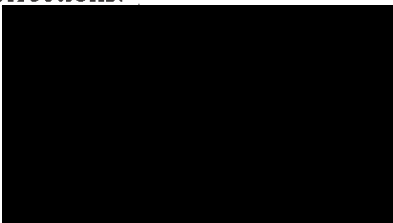
ENTRY:
RESTORING AND CONTINUING
COMMUNITY CONTROL

TREASA R BURTON, the defendant herein, was previously convicted of: **Count 1: THEFT (AMENDED) (F5)** Indictment #**B 0707702**, and subsequent to this conviction, the defendant was placed on Community Control for a period of **5 years** on **September 10, 2008**. It appears to the Court that Probable Cause was found on **February 2, 2010**, and it further appearing to the Court that the defendant was found guilty of Community Control Violation on **February 2, 2010**. The Community Control is ordered restored, and the defendant continued on Community Control for a total period of **5 years** with an expiration date of **September 9, 2013**.

NEW CONDITIONS OF COMMUNITY CONTROL: **Pay restitution and complete NCTI Theft School.**

Now comes the Court for good cause shown and having been fully apprised of the premises herein by the Common Pleas Probation Department, therefore ordered, adjudged, and decreed that the defendant's Community Control is hereby restored and continued with an expiration date of **September 9, 2013**.

The Court also advised the defendant that if she violates the terms and conditions of Community Control, the Court will impose a prison sentence of: **18 months** in the Ohio Department of Rehabilitation and Corrections.



ENTERED

FEB 03 2010

Dennis S. Helmick
DENNIS S. HELMICK, Judge

2/3/2010

File Number: AP-103190

P.O.: PAUL MORGAN

Paul Morgan

Date:

2/2/2010

Supervisor:

[Signature]

Date:

2/2/10

EXHIBIT #2
PAGE 9/10

64748684



PE1040_TP

Form Revised 7/21/03

THE STATE OF OHIO, HAMILTON COUNTY
COMMON PLEAS CRIMINAL

Judge: 156

ENTERED
JUL 20 2012

Entered
Date:
Image:

Judge: DENNIS S HELMICK

NO: B 0707702--1

STATE OF OHIO
VS.
TREASA R BURTON

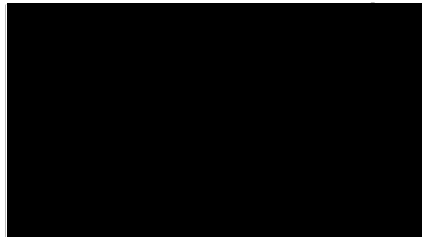
ENTRY:
TERMINATING COMMUNITY
CONTROL

It appears to the Court that **TREASA R BURTON**, the defendant herein, was placed on Community Control for a period of **5 years** on **September 10, 2008** on the charge of **Count 1: THEFT (AMENDED) (F5)**, and on February 2, 2010 restored and continued for a total of five (5) years until September 9, 2013. Motion granted on July 13, 2012 to terminate Community Control.

For good cause shown, and having been fully apprised of the premises herein by the Common Pleas Probation Department, the Court hereby comes to order this case terminated. Remit restitution and court costs.

ENTERED

JUL 19 2012
Dennis S. Helmick
DENNIS S. HELMICK, Judge
7/19/2012



File Number: AP-103190

P.O.: PAUL MORGAN

Paul Morgan

Date:

July 17, 2012

Supervisor:

Genea P...

Date:

7/18/12

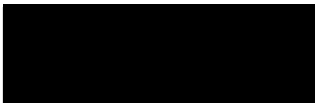


EXHIBIT #2
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PE1033_TP

Form Revised 4/14/03

Comprehensive Ruling Report

Rulings Against: Treasa R Holmes

Legal Name: Treasa R Holmes

Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

2023 DEC 13 PM 2:31
FLORIDA GAMING
CONTROL COMMISSION

LICENSER



RECEIVED

2023 DEC 13 PM 2:31

FLORIDA GAMING
CONTROL COMMISSION

Licensee
Search

Licensee: Treasa R Holmes

No Picture Available

Reports on Treasa R Holmes

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report

Identification Information

| | | | |
|---------------|----------------|---------|-----|
| Date of Birth | Federal ID/SSN | Country | Sex |
| [REDACTED] | | | |

Name Information

| | | | | | |
|--------------------|--------|------------|-------------|-----------|--------|
| Name Type | Prefix | First Name | Middle Name | Last Name | Suffix |
| Current Legal Name | | Treasa | R | Holmes | |

Address Information

| | | | | |
|--------------|-----------------|-------|-------|----------|
| Address Type | Street Address | City | State | Zip Code |
| Mailing | 154 SW 155th Ct | OCALA | FL | 34481 |

Phone Information

| | |
|-------------------|--------------|
| Phone Number Type | Phone Number |
| Mobile | 3524147815 |

License Information

| | | | | |
|----------------|--------------|-------------|-----------------|----------------------------|
| License Number | License Type | Issued Date | Expiration Date | Licensing Commission |
| 430603 | Groom | 7/15/2020 | 12/31/2022 | Virginia Racing Commission |

EXHIBIT #4
PAGE 2/6

Fingerprint Information

Processing Commission

Date Taken

Status

Notes

RCI Card Submitted Date

Ruling Number

Ruling Type

Ruling Date

Fine

Fine Paid?

Suspension Start

Suspension End

Applicable Reports

Comprehensive Ruling Report

Comprehensive Licensee Report

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

2023 DEC 13 PM 2:31
FLORIDA GAMING
CONTROL COMMISSION

RECEIVED



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

December 22, 2023

Ms. Treasa Rosezette Holmes
11965 Southwest 147th Avenue
Dunnellon, Florida 34432

RE: Application No. 249516, Entity 13842379
1021 - PMW Professional Individual Occupational

Dear Ms. Holmes:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please complete the 'Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?' **Please initial and date any changes made to your application.**

You must list the information on your application for the following charges:

- Brown County, Ohio Arrest(s) – 04/07/2016

You must provide the court disposition records for the following charges:

- Hamilton County, Ohio Arrest(s) – 04/24/2008

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

Note: Form DBPR PMW-3180 must be completed. Please mark the appropriate choice(s) for any charge(s) that will require the waiver process.

EXHIBIT #4
PAGE 4/6



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/21/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

AH
Operations Analyst II

EXHIBIT #4
PAGE 5/6



Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

April 29, 2024

Treasa Rosezette Holmes
11965 SW 147th Ave
Dunnellon, FL 34432

Re: Case # 2024 02 1088
Waiver Request

Dear Ms. Holmes:

Regarding your Pari-Mutuel Wagering Individual Occupational License Application and Request for Waiver, please contact Investigator Lee Ann Rounds at (813) 893-0242 as soon as possible in order to process your request for a Waiver and to set up a waiver interview with the Investigation Section. The application will be considered incomplete until the waiver interview has been completed.

As per Pari-Mutuel Wagering Rule 61D-5.006 (1), Florida Administrative Code, failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations or denials from other jurisdictions shall result in a denial of the request for waiver.

Please contact this office between the hours of 8:00 a.m. and 5:00 p.m.

Sincerely,

A handwritten signature in black ink that reads 'C. Derek Washington'.

C. Derek Washington
Investigations Supervisor

EXHIBIT #4
PAGE 6/6

8. Discussion of final order pursuant to request from respondent

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Kendra Durand
Case Number 2023-039465; Final Order
Date: June 14, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the “Division”) seeks the permanent exclusion of the respondent, Kendra Durand (“Respondent”), from all pari-mutuel and slot facilities in the state of Florida.

The Division filed an Administrative Complaint seeking Respondent’s permanent statewide exclusion on August 17, 2023. Respondent requested an informal hearing, which was held May 16, 2023. At the hearing, Respondent represented to the Hearing Officer that she did not oppose such a penalty. Counsel for the Florida Gaming Control Commission (the “Commission”) filed an unopposed motion to relinquish jurisdiction so as to allow a final order to be issued by the Commission.

Therefore, the Division recommends that the Florida Gaming Control Commission enter a Final Order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On or about July 10, 2023, Respondent was ejected from PPI, Inc. d/b/a Harrah’s Pompano Park Casino (“Harrah’s Casino”).¹ Based on her ejection, the Division filed an Administrative Complaint on August 17, 2023, seeking Respondent’s exclusion from all pari-mutuel and slot facilities in the state of Florida.

On or about November 29, 2023, Respondent returned an Election of Rights form indicating that she did not dispute the allegations of material fact in the Administrative Complaint, and requested to submit oral and written evidence in mitigation at an informal hearing pursuant to section 120.57(2), Florida Statutes.

At the hearing, on May 16, 2024, Respondent represented to the hearing officer that

¹ Harrah’s Casino is operated by a pari-mutuel wagering permitholder that also possesses a slot machine and cardroom license.

she did not oppose permanent exclusion from all pari-mutuel and slot facilities in the state of Florida. Respondent further indicated that she would not oppose a Motion to Relinquish Jurisdiction back to the Commission, so that a Final Order could be issued.

The hearing officer granted the Motion and relinquished jurisdiction to the Commission on May 23, 2024, for the issuance of a Final Order.

Analysis

Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, Section 551.112 provides that “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.”

Therefore, Respondent’s ejection from Harrah’s Casino – which is both a pari-mutuel facility and slot machine licensee in this state – subjects her to exclusion from *all* pari-mutuel and slot machine facilities in this state.

Staff Recommendation: The Division recommends that the Florida Gaming Control Commission enter a Final Order permanently excluding Kendra Durand from all pari-mutuel and slot machine facilities in the state of Florida.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-039465

KENDRA DURAND,

Respondent.

ORDER GRANTING MOTION TO RELINQUISH JURISDICTION

This cause came before Elizabeth K. Stinson, designated Hearing Officer for the Florida Gaming Control Commission (“Commission”), on Petitioner’s Motion to Relinquish Jurisdiction (“Motion”).

An informal hearing was held May 16, 2024, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of the Commission’s Administrative Complaint filed against Kendra Durand (“Respondent”), in FGCC Case Number 2023-039465 (“Administrative Complaint”).

At this hearing, Respondent represented to the undersigned that she does not oppose the penalty sought by the Commission in its Administrative Complaint and would not oppose a motion to relinquish jurisdiction back to the Commission so that a final order could be issued.

The Commission filed the unopposed Motion on May 17, 2024. This Motion seeks to relinquish jurisdiction back to the Commission so that a Final Order may be issued.

Based on the foregoing, it is therefore ORDERED that:

1. The Motion to Relinquish Jurisdiction is granted.
2. Jurisdiction will revert back to the Florida Gaming Control Commission.

DONE AND ORDERED this 23rd day of May 2024, in Tallahassee, Leon County Florida.



Elizabeth K. Stinson
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 23rd day of May 2024 that a true copy of the foregoing “Order Granting Motion to Relinquish Jurisdiction” has been furnished via email to:

Emily A. Alvarado
Emily.Alvarado@flgaming.gov
Counsel for Petitioner

Kendra Durand
KendraDurand24@gmail.com
Respondent



Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

DURAND, KENDRA
Case No. 2023-039465
Informal Hearing Packet

Documents Included in Case File

- Exhibit 1 Notice of Hearing
- Exhibit 2 Election of Rights
- Exhibit 3..... Defective Election of Rights
- Exhibit 4 Administrative Complaint
- Exhibit 5 Report of Investigation



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

April 30, 2024

Kendra Durand
5310 NW 88th Avenue, Apt. B205
Sunrise, FL 33351

RE: FGCC v. Kendra Durand
Case No.: 2023-039465

Dear Ms. Durand:

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Thursday, May 16, 2024 between 10:00 a.m. and 1:00 p.m. (Eastern Time).** Please read the Notice of Hearing for more details about the date, time, location and instructions for the hearing. A copy of the Commission's case file has been mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.Stinson@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

Please note: If you choose not to attend the hearing in person or by video conference, we will be conducting the hearing telephonically; you will be contacted **between 10:00 AM and 1:00 PM (EST) at the following number: (954) 263-8708**. If that number is incorrect, please contact me as soon as possible to notify me of the correct number at which to reach you. Failure to answer the telephone, promptly return a missed call, or hold an open line will result in the hearing proceeding without you.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing.
2. A Final Order will be issued within approximately 90 days after the date of the hearing. The Final Order is the final agency action and will describe the resolution of your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at 850-794-8072 or Ebonie.Lanier@flgaming.gov.

Sincerely,

/s/ Ebonie Lanier

Ebonie Lanier
Administrative Assistant III

Enclosures: Notice of Hearing and Case File



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-039465

Kendra Durand,

Respondent.

_____ /

NOTICE OF TELEPHONIC HEARING

TO: Kendra Durand
5310 NW 88th Avenue, Apt. B205
Sunrise, FL 33331
KendraDurand24@gmail.com

YOU ARE HEREBY NOTIFIED that the Commission's designated Hearing Officer will conduct a hearing in this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present oral or written evidence, you must attend the hearing. The hearing is scheduled for **Thursday, May 16, 2024. The Hearing Officer will call you at (954) 263-8708 sometime between 10:00 a.m. – 1:00 p.m. (EST).** Please be available to take the Hearing Officer's call. Failure to answer the telephone, promptly return a voicemail, or hold an open line may result in the hearing proceeding without you.

You may elect to attend the hearing in person or by video conference. If you wish to do so, you must contact the Commission by email at Ebonie.Lanier@flgaming.gov or telephone at (850) 794-8072, at least seven (7) days prior to your hearing date. If you do not elect to attend by video conference or in person, the hearing will automatically be held by telephone only. You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish

to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.Stinson@flgaming.gov, and Emily.Alvarado@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at Elizabeth.Stinson@flgaming.gov and Opposing Counsel at Emily.Alvarado@flgaming.gov at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to: the above-named parties via certified mail and email, on this 30th day of April, 2024.

By: /s/ *Ebonie Lanier*

Ebonie N. Lanier
Administrative Assistant III
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Telephone: (850) 794-8072
Facsimile: (850) 536-8709
Ebonie.Lanier@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier at (850) 794-8072. If you are hearing or speech impaired, please contact the agency by calling 1-800-955-8771.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 11/29/2023
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

FGCC v. KENDRA DURAND

CASE NO.: 2023-039465

ELECTION OF RIGHTS

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option (1) I do not dispute the allegations of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and that this oral and written evidence be considered before any penalty and fines are imposed.

Option (2) I do dispute the allegations of material fact in the Administrative Complaint. This is a petition for a hearing involving disputed material facts pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, ("formal hearing") before an Administrative Law Judge of the Division of Administrative Hearings. I specifically dispute the following paragraphs in the Administrative Complaint (attach extra pages or write on the back if needed):

In addition to the above election for formal hearing, if you wish to enter into settlement negotiations, check the box below:

Section 120.569(2)(a), Florida Statutes, requires the Department to send this case to the Division of Administrative Hearings (DOAH) for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement in order to enter into settlement negotiations with the Department.

Option (3) I do not dispute the allegations of material fact in the Administrative Complaint and waive my right to any form of hearing. I request that a Final Order imposing a penalty and fines be entered in this case. The Final Order will be placed on the next available Florida Gaming Control Commission Meeting. Respondent is not required to attend, but may check the Florida Gaming Control Commission website at www.fgcc.fl.gov for the meeting materials, agenda, and contact information.

THIS IS A LEGALLY BINDING DOCUMENT. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Kendradurand
PRINTED NAME

ATTORNEY OR QUALIFIED REPRESENTATIVE

5310 NW 88th Ave #A205
Street Address

Street Address (where service shall be made)

Sunrise FL 33351
City State Zip

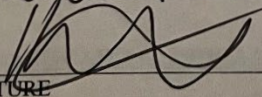
City State Zip

954-263-8708
Telephone Number Facsimile Number (if any)

Telephone Number Facsimile Number (if any)

Kendradurand24@gmail.com
E-mail

E-mail


SIGNATURE

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
4070 Esplanade Way Ste. 250
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 794-8072 Fax: (850) 921-1311
Email: Ebonie.Lanier@flgaming.gov

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

ELECTION OF RIGHTS

FGCC v. KENDRA DURAND

CASE NO.: 2023-039465

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

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THIS IS A LEGALLY BINDING DOCUMENT. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Kendradurand

PRINTED NAME

5310 NW 88th Ave Apt 205

Street Address

SUNRISE FL 33351

City

State

Zip

954-263-8708

Telephone Number

Facsimile Number (if any)

Kendradurand.24@gmail.com

E-mail

SIGNATURE

ATTORNEY OR QUALIFIED REPRESENTATIVE

Street Address (where service shall be made)

City State Zip

Telephone Number Facsimile Number (if any)

E-mail

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
4070 Esplanade Way Ste. 250
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 794-8072 Fax: (850) 921-1311
Email: Ebonie.Lanier@flgaming.gov

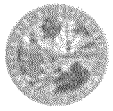
Donna Fleming

From: Clerk
Sent: Wednesday, October 25, 2023 3:07 PM
To: Ebonie Lanier; Donna Fleming
Cc: Emily Alvarado
Subject: Defective Election of Rights (Filed)
Attachments: 2023-039465.Durand.pdf

Good Afternoon,

Attached find Defective Election of Rights filed today, 10/25/2023, for:

1. Kendra Durand, 2023-039465



FLORIDA
GAMING CONTROL
COMMISSION

Melba L. Apellaniz

Clerk of the Commission

Office of the General Counsel

Florida Gaming Control Commission

Phone: (850) 794-8067 | (850) 964-1711 Cell

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)



FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 8/17/2023
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-039465

KENDRA DURAND,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Kendra Durand (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 829 Northeast 1st Avenue, Pompano Beach, Florida 33060.
3. At all times material hereto, Harrah’s Pompano Park Casino was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
4. On or about June 24, 2023, Respondent was an employee of Myron’s Deli located at Harrah’s Pompano Park Casino.
5. On or about July 10, 2023, Respondent was permanently excluded from Harrah’s Pompano Park Casino.

6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.
7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida under sections 550.0251(6) and 551.112, Florida Statutes based on her exclusion from Harrah's Pompano Park Casino on or about July 10, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-039465 is signed this 17th day of August 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
4070 Esplanade Way
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.



Legal: 08/14/2023
31 Days

[FAQ](#) | [Help](#) | [Sign Out](#)

| | | | | | | | | | |
|---|---|---|----------------------------------|--------------------------------------|---------------------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline | | | | |

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelvai

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

| | | | | | |
|-------------|-------------------------------------|-----------------------|------------------------|---------------------------------|---------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 90 Closed | Status Date | 08/11/2023 |
| Complaint # | 2023039465 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | DURAND, KENDRA | Responsible | bjones - JONES, BRADFORD | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|--|----------------|--------------------|
| Source | INTN - Internal | Security Level | 1 |
| Form | INTR - Internal | Priority | |
| Class'n | OTHR - Other | Complexity | R - Regular |
| Security | STND - Standard | Incident | 06/24/2023 |
| Region | SR - Southern Region | Received | 07/14/2023 |
| Reference | F.S.S. 550.0251 | | |
| Entered | 07/14/2023 | Entered By | nmelvai |
| Summary | <p>430--- (PPI. INC)HARRAHS POMPANO PARK---- F.S.S. 550.0251----On June 24, 2023, Pompano Casino Deli Cashier Kendra Durand was observed by Surveillance personnel voiding food service transactions and pocketing the money of those transactions. She was terminated from her position and Permanently excluded from the facility after their investigation.</p> | | |
| Updated | 08/14/2023 09:55:28 | By | nmelvai |

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Attachments

Work Notes

| | | | | | |
|---------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| 31 Days | <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

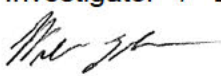
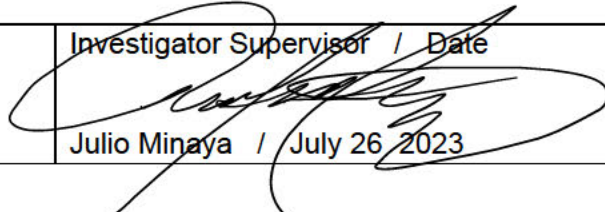

[Get Adobe Reader.](#)

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|---|----------------------------|--|-------------------------------------|
| Office: PMW | Region: SOUTHERN | Date of Complaint: July 14, 2023 | Case Number: 2023 03 9465 |
| Respondent: DURAND, KENDRA 5310 NW 88 TH AVENUE SUNRISE, FLORIDA 33351 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900 | |
| License # and Type: N/A | Profession: Cashier | Report Date: July 18, 2023 | |
| Period of Investigation: July 10, 2023, through July 18, 2023 | | Type of Report: Final | |
| <p>Alleged Violation: 550.0251 Powers and duties of the Florida Gaming Control Commission – (6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.</p> | | | |
| <p>Synopsis: On July 1, 2023, Harrah's Pompano Beach Casino (PPI) suspended Kendra DURAND from her position as an Express Cashier at Myron's Deli, a restaurant located inside the facility. The suspension was related to a June 2023 surveillance audit that captured DURAND voiding several transactions and taking the monies related to those cancelled transactions for herself. DURAND was permanently excluded from Harrah's after an internal investigation confirmed the above allegations (Exhibit #1).</p> | | | |
| Related Case(s): 2023 03 9433 | | | |
| Investigator / Date  William Smith / July 18, 2023 | | Investigator Supervisor / Date  Julio Minaya / July 26, 2023 | |
| Chief of Investigations / Date  Bradford D. Jones / August 11, 2023 | | | |

CONTINUATION

On Monday July 10, 2023, during a routine visit to Harrah's Pompano Park Casino, I was informed by Compliance Officer John Keenan that a Cashier / Waitstaff employee at Myron's Deli by the name of Kendra **DURAND** was discovered stealing money during her shift.

DURAND in the course of her duties did not access the gaming area and as such is not a PMW licensee. She does however service employees and patrons of the casino. Myron's Deli has an express area utilized for take-out orders. This is where **DURAND** was working at the time of the theft. According to PPI Surveillance Report #IN20230078805, and Incident Report #IN20230079728 the Surveillance Department conducted an audit for the month of June 2023, and it was during this audit that Surveillance personnel discovered that on June 24, 2023, numerous thefts had been committed by **DURAND**. A copy of the above reports and a copy of video footage which captured the theft was taken as evidence and stored at the Fort Lauderdale Investigations Office (**Exhibits #1 & 2**). A subsequent independent review of the above video footage conducted by this Investigator confirmed the activity documented in the Surveillance Report.

As documented in the video and Surveillance Report, the method **DURAND** used commit the theft was by taking food/beverage orders from patrons, collecting payment, and then voiding part or all the check. **DURAND** would then keep the funds provided by the patron(s) for herself. **DURAND** also conducted a ruse that required her to take a patron's previously paid bill and transfer the amount to another patron's player reward card thus freeing up monies to be stolen.

As a result of her actions, **DURAND** has been permanently excluded from Harrah's Pompano Beach Casino (**Exhibit #3**).

Case Status: Case closed by Investigations and forwarded to Legal for review and the possible addition of **DURAND's** name to the Statewide Exclusion List.

CONTINUATION

TABLE OF CONTENTS

| | | |
|------|--|-----|
| I. | INVESTIGATIVE REPORT COVERSHEET | 1 |
| II. | INVESTIGATIVE REPORT | 2 |
| III. | EXHIBITS | |
| | 1. Surveillance / Security Report..... | 1-4 |
| | 2. Video..... | 1-2 |
| | 3. Exclusion..... | 1-1 |

Incident File Full Report**Incident File #IN20230078805**

Date/Time Occurred: 7/ 1/2023 17:32:04
Day of Week Occurred: Saturday
Date/Time Created: 7/ 3/2023 08:56:40
Date/Time Closed:
Closed By:

Record Creation Details
Department: Security
Owner: 800753524
Operator ID: 800753524
Operator Name:
Personnel ID:
Card Number:
Job Position
Secondary Operator:

Location of Incident:

Property: Harrah's Pompano Beach
Location: 09 Security
Sublocation: Interview Room

Details of Incident:

Daily Log #: DL20231161040
Incident Type: ~Informational
Specific: Employee
Category: Assistance Provided
Incident Status: Open
Synopsis: S-2 COLCHADO RESPONDED TO FOOD & BEVERAGE MANAGER FRAN FOR EMPLOYEE ASSISTANCE AT THE INTERVIEW ROOM.
Checklist:

Narrative:

| Created On | Created By | Modified On | Modified By |
|--------------------|------------|--------------------|-------------|
| 7/ 3/2023 08:56:44 | 800753524 | 7/ 3/2023 08:56:44 | 800753524 |

ON 7-1- 2023 at 1730 hours, Security Manager Juan Colchado, Beverage Manager, Resturant Manager Manager Dominique Couhysder, and Food an Beverage Manager Liberatore escorted Myron's Cashier Kendra Durand to the Security Interview room. Surveillance was notified.

Manager Libertore advised Durand that she was being suspended based on a Surveillance audit for the month of June and it was found that she voided out several transactions and its observed that she pocket the money. Liberatore further advised Durand that a further investigation was being issued during her suspension. Durand stated that she understood what she was being suspended for and that she would wait for the investigation to come to a conclusion. Durand was escorted out of the room and she left property under Surveillance coverage. No further information at the time of this report.

For the purpose of this report, all times are approximate.

Executive Brief:

EXHIBIT # 1
PAGE # 1

| |
|-------------------------|
| Reporting Party: |
|-------------------------|

| |
|--------------------|
| Supervisor: |
|--------------------|

Participants Involved:

Personnel

Full Name: Durand, Kendra

Property: Harrah's Pompano Beach

Role: Employee

Department:

EXHIBIT # 1
PAGE # 2

Reporting Party:

Supervisor:

Incident File #: IN20230079728

Record Creation Details

| | | | |
|-----------------------|----------------------|----------------|--------------|
| Date/Time Occurred: | 6/15/2023 7:26:00 PM | Department: | Surveillance |
| Day of Week Occurred: | Thursday | Owner: | 800767519 |
| Date/Time Created: | 7/ 5/2023 8:25:02 AM | Operator ID: | 800767519 |
| Date/Time Closed: | | Operator Name: | |
| Closed By: | | Personnel ID: | |

Location of Incident:

Property: Harrah's Pompano Beach
 Location: 14 Restaurant
 Sublocation: Myron's Deli

Details of Incident:

Daily Log #: IN20230079728
 Incident Type: ~Review/Observation
 Specific: Employee
 Category: Food & Beverage
 Incident Status: Open
 Synopsis: **General Investigation Kendra Durand.**
 Checklist:
 Narrative:

| Created On | Created By | Modified On | Modified By |
|----------------------|------------|----------------------|-------------|
| 7/ 5/2023 8:25:06 AM | 800767519 | 7/ 5/2023 8:25:06 AM | 800767519 |

The following is a General Investigation Report of Myron's Express Cashier Kendra Durand on the night of 06/24/2023. This investigation was conducted after completing an audit of Durand's workday. Durand's completed transactions were reviewed, and the following was discovered.

- 1) Check #69950 occurred at 18:00 hours, shows that Durand collected payment of \$18.50 for a daily special and saved the check. At 18:20 hours, Durand conducted a Pickup check transaction and added a chocolate brownie and chips totaling \$8.00 for a second patron. Durand then closed out the check in the amount of \$26.50 charging the total balance to the second patron's reward card.

- 2) Check #60055 occurred at 21:32 hours, shows Durand processing an order of chicken wings totaling \$16.05. Durand then collects payment, voids the transaction, and concealed the money in the register.

- 3) Check #60059 occurred at 21:38 hours, shows Durand processing an order for two jumbo muffins and one bottled cola totaling \$16.00. Durand then voids items from the check collects payment, serves the items to the patron and tendered \$8.00 to close the check.

EXHIBIT # 1
PAGE # 3

Reporting Party:

Supervisor:

At this time, this investigation remains open pending further review.

Executive Brief:

EXHIBIT # 1
PAGE # 4

Reporting Party:

Supervisor:



**State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section**

PROPERTY RECEIPT

Complaint # 2023039465 Date 7/18/23 Lab # _____

| Item No. | Quantity | Description |
|----------|----------|--------------------------------------|
| 1 | 1 | DVD Durand, Kendra Harrah's Theft |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

[Handwritten Signature]

Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

..... Signature _____ Date _____

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT # 2
PAGE # 1

Harrah's Pompano Beach Casino

Video Release and Chain of Custody

Coverage Date: 06/29/2023 Surveillance Video Released: IN79732

Coverage Description: Myron's Transactions

Authorized By: Miguel Gonzalez

All video listed on this Release and Chain of Custody Receipt, is proprietary to Harrah's Pompano Beach Casino. The video presented is in original and unedited format and may not be duplicated in any manner. The date and time stamp on the video is an accurate representation of when the events took place. All video must be returned to Harrah's Pompano Beach Casino Surveillance Department upon completion of legal proceedings.

Chain of Custody

Released By: _____

Click here to enter text.

Date: 7/18/23

Released To: _____

Click here to enter text.

Date: 7/18/23

William J Smith FGCC

Re-Released By: _____

Date: _____

Re-Released To: _____

Date: _____

Returned By: _____

Date: _____

Returned To: _____

Date: _____

Re-Returned By: _____

Date: _____

Re-Returned To: _____

Date: _____

EXHIBIT #
PAGE #

2
2

Harrah's [®]

POMPANO BEACH

NOTICE OF EXCLUSION

To: Kendra Durand

Date of Birth: [REDACTED]

SSN: _____ DL#: _____ DL State: _____ DL expire: _____

Height: 4'10" Weight: 150lbs Race: African American

Hair Color: Black Eye Color: Brown Gender: Female

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 10 day of July, 2023, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Management Decision

Address: 5310 NW 88th AVE Incident Report Number: _____
Sunrise, FL 33351 Caesars Rewards #: _____

Length of Exclusion:

30 Days

90 Days

1 Year

3 Years

Permanent

Other: _____

Acknowledged by: _____

Issued by: _____

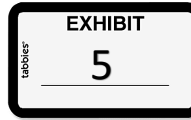
Witness: _____

(Customer Signature)

800757524

800748492

EXHIBIT # 3
PAGE # 1



Legal: 08/14/2023
31 Days

[FAQ](#) | [Help](#) | [Sign Out](#)

| | | | | | | | | | |
|---|---|---|----------------------------------|-------------------------|--------------------------------------|----------------------|---------------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | | Mass Activity Update | | Mass Discipline | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nmelvai**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|-------------------------------------|-------------|---------------------------------|---------------------|-------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 90 Closed | Status Date | 08/11/2023 |
| Complaint # | 2023039465 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent DURAND, KENDRA | Responsible | bjones - JONES, BRADFORD | Private Case | |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|--|----------------|--------------------|
| Source | INTN - Internal | Security Level | 1 |
| Form | INTR - Internal | Priority | |
| Class'n | OTHR - Other | Complexity | R - Regular |
| Security | STND - Standard | Incident | 06/24/2023 |
| Region | SR - Southern Region | Received | 07/14/2023 |
| Reference | F.S.S. 550.0251 | | |
| Entered | 07/14/2023 | Entered By | nmelvai |
| Summary | <p>430--- {PPI. INC }HARRAHS POMPANO PARK---- F.S.S. 550.0251----On June 24, 2023, Pompano Casino Deli Cashier Kendra Durand was observed by Surveillance personnel voiding food service transactions and pocketing the money of those transactions. She was terminated from her position and Permanently excluded from the facility after their investigation.</p> | | |
| Updated | 08/14/2023 09:55:28 | By | nmelvai |

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Auto Assign

Attachments

History

Work Notes

Print Report

| | | | | | |
|---------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| 31 Days | <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

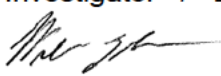
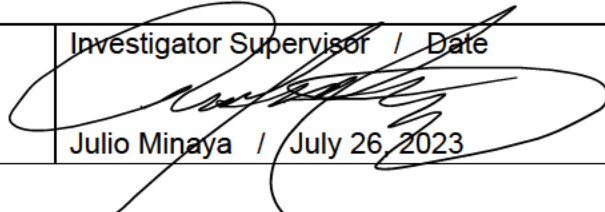
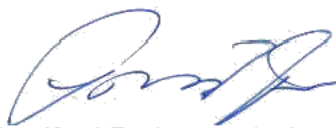
[Get Adobe Reader.](#)

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|---|----------------------------|--|-------------------------------------|
| Office: PMW | Region: SOUTHERN | Date of Complaint: July 14, 2023 | Case Number: 2023 03 9465 |
| Respondent: DURAND, KENDRA 5310 NW 88 TH AVENUE SUNRISE, FLORIDA 33351 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900 | |
| License # and Type: N/A | Profession: Cashier | Report Date: July 18, 2023 | |
| Period of Investigation: July 10, 2023, through July 18, 2023 | | Type of Report: Final | |
| <p>Alleged Violation: 550.0251 Powers and duties of the Florida Gaming Control Commission – (6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.</p> | | | |
| <p>Synopsis: On July 1, 2023, Harrah's Pompano Beach Casino (PPI) suspended Kendra DURAND from her position as an Express Cashier at Myron's Deli, a restaurant located inside the facility. The suspension was related to a June 2023 surveillance audit that captured DURAND voiding several transactions and taking the monies related to those cancelled transactions for herself. DURAND was permanently excluded from Harrah's after an internal investigation confirmed the above allegations (Exhibit #1).</p> | | | |
| Related Case(s): 2023 03 9433 | | | |
| Investigator / Date | | Investigator Supervisor / Date | |
|  William Smith / July 18, 2023 | |  Julio Minaya / July 26, 2023 | |
| Chief of Investigations / Date | | | |
|  Bradford D. Jones / August 11, 2023 | | | |

CONTINUATION

On Monday July 10, 2023, during a routine visit to Harrah's Pompano Park Casino, I was informed by Compliance Officer John Keenan that a Cashier / Waitstaff employee at Myron's Deli by the name of Kendra **DURAND** was discovered stealing money during her shift.

DURAND in the course of her duties did not access the gaming area and as such is not a PMW licensee. She does however service employees and patrons of the casino. Myron's Deli has an express area utilized for take-out orders. This is where **DURAND** was working at the time of the theft. According to PPI Surveillance Report #IN20230078805, and Incident Report #IN20230079728 the Surveillance Department conducted an audit for the month of June 2023, and it was during this audit that Surveillance personnel discovered that on June 24, 2023, numerous thefts had been committed by **DURAND**. A copy of the above reports and a copy of video footage which captured the theft was taken as evidence and stored at the Fort Lauderdale Investigations Office (**Exhibits #1 & 2**). A subsequent independent review of the above video footage conducted by this Investigator confirmed the activity documented in the Surveillance Report.

As documented in the video and Surveillance Report, the method **DURAND** used to commit the theft was by taking food/beverage orders from patrons, collecting payment, and then voiding part or all the check. **DURAND** would then keep the funds provided by the patron(s) for herself. **DURAND** also conducted a ruse that required her to take a patron's previously paid bill and transfer the amount to another patron's player reward card thus freeing up monies to be stolen.

As a result of her actions, **DURAND** has been permanently excluded from Harrah's Pompano Beach Casino (**Exhibit #3**).

Case Status: Case closed by Investigations and forwarded to Legal for review and the possible addition of **DURAND's** name to the Statewide Exclusion List.

CONTINUATION

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| II. | INVESTIGATIVE REPORT | 2 |
| III. | EXHIBITS | |
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| | 2. Video..... | 1-2 |
| | 3. Exclusion..... | 1-1 |

Incident File Full Report**Incident File #IN20230078805**

| | | | |
|------------------------------|--------------------|--------------------------------|-----------|
| Date/Time Occurred: | 7/ 1/2023 17:32:04 | Record Creation Details | |
| Day of Week Occurred: | Saturday | Department: | Security |
| Date/Time Created: | 7/ 3/2023 08:56:40 | Owner: | 800753524 |
| Date/Time Closed: | | Operator ID: | 800753524 |
| Closed By: | | Operator Name: | |
| | | Personnel ID: | |
| | | Card Number: | |
| | | Job Position | |
| | | Secondary Operator: | |

Location of Incident:

Property: Harrah's Pompano Beach
Location: 09 Security
Sublocation: Interview Room

Details of Incident:

Daily Log #: DL20231161040
Incident Type: ~Informational
Specific: Employee
Category: Assistance Provided
Incident Status: Open
Synopsis: S-2 COLCHADO RESPONDED TO FOOD & BEVERAGE MANAGER FRAN FOR EMPLOYEE ASSISTANCE AT THE INTERVIEW ROOM.
Checklist:

Narrative:

| Created On | Created By | Modified On | Modified By |
|--------------------|------------|--------------------|-------------|
| 7/ 3/2023 08:56:44 | 800753524 | 7/ 3/2023 08:56:44 | 800753524 |

ON 7-1- 2023 at 1730 hours, Security Manager Juan Colchado, Beverage Manager, Resturant Manager Manager Dominique Couhysder, and Food an Beverage Manager Liberatore escorted Myron's Cashier Kendra Durand to the Security Interview room. Surveillance was notified.

Manager Libertore advised Durand that she was being suspended based on a Surveillance audit for the month of June and it was found that she voided out several transactions and its observed that she pocket the money. Liberatore further advised Durand that a further investigation was being issued during her suspension. Durand stated that she understood what she was being suspended for and that she would wait for the investigation to come to a conclusion. Durand was escorted out of the room and she left property under Surveillance coverage. No further information at the time of this report.

For the purpose of this report, all times are approximate.

Executive Brief:

EXHIBIT # 1
PAGE # 1

| |
|-------------------------|
| Reporting Party: |
|-------------------------|

| |
|--------------------|
| Supervisor: |
|--------------------|

Participants Involved:

Personnel

Full Name: Durand, Kendra

Property: Harrah's Pompano Beach

Role: Employee

Department:

EXHIBIT # 1
PAGE # 2

Reporting Party:

Supervisor:

Incident File #: IN20230079728

Record Creation Details

| | | | |
|-----------------------|----------------------|----------------|--------------|
| Date/Time Occurred: | 6/15/2023 7:26:00 PM | Department: | Surveillance |
| Day of Week Occurred: | Thursday | Owner: | 800767519 |
| Date/Time Created: | 7/ 5/2023 8:25:02 AM | Operator ID: | 800767519 |
| Date/Time Closed: | | Operator Name: | |
| Closed By: | | Personnel ID: | |

Location of Incident:

Property: Harrah's Pompano Beach
 Location: 14 Restaurant
 Sublocation: Myron's Deli

Details of Incident:

Daily Log #: IN20230079728
 Incident Type: ~Review/Observation
 Specific: Employee
 Category: Food & Beverage
 Incident Status: Open
 Synopsis: **General Investigation Kendra Durand.**
 Checklist:
 Narrative:

| Created On | Created By | Modified On | Modified By |
|----------------------|------------|----------------------|-------------|
| 7/ 5/2023 8:25:06 AM | 800767519 | 7/ 5/2023 8:25:06 AM | 800767519 |

The following is a General Investigation Report of Myron's Express Cashier Kendra Durand on the night of 06/24/2023. This investigation was conducted after completing an audit of Durand's workday. Durand's completed transactions were reviewed, and the following was discovered.

1) Check #69950 occurred at 18:00 hours, shows that Durand collected payment of \$18.50 for a daily special and saved the check. At 18:20 hours, Durand conducted a Pickup check transaction and added a chocolate brownie and chips totaling \$8.00 for a second patron. Durand then closed out the check in the amount of \$26.50 charging the total balance to the second patron's reward card.

2) Check #60055 occurred at 21:32 hours, shows Durand processing an order of chicken wings totaling \$16.05. Durand then collects payment, voids the transaction, and concealed the money in the register.

3) Check #60059 occurred at 21:38 hours, shows Durand processing an order for two jumbo muffins and one bottled cola totaling \$16.00. Durand then voids items from the check collects payment, serves the items to the patron and tendered \$8.00 to close the check.

EXHIBIT # 1
PAGE # 3

Reporting Party:

Supervisor:

At this time, this investigation remains open pending further review.

Executive Brief:

EXHIBIT # 1
PAGE # 4

Reporting Party:

Supervisor:



**State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section**

PROPERTY RECEIPT

Complaint # 2023039465 Date 7/18/23 Lab # _____

| Item No. | Quantity | Description |
|----------|----------|--------------------------------------|
| 1 | 1 | DVD Durand, Kendra Harrah's Theft |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

[Handwritten Signature]

Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

..... Signature _____ Date _____

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT # 2
PAGE # 1

Harrah's Pompano Beach Casino

Video Release and Chain of Custody

Coverage Date: 06/29/2023 Surveillance Video Released: IN79732

Coverage Description: Myron's Transactions

Authorized By: Miguel Gonzalez

All video listed on this Release and Chain of Custody Receipt, is proprietary to Harrah's Pompano Beach Casino. The video presented is in original and unedited format and may not be duplicated in any manner. The date and time stamp on the video is an accurate representation of when the events took place. All video must be returned to Harrah's Pompano Beach Casino Surveillance Department upon completion of legal proceedings.

Chain of Custody

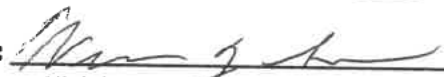
Released By:


Click here to enter text.

Date:

7/18/23

Released To:


Click here to enter text.
William J Smith FGCC

Date:

7/18/23

Re-Released By:

Date:

Re-Released To:

Date:

Returned By:

Date:

Returned To:

Date:

Re-Returned By:

Date:

Re-Returned To:

Date:

EXHIBIT #
PAGE #


2
2

Harrah's [®]

POMPANO BEACH

NOTICE OF EXCLUSION

To: Kendra Durand

Date of Birth: 

SSN: _____ DL#: _____ DL State: _____ DL expire: _____

Height: 4'10" Weight: 150lbs Race: African American

Hair Color: Black Eye Color: Brown Gender: Female

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 10 day of July, 2023, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Management Decision

Address: 5310 NW 88th AVE Incident Report Number: _____
Sunrise, FL 33351 Caesars Rewards #: _____

Length of Exclusion:

30 Days

90 Days

1 Year

3 Years

Permanent

Other: _____

Acknowledged by: _____

Issued by: _____

Witness: _____

(Customer Signature)

800757524

800748492

EXHIBIT # 3
PAGE # 1

9. Discussion of policies and procedures



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Transporting Detainees

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.26.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.22 - 21.02M, 21.03M, 21.04M, 21.01M \(A\), 21.01M \(B\), 21.01M \(C\), 21.01M \(D\), 21.05, 21.06M \(A\), 21.06M \(B\), 21.06M \(C\), 21.08M \(A\), 21.08M \(B\), 21.08M \(D\) or most recent version](#)

[Chapter 985.101 F.S.](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement's policy is to refrain from transporting detainees and make arrangements for other law enforcement agencies to transport persons in custody.

II. PURPOSE

This policy provides guidelines for obtaining transportation for persons in the custody of the Division of Gaming Enforcement to ensure the safety and security of the public, the detainees, and members.

III. PROCEDURES

Members of the Division of Gaming Enforcement will not transport persons in custody. Every effort will be made to request transportation from local or state law enforcement agencies equipped with secure transport cages. Members shall:

- A. Contact local or state law enforcement agencies in the immediate area for assistance transporting any person in the Division's custody.
- B. Remain with the person in custody until assistance from a local or state law enforcement agency arrives to transport the detainee.
- C. Photograph the detainee's injuries before turning over custody to the transporting law enforcement agency.
- D. Ensure the transporting agency has secured cages in their vehicle before releasing the detainee into custody.
- E. Gather information about the agency and law enforcement officer who took custody of the detainee.
- F. Ensure the detainee arrives at the requested location by following up with the transporting agency and law enforcement officer.

IV. DOCUMENTATION

In the appropriate report, members shall document which agency assisted in transporting the detainee. Documentation shall include the name of the agency, the name of the transporting law enforcement officer, the badge number of the transporting law enforcement officer, the date and time the transporting law enforcement officer took custody of the detainee and the location to which the detainee was taken.

V. TRAINING

The Chief of Law Enforcement shall ensure members receive training on detainee transportation policies and procedures.

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

| | |
|--|---|
| <p>TITLE: Dual and Secondary Employment</p> <hr/> <p>EFFECTIVE DATE:</p> <p>REVISED:</p> | <p style="text-align: center;">POLICY NUMBER</p> <p style="text-align: center;">03.06.01</p> <p style="text-align: center;">FGCC SECTION</p> <p style="text-align: center;">Human Resource Management</p> <p style="text-align: center;">AUTHORITY</p> <p style="text-align: center;">Section 16.712, F.S.</p> <p style="text-align: center;">Section 112.313 (7), Florida Statutes (F.S.)</p> <p style="text-align: center;">Section 112.061, F.S</p> <p style="text-align: center;">Section 112.3185, F.S.</p> <p style="text-align: center;">Section 216.262(1)(e), F.S.</p> <p style="text-align: center;">Section 284.311, F.S.</p> <p style="text-align: center;">Section 60L-32.003, Florida Administrative Code (F.A.C.)</p> |
|--|---|

I. STATEMENT OF POLICY

- 1) The purpose of this policy is to establish dual and secondary employment guidelines within state government and outside state government.
- 2) In accordance with the provisions of Section 216.262(1)(e), Florida Statutes, the Department of Management Services has delegated to State Personnel System agency heads the authority to approve dual employment requests for their employees.
- 3) All employees seeking dual employment must have approval from the Florida Gaming Control Commission (“Commission”) before beginning dual employment.

- 4) An employee shall not be dually employed unless such dual employment has been approved under this policy.
- 5) Should an employee be found to be dually employed without proper authorization, the employee shall be subject to disciplinary action, up to and including dismissal.
- 6) Dual or secondary employment with a Commission contractor or grantee (business entity) is prohibited if such employment violates the provisions of chapter 112, Florida Statutes, or otherwise impedes the employee's ability to discharge their public duties fully and faithfully.

II. DEFINITIONS

- A. **Dual employment:** Employment in a total of more than one full-time equivalent established position; compensation of an employee simultaneously from any appropriation other than appropriations for salaries; or compensation of an employee simultaneously by more than one "state agency."
- B. **Employer:** The following are considered to be separate employers for purposes of determining whether employment is outside or within state government:
 1. State Personnel System
 2. State University System
 3. Florida Lottery
 4. Legislature
 5. Justice Administrative Commission
 6. State Courts System
 7. Private or public companies outside state government entities described above or self-employment are also considered to be separate employers.
- C. **Excluded Work:** Refers to duties and responsibilities that are exempt from the payment of overtime for hours worked in excess of 40 hours in a work week. The United States Department of Labor provides information on exemptions in the FLSA Overtime Security Advisor <https://webapps.dol.gov/elaws/whd/flsa/overtime/info.htm>.
- D. **Fair Labor Standards Act (FLSA):** Federal wage and hour law that regulates compensation practices including minimum wage standards, overtime pay standards, and child labor restrictions.
- E. **Included Work:** Refers to duties and responsibilities that, in accordance with the FLSA, require payment of overtime for hours worked in excess of 40 hours in a workweek or in excess of established hours in an extended work period.

- F. **Occasional and Sporadic Work:** refers to infrequent, irregular work occurring in scattered instances and not within the same general occupational category as the employee's regular work.
- G. **Position:** Refers to an established Career Service, Selected Exempt Service, Senior Management Service or Other Personal Services (OPS) position.
- H. **Primary employment:** For employment outside of state government, the Commission is the employee's place of primary employment. For employment within state government purposes, an employee's primary employment is determined by the earliest date of hire; however, OPS employment is always considered secondary unless both positions are OPS.
- I. **Secondary employment:** Simultaneous employment in a position subsequent to the employee's primary employment.
- J. **Secondary police employment:** Any secondary employment undertaken while in an off-duty status which entails actual or potential use of police authority and requires vested police powers as a condition of employment.
- K. **Self-employment:** The state of working for oneself as the owner of a business rather than for an employer. This does not include earnings from passive income (i.e. earnings from rental properties, dividends from stocks, selling courses online, and other projects where you're not involved in the continued generation of revenue).
- L. **State agency:** For the purposes of this policy, any official, officer, commission, board, authority, council, committee, or department of the executive branch of state government, the judicial branch, state attorneys, public defenders, criminal conflict and civil regional counsel, capital collateral regional counsel, the Florida Clerks of Court Operations Corporation, the Justice Administrative Commission, the Florida Housing Finance Corporation, and the Florida Public Service Commission.
- M. **State Personnel System:** For the purposes of this policy, this includes Career Service (CS), Selected Exempt Service (SES), and Senior Management Service (SMS) authorized positions in all state agencies and organizational units thereof except those in the State University System, the Florida Lottery, the Florida Legislature, the Justice Administration Commission, and the State Courts System.
- N. **Voluntary work:** Refers to work that is offered freely and without pressure or coercion, direct or implied, from an employer and cannot be the same type of work as the individual's primary employment.

III. POLICY

- A. Each fiscal year, employees shall request approval for:
 - 1. Employment or simultaneous compensation by more than one state agency;

2. Filling of more than the equivalent of one full-time position in a state agency;
 3. Receipt of simultaneous compensation from any appropriation other than appropriations for salaries (i.e. OPS or contractual work); or
 4. Employment outside state government when the duties of the secondary employer are similar or related to the employee's job duties and may create a conflict of interest with the Commission.
- B. Per the Dual Employment and Dual Compensation Guidelines and Procedures for State Personnel System Agencies (January 2014), Section II (D), the provisions of the State Personnel System Agencies guidelines do not apply to employment with local governments, state colleges, state universities or private sector employers.
- C. Unless approved in advance by the Executive Director, no Commission employee shall engage in dual or secondary employment during his or her normal state working hours.
1. If such permission is granted, the use of state resources (i.e., office equipment, space, employees, time or supplies) is strictly prohibited.
 2. Such permission shall have no impact on statutory limitations on dual or secondary employment.
- D. Requests for dual employment with another state agency in the State Personnel System will be denied if either of the positions is an included position, and the secondary employer does not assume responsibility for the overtime compensation.
- E. The Commission will not approve secondary employment if such employment:
1. constitutes a real or potential conflict of interest pursuant to Section 112.313, F.S. and Section 112.3185, F.S.;
 2. interferes with the ability and availability of the employee to perform his or her job duties with the Commission, including overtime requirements; or
 3. requires the use of state space, staff, equipment, time, or supplies.
- F. Employment with any entity under contract with the Commission is subject to internal review by the Commission. Secondary employment in these instances will be denied based on, but not limited to, the following circumstances:
1. if the employee seeks or maintains secondary employment with a contractor for job duties that are substantially similar in nature to those which the employee performs for the Commission;
 2. if the secondary employment exposes the Commission in any way to overtime requirements under the FLSA; and/or

3. if the employee has/had any responsibilities or involvement with the Commission's award of the contract, or with the process of making referrals to or evaluating the contract entity.
- G. Denials may be waived based on a review by the Office of the General Counsel (OGC). An employee may submit a written notice of appeal through his or her chain of command to the OGC for review within ten (10) days of receiving notice of the denial.
 - H. A Commission employee who seeks or accepts secondary employment is responsible for making a preliminary determination that such employment does not constitute a conflict of interest.
 - I. The Commission will not be obligated to change an employee's shift, overtime requirements or days off to accommodate secondary employment.
 - J. Secondary employment generally may not exceed more than 24 hours in a work week. This hourly guideline applies whether the employee works at the secondary employment on his/her days off or in addition to his/her daily hours with the Commission.
 - K. If dual or secondary employment ceases, the employee shall immediately notify their immediate supervisor in writing with a copy to the Bureau of Human Resource Management (HRM) at hr@flgaming.gov for inclusion in the employee's official personnel file. Any subsequent request for renewal or continued dual or secondary employment must thereafter be processed and approved through the procedures set forth in this policy.
 - L. Employees shall not be required to obtain approval for affiliation with the National Guard or other organized military reserves, but the employee shall keep the supervisor advised of such commitments.
 - M. A violation of this policy is considered a willful violation of the rules, regulations, and/or policies of the Commission. Employees violating this policy are subject to disciplinary action, up to and including dismissal, and violation may result in civil and/or criminal penalties and prosecution.

IV. DUAL EMPLOYMENT GUIDELINES (WITHIN STATE GOVERNMENT)

- A. The employee and the secondary agency shall initiate a "State of Florida Dual Employment and Dual Compensation Request," for other employment with a State Personnel System agency or another state agency as defined above.
- B. In completing the State of Florida Dual Employment and Compensation Request, the primary employing agency is considered the agency the employee is employed with first; however, OPS employment is always considered secondary unless both positions are OPS.
- C. The employee is responsible for obtaining the appropriate signatures/comments from the other state agency.

D. Normally, dual employment that will result in overtime will not be approved; however, in the event it is approved, both the primary and secondary agencies shall determine and agree on the method of overtime compensation.

E. Dual employment approval must be received for each fiscal year the dual employment will continue.

F. **Processing Guidelines:**

1. Commission employees shall complete a "State of Florida Dual Employment and Dual Compensation Request" in accordance with the instructions on the form and submit the Request to his or her immediate supervisor at least two (2) weeks prior to their start date or sufficiently in advance of the start date to ensure the authorization is approved prior to starting other employment within state government.

2. The supervisor shall review and submit the Request to the HRM via email at hr@flgaming.gov to ensure completeness and address any questions with the employee submitting the request, including coordinating any concerns with the employee, his or her supervisor, or the secondary employer.

3. The HRM will obtain the signature of the Executive Director or his or her designee and provide the employee a signed copy of the approved or disapproved Request. The original shall be placed in the employee's personnel file.

4. If the employee's request is not approved, the HRM will advise the employee with a written notification of the reason for disapproval.

G. If either the primary or secondary employment changes (i.e., hours of work, type of work, employer name, etc.), a new Dual Employment and Compensation Request must be resubmitted for approval in accordance with this policy.

H. Should the dual employment be expected to span two or more fiscal years (July 1 - June 30), the employee must complete a separate Request for each fiscal year. Only one Request per fiscal year shall be completed and submitted at a time.

I. The employee is encouraged to contact the HRM for assistance.

J. It is the employee's responsibility to keep their approval of dual employment current.

V. **SECONDARY EMPLOYMENT GUIDELINES (OUTSIDE STATE GOVERNMENT)**

A. Secondary employment includes employment with local governments, state colleges and universities, private sector employers, or self-employment.

B. Secondary employment shall not begin until final agency action has been approved.

C. Secondary employment authorization is required when the duties of the secondary employer are similar or related to the employee's job duties and may create a conflict of interest with the Commission.

D. **Processing Guidelines:**

1. Commission employees are required to report secondary employment by completing a "Secondary Employment Authorization Request," and submitting it to his or her immediate supervisor at least two (2) weeks prior to their start date with outside employment or upon initial employment with the Commission if the new employee is already employed outside state government.
 2. The immediate supervisor will review the Request to ensure it is complete and in compliance with this policy. The immediate supervisor will provide their recommendation and forward the form to his or her bureau chief within three (3) business days of receipt.
 3. The bureau chief will review the Request to determine if there is a conflict of interest, make a recommendation to approve or deny, and forward the Request to the division director within three (3) business days of receipt.
 4. The division director will review the Request to confirm that no conflicting employment relationship appears to exist, approve, or deny the request, and forward the completed Request to the HRM via email at hr@flgaming.gov.
 5. If the division director determines there is a conflict of interest or questions whether a conflict of interest exists, he or she will contact the OGC for further guidance.
 6. If the Request is forwarded to the OGC for review, the OGC will review the Request, render a determination, and complete and return the completed Request to the division director within three (3) business days of receipt.
 7. The division director will forward the completed Request to the HRM via email at hr@flgaming.gov.
 8. Once the Request is approved or denied, the HRM will document the reasons for the approval or denial and return the Request to the supervisor.
 9. If the employee's Request is denied, the HRM will advise the supervisor of the reason for denial.
 10. The supervisor will provide a copy of the signed Request to the employee.
- E. Absent extenuating circumstances, disapproval of a request for secondary employment will be communicated to the employee within 14 calendar days of receipt of the Request. If extenuating circumstances prevent notification within 14 calendar days, the reasons for the delay will be provided to the employee in writing.

- F. If any information on the Request changes (i.e., work hours, nature of work, etc.), which affects the employee's primary employment, the employee must resubmit the Request for approval pursuant to this policy.
- G. The HRM will update the People First Other Employment screen for approved requests and include Secondary Employment Authorization Requests that are approved or denied in the employee's official personnel file.
- H. Should the secondary employment be expected to span two or more fiscal years (July 1 - June 30), the employee must complete a separate Request for each fiscal year. Only one Request per fiscal year shall be completed and submitted at a time.
- I. All approvals expire on June 30th of each year. Requests for renewal should be submitted at least 30 days prior to July 1st. It is the employee's responsibility to keep his or her approval of secondary employment current.

VI. LAW ENFORCEMENT OFFICERS SECONDARY POLICE EMPLOYMENT

- A. A Law Enforcement Officer (LEO) who wishes to perform secondary police employment outside of state government shall obtain the required approval in advance in accordance with this policy. Secondary police employment will not be unreasonably withheld as long as such secondary police employment does not conflict with the employee's state employment or with the Commission's policies and procedures.
- B. A LEO is subject to being called to duty at any time. Therefore, he or she shall not undertake any secondary employment that shall in any way interfere with his or her ability to adhere to this requirement.
- C. A LEO may work a combined total of no more than 32 hours of scheduled overtime or secondary police employment in each workweek, Friday through Thursday.
 - 1. In the event an LEO takes either annual leave or compensatory leave during the workweek, he or she is authorized to work additional hours of secondary police employment not to exceed the number of hours of annual or compensatory leave taken.
 - 2. LEO's using either personal sick leave or family sick leave may not work secondary police employment for the 24-hour period commencing with the beginning of the shift for which the LEO was unable to report for duty. The use of sick leave in conjunction with a corresponding period of working secondary police employment may be grounds for the withdrawal of approval to work secondary police employment or disciplinary action.
 - 3. LEO's work hours for all off-duty employment or secondary police employment shall be scheduled and worked in a manner that does not conflict or interfere with the LEO's performance of duty. LEO's may not work a combination of on-duty and off-duty hours of more than 16 hours in any 24-hour period.

- D. When secondary police employment is approved, the LEO may wear his or her uniform and personal equipment, and use his or her assigned vehicle, subject to the availability of vehicles. On-duty use and the readiness of state-assigned vehicles for on-duty missions will take priority over secondary police employment use of these vehicles. The following conditions apply to the use of state-issued equipment:
1. The LEO must pay all parking, bridge, or toll road fees.
 2. The use of a state vehicle is restricted to a maximum of 50 miles (radius) from an LEO's assigned office or resident county to the location of the off-duty work site. A state vehicle may be used for secondary police employment over 50 miles from the LEO's assigned office with prior approval from the Director of Gaming Enforcement or his or her designee.
 3. Idling or continuous transit is prohibited in vehicles except when the LEO is authorized to work roadway safety details and the use of emergency equipment is required.
- E. During secondary police employment, LEO's shall only engage in the gathering or dissemination of information from confidential law enforcement sources in furtherance of a verifiable law enforcement purpose.
- F. State benefits and protections including liability insurance coverage and workers' compensation benefits for work-related injuries or disability **will not** apply when LEO's are performing secondary police employment. It shall be the LEO's responsibility to obtain such coverage either from the secondary employer or through private insurance coverage if such coverage is desired. However, coverage will be restored if during the course of such secondary police employment, it becomes necessary for the LEO to employ police authority or perform law enforcement services that he or she would normally be called upon to provide while serving in an on-duty capacity.
- G. Proof of liability insurance shall be submitted with the request to work secondary police employment if the state's vehicle is to be used. LEO's will be required to provide at least \$200,000/\$300,000 non-owner's vehicle liability insurance and property damage coverage of \$50,000. NOTE: COMMERCIAL INSURERS CANNOT PROVIDE COLLISION COVERAGE. LEO'S MAY BE HELD LIABLE FOR DAMAGE TO STATE OWNED VEHICLES OR EQUIPMENT RESULTING FROM SINGLE VEHICLE ACCIDENTS OR COLLISIONS IN WHICH THE LEO IS AT FAULT. A copy of the insurance policy and/or the endorsement page must be attached to the LEO's request for approval of secondary police employment. Failure to maintain the required personal injury coverage during periods of secondary police employment is a violation of the Florida Financial Responsibility Statute, section 316.646, F.S. The LEO shall immediately notify the Chief of Law Enforcement Services by memorandum of insurance coverage termination or cancellation and shall cease using any Division vehicle during secondary police employment until the necessary insurance coverage has been reestablished.
- H. Each fiscal year LEO's must reimburse the Commission for property damage coverage as required in section 284.311, F.S. and the applicable Florida Administrative Code in a manner designated by the Commission. The

reimbursement will provide coverage for the remainder of the fiscal year and will not be prorated. **Note: The property damage coverage is applicable only as provided by statute or rule.**

- I. LEO's shall compensate the Commission when Division vehicles are utilized in conjunction with secondary police employment. At the end of each month, LEO's shall complete and submit a report of secondary police employment activities and submit any applicable reimbursement, made payable to the Florida Gaming Control Commission. Such compensation shall be paid according to the reimbursement rate schedule published in section 112.061, F.S.
 1. All vehicle miles accrued transiting to, during, and returning from the secondary police employer's work site shall be reimbursed to the Commission.
- J. Secondary police employment is not an inherent right, but a privilege recognized in the respective collective bargaining agreement. Disputes arising between supervisory personnel and LEO's concerning interpretation of this policy may be resolved in accordance with existing statutes, rules, and procedures for processing discipline, grievances, or complaints. Violation of any of the tenets stated in this policy may result in termination of authorization to engage in secondary police employment in lieu of or in addition to any other disciplinary action.
- K. LEO's who engage in secondary police employment shall maintain the same high standards of discipline that are demanded of on-duty officers, and they shall be held accountable for violations of the Division's code of conduct or for transgressions against the Commission's disciplinary standards and subject to the control of supervisory personnel who witness such violations or to whom they are reported.

VII. REVOCATION OR SUSPENSION

- A. In addition to complying with statutes specifically authorizing or prohibiting certain dual or secondary employments, the Commission's primary concern shall be the prevention of conflicts of interest or threats to the full and impartial discharge of public responsibilities, and it shall have the authority to revoke any dual or secondary employment if it interferes with the employee's regular assigned duties.
- B. Dual employment authorizations may be suspended while an employee is receiving workers' compensation benefits, has been placed on alternate duty due to a workers' compensation injury, or is on extended leave for illness or injury, if it is determined the employee's restrictions would prevent him or her from being able to work at his or her secondary employment. This suspension does not apply to use of extended leave for family illness or injury. If suspended, a request to resume dual or secondary employment work or a new dual or secondary employment authorization request must be submitted and approved prior to an employee resuming their secondary employment.
- C. It is the responsibility of managers and supervisors who have an employee with approved dual or secondary employment to ensure the secondary job does not interfere with the employee's regularly scheduled work and the employee is

complying with this policy and with all applicable rules and laws related to hours of work. Dual Employment authorization may be rescinded if it is determined the employee's work performance needs improvement or the employee's secondary employment is affecting his or her primary employment.

- D. An employee whose approval for dual or secondary employment is revoked or suspended should be provided with a written notification of the reason for revocation or suspension.
- E. Revocations or suspensions may be waived based on a review by the Office of the General Counsel (OGC). An employee may submit a written notice of appeal through his or her chain of command to the OGC for review within ten (10) days of receiving notice of the revocation or suspension.

VIII. LEAVE OR ALTERNATE DUTY STATUS

- A. Employees who are placed on leave or other alternate duty status shall inform their immediate supervisors in writing within five (5) business days as to whether they intend to continue their dual or secondary employment while on such leave or alternate duty status.
- B. The immediate supervisor shall review the duties of the dual or secondary employment, along with the employee's restrictions, and make a recommendation to their respective division director via his or her chain of command regarding whether such employment should continue.
- C. In the event that the division director determines that the secondary employment should be discontinued, or the employee fails to promptly notify the supervisor of their intention regarding secondary employment, a notice revoking approval of the secondary employment will be forwarded to the employee and a copy attached to the original secondary employment authorization request.
- D. Criteria for revoking approval due to leave or alternate duty status include but are not limited to:
 - 1. The dual or secondary employment is detrimental to the total recovery of the disabled employee, as indicated by the State's authorized treating physician.
 - 2. The dual or secondary employment requires performance of the same or similar physical activity as would be required of the employee when on duty.
 - 3. The employee's failure to make timely notice of their intention to their supervisor.
- E. When the employee returns to full duty, a written request may be submitted to the division director to approve the original dual or secondary employment request.

This policy adopted by the Commission on:

**Louis Trombetta,
Executive Director**

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Anti-Retaliation

POLICY NUMBER

03.22.01

EFFECTIVE DATE:

FGCC SECTION

Human Resource Management

REVISED:

AUTHORITY

Section 112.3187, F.S.

Section 112.3188, F.S.

Section 448.102, F.S.

I. STATEMENT OF POLICY

- A. The Florida Gaming Control Commission (“FGCC” “Commission”) has a zero tolerance for retaliation and is committed to taking reasonable steps to protect from retaliation employees who, in good faith, engage in permitted behavior or who report or participate in the reporting or investigation of workplace issues. All complaints of retaliation will be taken seriously and will be promptly and appropriately investigated.
- B. This policy prohibits retaliation against employees who identify workplace issues, such as fraud, waste, abuse of authority, gross mismanagement or any inappropriate conduct or practices, including violations that may pose a threat to the health, safety or well-being of employees.
- C. This policy does not prohibit actions taken for nondiscriminatory or non-retaliatory reasons, such as discipline for cause.
- D. These guidelines are intended to supplement and not limit employees’ access to other applicable remedies. Nothing in this policy shall diminish the rights or remedies of an employee pursuant to any applicable federal law, provision of the U.S. Constitution, state and local law, ordinance or collective bargaining agreement.

- E. Documentation of investigations will be maintained in accordance with the established records retention schedules.

II. RETALIATION PROHIBITED

- A. No employee may retaliate against any person for engaging in lawful or otherwise permitted behavior; for opposing a practice believed to be unlawful, unethical, discriminatory or retaliatory; for reporting or making a complaint under this policy; or for participating in any investigation related to a complaint under this or any other policy.

- B. Retaliation includes any adverse action or conduct, including, but not limited to:

1. Refusing to hire or denying a promotion.
2. Extending the probationary period.
3. Unjustified reassignment of duties or change of work schedule.
4. Real or implied threats or other forms of intimidation to dissuade the reporting of wrongdoing or filing of a complaint, or as a consequence of having reported or participated in protected activity.
5. Taking unwarranted disciplinary action.
6. Spreading rumors about the person filing the complaint or about the alleged wrongdoing that are detrimental to the complainant or persons involved and incites a hostile work environment.
7. Shunning or unreasonably avoiding a person, to the extent that the person's ability to effectively perform his or her job duties is hindered, because he/she has engaged in protected activity.

III. COMPLAINTS OF RETALIATION

- A. Any employee who feels he/she has been retaliated against in violation of this policy should promptly report the matter to any supervisor, Division Director, Inspector General, Director of Administration or Bureau Chief of the Bureau of Human Resource Management.
- B. Employees shall act in good faith, not engage in unwarranted reporting of trivial or minor deviations or transgressions, and make reasonable efforts to verify facts before

- making any complaint in order to avoid baseless allegations. Employees shall not report or state an intention to report information or an allegation knowing it to be
- C. false or with willful or reckless disregard for the truth or falsity of the information, or otherwise act in bad faith.
 - D. Investigations are generally more effective when the identity of the reporting employee is known, thereby allowing investigators to obtain additional information from the reporting employee. However, complaints may be made anonymously. All reasonable efforts shall be made to protect the reporting employee's identity. However, confidential information may be disclosed to the extent required by law or to the degree necessary to conduct an adequate investigation and make a determination regarding a complaint. In some situations, the investigative process may not be complete unless the source of the information and a statement by the employee is part of the investigative process.

IV. SUPERVISOR RESPONSIBILITIES

- A. Supervisors are expected to remain familiar with this policy and ensure that employees under their supervision are aware of its provisions.
- B. The responsibilities of supervisors include, but are not limited to:
 - 1. Communicating to all employees the prohibition against retaliation.
 - 2. Receiving all complaints in a fair and impartial manner.
 - 3. Documenting the complaint and any steps taken to resolve the problem.
 - 4. Acknowledging receipt of the complaint, notifying the Division Director via the chain of command and explaining to the employee how the complaint will be handled.
 - 5. Taking appropriate and reasonable steps to mitigate any further violations of this policy.
 - 6. Remediation of any inappropriate conduct or condition and instituting measures to eliminate or minimize the likelihood of recurrence.
 - 7. Monitoring the work environment to ensure that any employee making a complaint is not subjected to further retaliation.
 - 8. Periodic follow-up with the complainant to ensure that retaliation is not continuing.

9. Not interfering with or denying the right of an employee to make any complaint.
10. Taking reasonable steps to accommodate requests for assignment or schedule changes made by an employee who may be the target of retaliation if it would likely mitigate the potential for further violations of this policy.

V. WHISTLE-BLOWING

A. State law protects employees who (Section 112.3187, Fla. Stat.; Section 112.3188, Fla. Stat.; Section 448.102, Fla. Stat.):

1. Report to an appropriate agency a suspected violation of any federal, state, or local law, rule, or regulation committed by an employee, agent or independent contractor of a public agency which creates and presents a substantial and specific danger to the public's health, safety, or welfare.
2. Report to an appropriate agency any act or suspected act of gross mismanagement, malfeasance, misfeasance, gross waste of public funds, suspected or actual Medicaid fraud or abuse, or gross neglect of duty committed by an employee, agent or independent contractor of a public agency.
3. Report to an appropriate agency an activity, policy or practice of the Commission that is in violation of a law, rule or regulation after the employee has brought the activity, policy or practice to the attention of a supervisor or the Commission in writing and a reasonable opportunity to correct the activity, policy or practice has passed.
4. Provided information to, or testified before, any appropriate governmental agency, person or entity conducting an investigation, hearing or inquiry into an alleged violation of a law, rule or regulation by the Commission.
5. Objected to, or refused to participate in, any activity, policy or practice of the Commission that is in violation of a law, rule or regulation.

B. Employees who believe they have been the subject of retaliation for engaging in such protected behaviors should promptly report it to a supervisor. Supervisors should refer the complaint to the Division Director via their chain of command for review and forwarding the complaint to the Office of the Inspector General for investigation.

This policy adopted by the Commission on:

**Louis Trombetta
Executive Director**

Date

10. Executive Director update